

# Form 603

Corporations Act 2001

Section 671B

## Notice of initial substantial holder

To Company Name/Scheme Greencross Ltd  
ACN/ARSN ACN 119 778 862

### 1. Details of substantial holder (1)

Name Credit Suisse Holdings (Australia) Limited (on behalf of Credit Suisse Group AG and its affiliates)  
ACN/ARSN (if applicable) 008 496 713  
The holder became a substantial holder on 05-Nov-2018

### 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Persons' votes (5)	Voting power (6)
Common Stock	6,301,146	6,301,146	5.23%

### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Credit Suisse AG, Singapore Branch	Voting rights and right to dispose	5,688,243 Common Stock
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Holder of securities subject to an obligation to return under a securities lending agreement	3,600 Common Stock
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	Voting rights and right to dispose	8,030 Common Stock
Credit Suisse Securities (USA) LLC	Holder of securities subject to an obligation to return under a securities lending agreement	550,000 Ordinary shares
Credit Suisse Funds AG	Voting rights and right to dispose	51,273 Common Stock

### 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Credit Suisse AG, Singapore Branch	CS Third Nominees Pty Limited (ACN 007 053 849)	Credit Suisse AG, Singapore Branch	5,688,243 Common Stock
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	CS Fourth Nominees Pty Limited (ACN 069 126 432)	Credit Suisse Equities (Australia) Limited	3,600 Common Stock
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	CS Third Nominees Pty Limited (ACN 007 053 849)	Credit Suisse Securities (Europe) Limited	8,030 Common Stock
Credit Suisse Securities (USA) LLC	CS Third Nominees Pty Limited (ACN 007 053 849)	Credit Suisse Securities (USA) LLC	550,000 Common Stock
Credit Suisse Funds AG	HSBC Custody Nominees (Australia) Limited (ACN 003 094 568)	Credit Suisse Funds AG	51,273 Common Stock

## 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the 4 months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
See Annexure "A"				

## 6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Related Body Corporate
Credit Suisse Securities (USA) LLC	Related Body Corporate
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	Related Body Corporate
Credit Suisse Funds AG	Related Body Corporate
Credit Suisse AG, Singapore Branch	Related Body Corporate

## 7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Credit Suisse AG, Singapore Branch	1 Raffles Link #03/04-01, Singapore 039393, Singapore
Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
CS Third Nominees Pty Limited (ACN 007 053 849)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Fourth Nominees Pty Limited (ACN 069 126 432)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Securities (USA) LLC	11 Madison Avenue,, New York, New York 10010, New York 10010
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	One Cabot Square, London, E14 4QJ
Credit Suisse Funds AG	Uetlibergstrasse 231, Zurich, CH 8070, Switzerland

## Signature

print name Winston Loke

capacity Authorised Signatory

sign here

date 08-Nov-2018



## Annexure "A"

### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the 4 months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Credit Suisse Equities (Australia) Limited	24-Jul-2018	Refer to Annexure B of Notice	Stock returned under ASLA	-12,000 Common Stock
Credit Suisse Equities (Australia) Limited	24-Jul-2018	Refer to Annexure B of Notice	Stock returned under ASLA	-46,000 Common Stock
Credit Suisse Equities (Australia) Limited	24-Jul-2018	Refer to Annexure B of Notice	Stock returned under ASLA	-34,000 Common Stock
Credit Suisse Equities (Australia) Limited	24-Jul-2018	Refer to Annexure B of Notice	Stock returned under ASLA	-5,000 Common Stock
Credit Suisse Equities (Australia) Limited	24-Jul-2018	Refer to Annexure B of Notice	Stock returned under ASLA	-8,000 Common Stock
Credit Suisse Equities (Australia) Limited	30-Jul-2018	Refer to Annexure B of Notice	Stock borrowed under ASLA	98,000 Common Stock
Credit Suisse Equities (Australia) Limited	31-Jul-2018	Refer to Annexure B of Notice	Stock borrowed under ASLA	35,000 Common Stock
Credit Suisse Equities (Australia) Limited	01-Aug-2018	Refer to Annexure B of Notice	Stock returned under ASLA	-35,000 Common Stock
Credit Suisse Equities (Australia) Limited	02-Aug-2018	Refer to Annexure B of Notice	Stock borrowed under ASLA	44,000 Common Stock
Credit Suisse Equities (Australia) Limited	03-Aug-2018	Refer to Annexure B of Notice	Stock returned under ASLA	-98,000 Common Stock
Credit Suisse Equities (Australia) Limited	03-Aug-2018	Refer to Annexure B of Notice	Stock returned under ASLA	-44,000 Common Stock
Credit Suisse Equities (Australia) Limited	06-Aug-2018	Refer to Annexure B of Notice	Stock borrowed under ASLA	2,000 Common Stock
Credit Suisse Equities (Australia) Limited	07-Aug-2018	Refer to Annexure B of Notice	Stock borrowed under ASLA	23,000 Common Stock
Credit Suisse Equities (Australia) Limited	21-Aug-2018	Refer to Annexure B of Notice	Stock returned under ASLA	-2,000 Common Stock
Credit Suisse Equities (Australia) Limited	21-Aug-2018	Refer to Annexure B of Notice	Stock returned under ASLA	-23,000 Common Stock
Credit Suisse Equities (Australia) Limited	23-Aug-2018	Refer to Annexure B of Notice	Stock borrowed under ASLA	12,000 Common Stock
Credit Suisse Equities (Australia) Limited	24-Aug-2018	Refer to Annexure B of Notice	Stock borrowed under ASLA	22,000 Common Stock
Credit Suisse Equities (Australia) Limited	28-Aug-2018	Refer to Annexure B of Notice	Stock returned under ASLA	-22,000 Common Stock
Credit Suisse Equities (Australia) Limited	04-Sep-2018	Refer to Annexure B of Notice	Stock returned under ASLA	-12,000 Common Stock
Credit Suisse Equities (Australia) Limited	02-Oct-2018	Refer to Annexure B of Notice	Stock borrowed	32,000 Common Stock

			under ASLA	
Credit Suisse Equities (Australia) Limited	02-Oct-2018	Refer to Annexure B of Notice	Stock borrowed under ASLA	15,000 Common Stock
Credit Suisse Equities (Australia) Limited	16-Oct-2018	Refer to Annexure B of Notice	Stock returned under ASLA	-32,000 Common Stock
Credit Suisse Equities (Australia) Limited	16-Oct-2018	Refer to Annexure B of Notice	Stock returned under ASLA	-15,000 Common Stock
Credit Suisse Equities (Australia) Limited	5-Nov-2018	Refer to Annexure B of Notice	Stock borrowed under ASLA	3,600 Common Stock
Credit Suisse AG, Singapore Branch	03-Jul-2018	475,977.73 AUD		105,973 Common Stock
Credit Suisse AG, Singapore Branch	04-Jul-2018	543,791.06 AUD		121,298 Common Stock
Credit Suisse AG, Singapore Branch	05-Jul-2018	340,608.16 AUD		76,536 Common Stock
Credit Suisse AG, Singapore Branch	06-Jul-2018	66,693.88 AUD		14,899 Common Stock
Credit Suisse AG, Singapore Branch	09-Jul-2018	41,153.90 AUD		9,138 Common Stock
Credit Suisse AG, Singapore Branch	10-Jul-2018	24,527.05 AUD		5,453 Common Stock
Credit Suisse AG, Singapore Branch	11-Jul-2018	4,704,502.69 AUD		1,051,356 Common Stock
Credit Suisse AG, Singapore Branch	12-Jul-2018	845,159.57 AUD		188,328 Common Stock
Credit Suisse AG, Singapore Branch	13-Jul-2018	599,041.28 AUD		136,019 Common Stock
Credit Suisse AG, Singapore Branch	16-Jul-2018	593,572.40 AUD		136,103 Common Stock
Credit Suisse AG, Singapore Branch	17-Jul-2018	586,849.61 AUD		133,697 Common Stock
Credit Suisse AG, Singapore Branch	18-Jul-2018	994,678.12 AUD		224,908 Common Stock
Credit Suisse AG, Singapore Branch	19-Jul-2018	1,014,648.06 AUD		230,361 Common Stock
Credit Suisse AG, Singapore Branch	20-Jul-2018	586,647.75 AUD		132,465 Common Stock
Credit Suisse AG, Singapore Branch	23-Jul-2018	468,953.50 AUD		104,855 Common Stock
Credit Suisse AG, Singapore Branch	24-Jul-2018	276,684.09 AUD		61,588 Common Stock
Credit Suisse AG, Singapore Branch	30-Aug-2018	2,865,455.13 AUD		716,758 Common Stock
Credit Suisse AG, Singapore Branch	31-Aug-2018	686,273.71 AUD		173,705 Common Stock
Credit Suisse AG, Singapore Branch	03-Sep-2018	2,676,255.08 AUD		663,326 Common Stock
Credit Suisse AG, Singapore Branch	04-Sep-2018	322,672.26 AUD		80,495 Common Stock
Credit Suisse AG, Singapore Branch	05-Sep-2018	17,444.72 AUD		4,318 Common Stock
Credit Suisse AG, Singapore Branch	06-Sep-2018	664,434.43 AUD		167,208 Common Stock
Credit Suisse Funds AG	12-Oct-2018	213.92 AUD		56 Common Stock
Credit Suisse Securities (Europe) Limited	09-Jul-2018	4,406.65 AUD		-992 Common Stock
Credit Suisse Securities (Europe) Limited	09-Aug-2018	78.47 AUD		19 Common Stock
Credit Suisse Securities (Europe) Limited	09-Aug-2018	20,026.25 AUD		-4,625 Common Stock
Credit Suisse Securities (Europe) Limited	20-Aug-2018	16.56 AUD		4 Common Stock
Credit Suisse Securities (Europe) Limited	24-Aug-2018	8.01 AUD		2 Common Stock

Credit Suisse Securities (Europe) Limited	31-Aug-2018	373.35 AUD		95 Common Stock
Credit Suisse Securities (Europe) Limited	10-Sep-2018	10,833.56 AUD		-2,722 Common Stock
Credit Suisse Securities (Europe) Limited	11-Sep-2018	76.00 AUD		-19 Common Stock
Credit Suisse Securities (Europe) Limited	12-Sep-2018	7.36 AUD		2 Common Stock
Credit Suisse Securities (Europe) Limited	13-Sep-2018	7.24 AUD		2 Common Stock
Credit Suisse Securities (Europe) Limited	17-Sep-2018	7.38 AUD		2 Common Stock
Credit Suisse Securities (Europe) Limited	21-Sep-2018	18,142.73 AUD		4,787 Common Stock
Credit Suisse Securities (Europe) Limited	26-Sep-2018	548.70 AUD		-143 Common Stock
Credit Suisse Securities (Europe) Limited	03-Oct-2018	17,286.66 AUD		4,206 Common Stock
Credit Suisse Securities (Europe) Limited	09-Oct-2018	4.13 AUD		-1 Common Stock
Credit Suisse Securities (Europe) Limited	10-Oct-2018	4.88 AUD		1 Common Stock
Credit Suisse Securities (Europe) Limited	12-Oct-2018	29,287.94 AUD		7,667 Common Stock
Credit Suisse Securities (Europe) Limited	17-Oct-2018	4.75 AUD		-1 Common Stock
Credit Suisse Securities (Europe) Limited	31-Oct-2018	13.59 AUD		3 Common Stock
Credit Suisse Securities (USA) LLC	24-Jul-2018	Refer to Annexure B of Notice	Stock returned under OSLA	-6,810 Common Stock
Credit Suisse Securities (USA) LLC	24-Jul-2018	Refer to Annexure B of Notice	Stock returned under OSLA	-800,000 Common Stock
Credit Suisse Securities (USA) LLC	05-Nov-2018	Refer to Annexure B of Notice	Stock borrowed under OSLA	350,000 Common Stock
Credit Suisse Securities (USA) LLC	05-Nov-2018	Refer to Annexure B of Notice	Stock borrowed under OSLA	200,000 Common Stock

This is Annexure "A" referred to in the Form 603 "Notice of initial substantial holder"

**Signature**

Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name Winston Loke

capacity Authorised Signatory

sign here



date 08-Nov-2018


Annexure "B"

This is Annexure "B" referred to in the Form 603 "Notice of initial substantial holder"

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**Signature**

Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name	Winston Loke	capacity	Authorised Signatory
<b>sign here</b>		date	08-Nov-2018

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**Prescribed information**

## Schedule

Type of agreement	Australian Securities Lending Agreement
Parties to agreement	Credit Suisse Equities (Australia) Limited and National Australia Bank Limited
Transfer date	28-Aug-2018
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

**Prescribed information****Schedule**

Type of agreement	Australian Securities Lending Agreement
Parties to agreement	Credit Suisse Equities (Australia) Limited and JP Morgan Nominees (Australia) Limited
Transfer date	05-Nov-2018
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	Yes
If yes, detail	Voting rights to be exercised in accordance with lender's instructions provided such instructions are received 7 days prior.
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	With 2 business days prior to a notice by Midday.
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.



**Prescribed information**

## Schedule

Type of agreement	Overseas Securities Lending Agreement
Parties to agreement	Credit Suisse Securities (USA) LLC Limited and BlackRock Institutional Trust Company
Transfer date	23-Jul-2018
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	With 4 business days prior notice, unless Borrower and Lender agree to the contrary.
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

**Prescribed information****Schedule**

Type of agreement	Overseas Securities Lending Agreement
Parties to agreement	Credit Suisse Securities (USA) LLC Limited and Deutsche Bank AG NY Agency Lending
Transfer date	05-Nov-2018
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	Yes
If yes, detail	Voting rights to be exercised in accordance with lender's instructions provided such instructions are received 7 days prior
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	Early return is subject to the lender's acceptance
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

**Prescribed information**

## Schedule

Type of agreement	Overseas Securities Lending Agreement
Parties to agreement	Credit Suisse Securities (USA) LLC Limited and Citibank N.A.
Transfer date	05-Nov-2018
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

