

---

## Form 603

Corporations Act 2001

Section 671B

### Notice of initial substantial holder

---

To: Company Name/Scheme

Northern Cobalt Pty Ltd

ACN/ARSN

617 789 732

#### 1. Details of substantial holder (1)

Name

Yuyang Luo

CAN/ARSN (if applicable)

The holder became a substantial holder on 9 / 08/ 2019

#### 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
Ordinary Shares	3,600,000	3,600,000	5.45%

#### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Yuyang Luo	Individual	3,600,000 fully paid ordinary shares

#### 4. Details of present registered holders

The persons registered as holders of the securities referred in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Yuyang Luo	Yuyang Luo	Yuyang Luo	3,600,000 fully paid ordinary shares

#### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Yuyang Luo	9/08/2019	3,220		100,000 fully paid ordinary shares
Yuyang Luo	7/08/2019	5,911		184,413 fully paid ordinary shares
Yuyang Luo	4/08/2019	509		15,588 fully paid ordinary shares
Yuyang Luo	7/07/2019	992		24,965 fully paid ordinary shares
Yuyang Luo	4/07/2019	2,176		55,906 fully paid ordinary shares
Yuyang Luo	30/06/2019	1,010		29,411 fully paid ordinary shares
Yuyang Luo	30/06/2019	1,010		29,411 fully paid ordinary shares
Yuyang Luo	29/06/2019	2,462		70,000 fully paid ordinary shares
Yuyang Luo	29/06/2019	1,010		29,411 fully paid ordinary shares
Yuyang Luo	29/06/2019	1,010		31,250 fully paid ordinary shares
Yuyang Luo	28/06/2019	549		17,150 fully paid ordinary shares
Yuyang Luo	27/06/2019	998		28,571 fully paid ordinary shares
Yuyang Luo	26/06/2019	461		14,100 fully paid ordinary shares
Yuyang Luo	26/06/2019	1,010		28,571 fully paid ordinary shares
Yuyang Luo	26/06/2019	1,010		27,777 fully paid ordinary shares
Yuyang Luo	26/06/2019	1,010		27,027 fully paid ordinary shares
Yuyang Luo	26/06/2019	994		25,641 fully paid ordinary shares
Yuyang Luo	22/06/2019	2,020		50,000 fully paid ordinary shares
Yuyang Luo	22/06/2019	1,970		50,000 fully paid ordinary shares
Yuyang Luo	20/06/2019	5,457		130,000 fully paid ordinary shares
Yuyang Luo	14/06/2019	1,795		43,787 fully paid ordinary shares
Yuyang Luo	13/06/2019	2,325		56,213 fully paid ordinary shares
Yuyang Luo	9/06/2019	2,070		50,000 fully paid ordinary shares
Yuyang Luo	8/06/2019	2,170		50,000 fully paid ordinary shares
Yuyang Luo	5/06/2019	1,010		22,222 fully paid ordinary shares
Yuyang Luo	2/06/2019	4,120		100,000 fully paid ordinary shares
Yuyang Luo	1/06/2019	1,010		23,809 fully paid ordinary shares
Yuyang Luo	31/05/2019	3,315		76,627 fully paid ordinary shares
Yuyang Luo	26/05/2019	7,453		158,150 fully paid ordinary shares

## 6. Associates

Name and CAN/ARSN (if applicable)	Nature of association
N/A	

## 7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Yuyang Luo	Unit20207/ 63 blamey St Kelvin Grove QLD 4059

**[Redacted]**

## Signature

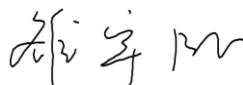
Print name

Yuyang Luo

capacity

Individual

Sign here



date 9 / 08 / 2019

**[Redacted]**

## DIRECTIONS

(1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.

(2) See the definition of "associate" in section 9 of the Corporations Act 2001.

(3) See the definition of "relevant interest" in sections 608 and 671B (7) of the Corporations Act 2001.

(4) The voting shares of a company constitute one class unless divided into separate classes.

(5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.

(6) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.

(7) Include details of:

(a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B (4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and

(b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.

(8) If the substantial holder is unable to determine the identity of the person ( eg. if the relevant interest arises because of an option) write "unknown".

(9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.