Old Mutual Global Investors (UK) Limited 2 Lambeth Hill London EC4V 4AJ



ASX Markets Announcements Office +61 2 9347 0005

13.03.2018

Re: Investigator Resources Ltd

To whom it may concern,

Please find attached a Form 604 notice of change of interests of substantial holder in relation to Investigator Resources Ltd.

Apologies for the delayed submission of this notification, the reason for this is outlined in detail below.

Old Mutual Global Investors (UK) Limited took part in a placing in October 2017 which took our holding across the 5-6% reporting threshold and should have been reported at that time.

The existing ordinary shares that OMGI held and the impending placement shares were identified on our systems as two different lines of stock. Our systems at the time were unable to identify these as being from the same issuing company and so we did not have forewarning of our impending increase in % ownership. Once the placement had been completed the two lines were merged in to one which our systems then reflected and showed the move across the 5-6% threshold. Unfortunately due to human error the movement across this threshold was missed. This error has been identified from an ongoing review of our systems and processes in this area.

Old Mutual Global Investors (UK) Limited take errors such as these extremely seriously and have implemented additional controls and processes to militate against the risk

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of future reoccurrence. These controls include I.T. infrastructure improvements to the reports that identify moves across reporting thresholds, dual sign-off and review by a senior manager of these reports on a daily basis.

If you require any further information we would be pleased to provide this to you.

Kind regards,

John Meade

Senior Compliance Officer

<u>john.meade@omgloblainvestors.com</u>

+44 (0)207 332 8344

### Form 604

### Corporations Act 2001 Section 671B

# Notice of change of interests of substantial holder

To Company Name/Scheme Investigator Resources Ltd

ACN/ARSN 115 338 979

1. Details of substantial holder (1)

Name Old Mutual Global Investors (UK) Ltd

ACN/AR\$N (if applicable)

There was a change in the interests of the

substantial holder on

<u>25/10/2018</u>

The previous notice was given to the company on

30/09/2016

The previous notice was dated

30/09/2016

# 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice	Previous notice		
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Ordinary shares	46,785,810	46,785,810		
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#### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevent interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
25/10/2018	Old Mutual Global Investors (UK) Ltd	Increase in holding following placing.	AUD 316,800	14,400,000	14,400,000
					<u></u>

#### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Old Mutual Global Investors (UK) Ltd	Old Mutual Global Investors (UK) Ltd	Old Mutual Global Investors (UK) Ltd	Investment manager of collectives investment schemes	ordinary shares 46,785,810	46,785,810

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#### 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association	<del></del> _	 <del></del>	_
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#### 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Old Mutual Global Investors (UK) Ltd	2 Lambeth Hill, London, EC4P 4WR

## Signature

sign here

John Meade

capacity

Senior Compliance Officer

date

13/3/2018

#### **DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant interests (e.g. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.

- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a confingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write

"unknown".

(9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

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