

11 May 2018



The Manager  
Companies Announcement Office  
ASX Limited  
Level 4, 20 Bridge Street  
SYDNEY NSW 2000

### Appendix 3Y – Late Lodgement

Universal Coal Plc (“Universal” or “the Company”), (ASX:UNV) encloses an Appendix 3Y for Mr David Twist that was not lodged in time due to administrative oversight.

David Twist purchased UNV shares on-market during the dates disclosed within the Appendix 3Y attached. Upon being notified of the oversight, the Company has taken action and lodged the relevant Appendix 3Y enclosed.

The Company is advised that Mr Twist placed an order for securities on 5 April 2018 and this was fulfilled over several trading days in April and May, excluding Universal’s closed period from 16-30 April 2018.

The trades were confirmed to the Company on 10 May 2018.

The Company has reviewed the administrative error that caused the late lodgement of Mr Twist’s Appendix 3Y and confirms that Universal’s existing arrangements are considered adequate and the late lodgement of this particular Appendix 3Y notice was an isolated incident. The Company is mindful of its disclosure obligations under ASX Listing Rule 3.19A.2. The directors are also aware of their personal obligation involving Universal’s securities.

Yours sincerely

A handwritten signature in black ink, appearing to read "Emma Lawler".

Emma Lawler  
Universal Coal Plc

\*

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

Name of entity	UNIVERSAL COAL PLC
ARBN	143 750 038

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	David Twist
Date of last notice	11/1/2013

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct
Nature of indirect interest (including registered holder) <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	N/A
Date of change	<ol style="list-style-type: none"><li>1. 5 April 2018</li><li>2. 9 April 2018</li><li>3. 10 April 2018</li><li>4. 2 May 2018</li><li>5. 9 May 2018</li><li>6. 10 May 2018</li></ol>

---

+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

No. of securities held prior to change	<u>Direct</u> Nil <u>Indirect</u> Director of African Minerals Exploration & Development GP SARL (the 100% shareholder of Coal Development Holdings BV (CDH)). CDH is a major shareholder of UNV 143,467,056 CDIs
Class	Ordinary Shares /CDIs
Number acquired	1. 18,000 CDIs 2. 62,000 CDIs 3. 16,000 CDIs 4. 1,000 CDIs 5. 8,556 CDIs 6. 60,000 CDIs Total acquired: 165,556 CDIs
Number disposed	Nil
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	1. \$0.204 per CDI 2. \$0.205 per CDI 3. \$0.205 per CDI 4. \$0.225 per CDI 5. \$0.245 per CDI 6. \$0.25 per CDI
No. of securities held after change	<u>Direct</u> 165,556 CDIs <u>Indirect</u> Director of African Minerals Exploration & Development GP SARL (the 100% shareholder of Coal Development Holdings BV (CDH)). CDH is a major shareholder of UNV 143,467,056 CDIs
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	On market acquisition

+ See chapter 19 for defined terms.

## Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	N/A
Nature of interest	
Name of registered holder (if issued securities)	
Date of change	
No. and class of securities to which interest related prior to change <small>Note: Details are only required for a contract in relation to which the interest has changed</small>	
Interest acquired	
Interest disposed	
Value/Consideration <small>Note: If consideration is non-cash, provide details and an estimated valuation</small>	
Interest after change	

## Part 3 – <sup>+</sup>Closed period

Were the interests in the securities or contracts detailed above traded during a <sup>+</sup> closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/A
If prior written clearance was provided, on what date was this provided?	N/A

---

<sup>+</sup> See chapter 19 for defined terms.