

1 August 2019



The Manager
Companies Announcement Office
ASX Limited
Level 4, 20 Bridge Street
SYDNEY NSW 2000

Appendix 3Y – Late Lodgement

Universal Coal Plc (“Universal” or “the Company”), (ASX:UNV) encloses an Appendix 3Y for Mr Carlos Baravalle that was not lodged in time due to an administrative oversight.

Carlos Baravalle sold UNV shares on-market on 8 July 2019. Upon being notified of the oversight, the Company has taken action and lodged the relevant Appendix 3Y enclosed.

The Company has reviewed the administrative error that caused the late lodgement of Mr Baravalle’s Appendix 3Y and confirms that Universal’s existing arrangements are considered adequate and the late lodgement of this particular Appendix 3Y notice was an isolated incident. The Company is mindful of its disclosure obligations under ASX Listing Rule 3.19A.2. The directors are also aware of their personal obligation involving Universal’s securities.

Yours sincerely

A handwritten signature in black ink, appearing to read "Anna Sandham".

Anna Sandham
Universal Coal Plc

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Appendix 3Y

Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

Name of entity	UNIVERSAL COAL PLC
ARBN	143 750 038

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Carlo Baravalle
Date of last notice	09/04/2018

Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	N/A
Date of change	8 July 2019
No. of securities held prior to change	<u>Direct</u> 750,000 CDIs <u>Indirect</u> Director of African Minerals Exploration & Development GP SARL (the 100% shareholder of Coal Development Holdings BV (CDH)). CDH is a major shareholder of UNV 143,467,056 CDIs
Class	Ordinary Shares /CDIs

+ See chapter 19 for defined terms.

Appendix 3Y

Change of Director's Interest Notice

Number acquired	Nil
Number disposed	<u>Direct</u> 100,000 <u>Indirect</u> Nil
Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	A\$0.28 per CDI
No. of securities held after change	<u>Direct</u> 650,000 CDIs <u>Indirect</u> 143,467,056 CDIs (no change)
Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	On market trade

Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	N/A
Nature of interest	
Name of registered holder (if issued securities)	
Date of change	
No. and class of securities to which interest related prior to change Note: Details are only required for a contract in relation to which the interest has changed	
Interest acquired	
Interest disposed	
Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation	
Interest after change	

+ See chapter 19 for defined terms.

Part 3 – ⁺Closed period

Were the interests in the securities or contracts detailed above traded during a ⁺ closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/A
If prior written clearance was provided, on what date was this provided?	N/A

⁺ See chapter 19 for defined terms.