

ASX release

30 October 2019

**Update to Appendix 3Y**

Raiz Invest Limited (ASX: RZI) (**Company**) refers to the Appendix 3Y for Mr George Lucas that accompanies this letter.

The Appendix 3Y relates to an update to Mr Lucas's interests as a result of the two share purchases by Mr Lucas's super fund (G & S LUCAS PTY LTD <G & S LUCAS SUPER FUND>) in August and September 2018.

The reason for the update is that the contract notes for the two relevant share trades, for a total of 3,500 RZI shares, were not received by the Company from the broker due to an administrative oversight. The Company issued this update as soon as it became aware of the two trades.

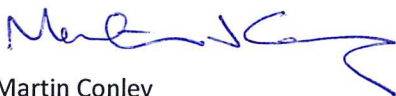
The Company has the following arrangements in place under ASX listing rule 3.19B with its directors to ensure that it is able to meet its disclosure obligations under ASX listing rule 3.19A:

- (a) the Company and its directors are aware of their obligations under the listing rules including ASX listing rules 3.19A and 3.19B;
- (b) in accordance with ASX listing rule 3.19B and ASX Guidance Note 22, the Company has entered into Disclosure of Director's Interests Agreements with each of its directors when they are appointed to the Company's Board, which are regularly reviewed and if necessary, updated at each Board meeting; and
- (c) the Company uses a combination of internal reporting systems and alerts offered by Computershare Investor Services to manage its disclosure obligation under ASX listing rule 3.19A.

The Company confirms that following this incident, it has reviewed and enhanced its processes (including instituting regular checks against the share register) and believes that it has the necessary reporting, notification and enforcement policies in place to ensure compliance with its disclosure obligations under ASX Listing Rule 3.19A, and considers the late lodgement of the Appendix 3Y to be an isolated incident.

The Company is satisfied that its procedures are adequate to ensure compliance with ASX Listing Rule 3.19B.

Yours sincerely

A handwritten signature in blue ink, appearing to read 'Martin Conley', with a long horizontal stroke extending to the right.

Martin Conley  
Company Secretary  
Raiz Invest Limited

## Appendix 3Y

### Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

Name of entity	RAIZ INVEST LIMITED (ASX: RZI)
ABN	74 615 510 177

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	GEORGE SIMON LUCAS
Date of last notice	25 June 2019

#### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct
Nature of indirect interest (including registered holder) <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	Not applicable
Date of change	30 October 2019
No. of securities held prior to change	Total of 6,759,851 Shares, held as follows: <ul style="list-style-type: none"><li>• 10,000, held personally by Mr George Simon Lucas;</li><li>• 391,513 Ordinary Shares, held by G &amp; S LUCAS PTY LTD &lt;G &amp; S LUCAS SUPER FUND&gt;; and</li><li>• 6,358,338 Ordinary Share, held by BBH-GL NOMINEES PTY LTD &lt;BACK BEACH PTY LTD &amp; BACK BEACH FAMILY A/C&gt;</li></ul>
Class	Ordinary Shares
Number acquired	3,500 shares

+ See chapter 19 for defined terms.

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### Change of Director's Interest Notice

<b>Number disposed</b>	Nil
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	1,000 @ \$0.87 per share (settled on 13 August 2018)  2,500 @ \$0.89 per share (settled on 14 September 2018)
<b>No. of securities held after change</b>	6,763,351 Shares
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	On-market purchase by G&S Lucas Pty Ltd <G & S Lucas Super Fund> of 3,500 Shares (refer update notice attached to this Appendix 3Y)

### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	Not applicable
<b>Nature of interest</b>	Not applicable
<b>Name of registered holder (if issued securities)</b>	Not applicable
<b>Date of change</b>	Not applicable
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	Not applicable
<b>Interest acquired</b>	Not applicable
<b>Interest disposed</b>	Not applicable
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	Not applicable
<b>Interest after change</b>	Not applicable

### Part 3 – <sup>+</sup>Closed period

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	No
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<sup>+</sup> See chapter 19 for defined terms.

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If so, was prior written clearance provided to allow the trade to proceed during this period?	Not applicable
If prior written clearance was provided, on what date was this provided?	Not applicable

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+ See chapter 19 for defined terms.