

Form 603
Corporations Act 2001
Section 671B
Notice of initial substantial holder

To: Company Name/Scheme: **Infigen Energy, stapled group comprising Infigen Energy Limited (ABN 39 105 051 616) and Infigen Energy Trust (ARSN 116 244 118), with Infigen Energy RE Limited (ABN 61 113 813 997)(AFSL 290 710) as responsible entity of Infigen Energy Trust ('IFN')**

ACN/ARSN: **105 051 616**

1. Details of substantial holder

Name **Mitsubishi UFJ Financial Group, Inc.**

The holder became a substantial holder on: **07/01/2020**

The holder became aware on: **09/01/2020**

2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate had a relevant interest in on the date the substantial holder became a substantial holder are as follows:

Class of securities	Number of securities	Person's votes	Voting power (%)
Fully Paid ordinary shares	59,787,669	59,787,669	6.21%

3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest	Class and number of securities
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that First Sentier Investors Holdings Pty Limited has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of 100% in First Sentier Investors Holdings Pty Limited.	36,022,766 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	5,807,592 Stapled securities
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	13,521,695 Stapled securities
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	4,425,579 Stapled securities
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	10,037 Stapled securities

4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder	Class and number of securities
Mitsubishi UFJ Financial Group, Inc.	Citibank N A Hong Kong		22,771,989 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	JP Morgan Chase Bank NA		1,587,842 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	JPMorgan (UK)		3,177,286 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	National Custodian Services		6,505,699 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	HSBC Bank Australia Limited		1,887,730 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	National Bank Nominees Pty Limited		92,220 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc.	HSBC Custody Nominees (Australia) Limited		10,037 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	HSBC Custody Nominees (Australia) Limited		19,329,287 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	Morgan Stanley Australia Securities (Nominee) Pty Limited		4,425,579 Stapled Securities

5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration		Class and number of securities
		Cash	Non-cash	
See annexure B to this notice				

6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
See annexure A to this notice	Each company referred to is an associate of Mitsubishi UFJ Financial Group, Inc. under section 12 of the Corporations Act

7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Mitsubishi UFJ Financial Group, Inc.	2-7-1, Marunouchi, Chiyoda-ku, Tokyo 100-8330, Japan

8. Signature



Dated 10 January 2020

Ryuichiro Sakuma

Authorised signatory

Annexure A

This is annexure A of 5 pages referred to in Form 603, Notice of initial substantial holder dated 10 January 2020

R. Sakuma

Ryuichiro Sakuma | Authorised signatory

Dated 10 January 2020

SCHEDULE

MUFG Bank, Ltd.
Mitsubishi UFJ Trust and Banking Corporation
Mitsubishi UFJ Securities Holdings Co., Ltd.
MU Business Engineering, Ltd.
The Mitsubishi UFJ Factors Limited
Mitsubishi UFJ Jinji Service Co., Ltd.
MU Techno-service Co., Ltd.
Tokyo Credit Service, Ltd.
Tokyo Associates Finance Corp.
MU Business Service Co., Ltd.
Mitsubishi UFJ Loan Business Co., Ltd.
Mitsubishi UFJ Information Technology, Ltd.
MU Center Service Tokyo Co., Ltd.
MU Center Service Nagoya Co., Ltd.
MU Center Service Osaka Co., Ltd.
MU Business Aid Co., Ltd.
MU Property Reserch Company Limited
Mitsubishi UFJ Home Loan CREDIT CO., LTD.
The Diamond Home Credit Company Limited
Mitsubishi UFJ Reserch & Consulting Co., Ltd.
MU Frontier Servicer Co., Ltd.
Otemachi Guarantee Co., Ltd.
GD Holdings Company Limited
MU Loan Administration Support Co., Ltd.
MU Communications Co., Ltd.
Japan Electronic Monetary Claim Organization
MU Business Partner Co., Ltd.
MUT Business Outsourcing Co., Ltd.
Mezzanine Solution II Limited Partnership
Mezzanine Solution III Limited Partnership
Mitsubishi UFJ Capital Co., Ltd.
Mitsubishi UFJ Capital III, Limited Partnership
Mitsubishi UFJ Capital IV, Limited Partnership
Mitsubishi UFJ Capital V, Limited Partnership
Mitsubishi UFJ Capital VI, Limited Partnership
Mitsubishi UFJ Capital VII, Limited Partnership
Mitsubishi UFJ Life Science 1, Limited Partnership
Mitsubishi UFJ Life Science 2, Limited Partnership
Tohoku Senary Industry Support, Limited Partnership
OiDE Fund Investment Limited Partnership
Mitsubishi UFJ Personal Financial Advisers Co., Ltd.
Mitsubishi UFJ Financial Partners Co., Ltd.
The Mitsubishi Asset Brains Company, Limited
BOT Lease Co., Ltd.
The Chukyo Bank, Ltd.
Nippon Mutual Housing Loan Co., Ltd.
JM Real Estate Co., Ltd.
Jibun Bank Corporation
JACCS CO., LTD.
JALCARD Inc.
Yume Shokei Fund No.2
Yume Shokei Fund No.3
Marunouchi Capital Fund II Limited Partnership
M·U·Trust Sougou Kanri Co., Ltd.
Mitsubishi UFJ Trust Business Co., Ltd.
Ryoshin Data Co., Ltd.
Mitsubishi UFJ Trust Systems Co., Ltd.
Mitsubishi UFJ Trust Investment Technology Institute Co., Ltd.
Mitsubishi UFJ Trust Hosyo Co., Ltd.
M·U·Trust·Apple Planning Company, Ltd.

Mitsubishi UFJ Real Estate Services Co., Ltd.
 Mitsubishi UFJ Daiko Business Co., Ltd.
 The Master Trust Bank of Japan, Ltd.
 MU Investments Co., Ltd.
 Japan Shareholder Services Ltd.
 Mitsubishi UFJ Kokusai Asset Management Co., Ltd.
 Tokumei-Kumiai (CPI)
 Tokumei-Kumiai (GII)
 Tokumei-Kumiai (Gate Bridge 1)
 Tokumei-Kumiai (CENTOMILIARDO)
 Tokumei-Kumiai (MEET)
 Tokumei-Kumiai (FIELD)
 Ippan Shadan Houjin Leone
 Jointly Managed Monetary Trust Fund (Fund Number:550001)
 MU Trust Property Management Co., Ltd.
 Tokumei-Kumiai (RICE)
 TOKKINGAI (Fund Number.900338)
 TOKKINGAI (Fund Number.900327)
 TOKKINGAI (Fund Number.900344)
 AMP Capital Investors KK
 MM Partnership
 MUS Information Systems Co., Ltd.
 MUS Business Service Co., Ltd.
 Mitsubishi UFJ Morgan Stanley Securities Co., Ltd.
 Mitsubishi UFJ Morgan Stanley PB Securities Co., Ltd.
 kabu.com Securities Co., Ltd.
 Morgan Stanley MUFG Securities Co., Ltd.
 Mitsubishi UFJ NICOS Co., Ltd.
 Card Business Service Co.,Ltd.
 MU NICOS Business Service Co., Ltd.
 MU NICOS Credit Co.,Ltd.
 Ryoshin DC Card Company Ltd.
 JMS Co., Ltd.
 Paygent Co., Ltd.
 JA Card Co., Ltd
 ACOM CO., LTD.
 IR Loan Servicing, Inc.
 MU Credit Guarantee Co., Ltd.
 Japan Digital Design, Inc.
 Crowd Money Inc.
 MUMEC Visionary Design, Ltd.
 Global Open Network, Inc.
 Global Open Network Japan, Inc.
 MUFG Innovation Partners Co., Ltd.
 MUFG Innovation Partners No.1 Investment Partnership
 Mitsubishi Research Institute DCS Co.,Ltd.
 HR Solution DCS Co.,Ltd.
 Mitsubishi UFJ Lease & Finance Company Limited
 Hitachi Capital Corporation
 Nihombashi TG Jigyo Kyodo Kumiai
 NIHOMBASHI TG SERVICE CO., LTD.
 SHIN-NIHOBASHI TSUSHO CO., LTD.
 Solution Design Co.,Ltd.
 Nippon Record Keeping Network Co., Ltd.
 Banco MUFG Brasil S.A.
 9808680 Canada Inc.
 BTMU (Curacao) Holdings N.V.
 MUFG Bank (Europe) N.V.
 MUFG Business Services (Holland) B.V.
 MUFG Funding (UK) Limited
 MUFG Europe Lease (Deutschland) GmbH
 MUFG Bank (Malaysia) Berhad
 MUFG North America International, Inc.
 MUFG Bank Mexico, S.A.
 MUFG Nominees (HK) Limited
 MUFG Nominees (UK) Limited
 MUFG Americas Holdings Corporation
 MUFG Union Bank, N.A.
 Union Bank of California Leasing, Inc.
 UBOC Community Development Corporation
 UnionBanc Investment Services, LLC
 Bankers Commercial Corporation
 UnionBanCal Equities, Inc.
 UnionBanCal Leasing Corporation
 UnionBanCal Mortgage Corporation
 Mills-Ralston, Inc.
 HighMark Capital Management, Inc.
 BCC OX I, Inc.
 BCC OX II, Inc.

SEMA OP9 LLC
 SEMA OP8 LLC
 MORGANTOWN OL6 LLC
 MORGANTOWN OL7 LLC
 MORGANTOWN OL5 LLC
 DICKERSON OL4 LLC
 TRL One A, LLC
 TRL One B, LLC
 TRL One, LP
 BM1,LLC
 UB Leasing Corporation
 Pacific Capital Statutory Trust I
 Shiloh IV Wind Project, LLC
 Shiloh IV Holdings Lessor Trust
 Green Union I Trust
 Green Union II Trust
 Green Union III Trust
 Tohlease Corporation
 MUFG Americas Funding Corporation
 MUFG Americas Financial & Leasing Corporation B-4
 U.B. Vehicle Leasing, Inc.
 MUFG Americas Leasing Corporation
 MUFG Americas Financial Services, Inc.
 MUFG Americas Leasing (Canada) Corporation
 MUFG Americas Leasing & Finance, Inc.
 MUFG Americas Capital Leasing & Finance, LLC
 MUFG Americas Corporate Advisory, Inc.
 MUFG Americas LF Capital LLC
 MUFG Americas Capital Company
 MUFG Fund Services (USA) LLC
 MUFG Securities Americas Inc.
 MUFG Capital Analytics LLC
 MUFG Investor Services (US), LLC
 Intrepid Investment Bankers LLC
 Southern California Business Development Corporation
 Catalina Solar Holdings Lessor Trust
 Catalina Solar, LLC
 Morgan Stanley MUFG Loan Partners, LLC
 PT U Finance Indonesia
 PT. MU Research and Consulting Indonesia
 MU Research and Consulting (Thailand) Co., Ltd.
 MUFG Participation (Thailand) Co., Ltd.
 AO MUFG Bank (Eurasia)
 MUFG Bank (China), Ltd.
 BTMU Preferred Capital 8 Limited
 BTMU Preferred Capital 9 Limited
 BTMU Liquidity Reserve Investment Limited
 MUFG Bank Turkey Anonim Sirketi
 Bank of Ayudhya Public Company Limited
 Krungsri Ayudhya AMC Limited
 Krungsri Factoring Company Limited
 Ayudhya Development Leasing Company Limited
 Ayudhya Capital Auto Lease Public Company Limited
 Krungsriayudhya Card Company Limited
 General Card Services Limited
 Ayudhya Capital Services Company Limited
 Krungsri General Insurance Broker Limited
 Krungsri Life Assurance Broker Limited
 Krungsri Asset Management Company Limited
 Total Services Solutions Public Company Limited
 Ngern Tid Lor Company Limited
 Krungsri Securities Public Company Limited
 Siam Realty and Services Security Co.,Ltd.
 Krungsri Leasing Services Co., Ltd.
 Hattha Kaksekar Limited
 Krungsri Finnovate Co., Ltd.
 Tesco Card Services Limited
 BTMU Liquidity Reserve Investment 2 Limited
 BTMU Liquidity Reserve Investment 3 Limited
 PT Guna Dharma
 PT Bank Danamon Indonesia, Tbk.
 PT Adira Quantum Multifinance
 PT Adira Dinamika Multi Finance Tbk
 PT Asuransi Adira Dinamika
 BOT Lease (HK) Co., Ltd.
 PT Bumiputera - BOT Finance
 BOT Lease Holding Philippines, Inc.
 BOT Lease and Finance, Philippines, Inc.
 BOT Lease (Thailand) Co., Ltd.

MUFG Holding (Thailand) Co., Ltd.
 Bangkok MUFG Limited
 BOT Lease(Eurasia)LLC
 BOT Lease (Tianjin) Co., Ltd.
 BOTL Factoring (Shanghai) Co., Ltd.
 BOT LEASE MEXICO S.A. DE C.V.
 BOT FINANCE MEXICO, S.A. DE C.V., SOFOM, E.N.R.
 GOLDEN ASIA FUND VENTURES LTD.
 Lakefield Wind Project OP Trust
 Lakefield Wind Project, LLC
 Pacwind Holdings Lessor Trust
 Pacific Wind, LLC
 Vietnam Joint Stock Commercial Bank for Industry and Trade
 GOLDEN ASIA FUND II, L.P.
 Security Bank Corporation
 Mitsubishi UFJ Trust International Limited
 Mitsubishi UFJ Baillie Gifford Asset Management Limited
 Mitsubishi UFJ Investor Services & Banking (Luxembourg) S.A.
 MUFG Lux Management Company S.A.
 Mitsubishi UFJ Investment Services (HK) Limited
 Mitsubishi UFJ Asset Management (UK) Ltd.
 LUX J1 FUND
 MUFG Investor Services Holdings Limited
 MUFG Fund Services (Bermuda) Limited
 MUFG Fund Services (Cayman) Limited
 MUFG Fund Services (Cayman) Group Limited
 MUFG Fund Services (Ireland) Limited
 Fund Secretaries Limited
 MUFG Fund Services Limited
 MUFG Fund Services (Canada) Limited
 MUFG Fund Services (UK) Limited
 MUFG Fund Services (Halifax) Limited
 MUFG Fund Services (Singapore) Pte. Ltd.
 MUFG Fund Services (Hong Kong) Limited
 MUFG Alternative Fund Services (Cayman) Limited
 Firtown International Holdings Ltd.
 General Secretaries Ltd.
 AFS Controlled Subsidiary 1 Ltd.
 AFS Controlled Subsidiary 2 Ltd.
 AFS Controlled Subsidiary 3 Ltd.
 MUFG Alternative Fund Services (Ireland) Limited
 MUFG Alternative Fund Services (Jersey) Limited
 MUFG Jersey Management Company Limited
 MUFG Controlled Subsidiary 1 (Cayman) Limited
 SWS MU FUND MANAGEMENT CO.,LTD.
 SWSMU (SHANGHAI) ASSETS MANAGEMENT COMPANY LIMITED
 AMP Capital Holdings Limited
 MUFG Global Fund SICAV
 MUFG Securities EMEA plc
 MUFG Securities (Europe) N.V.
 MUFG Securities Asia (Singapore) Limited
 MUFG Securities Asia Limited
 Mitsubishi UFJ Wealth Management Bank (Switzerland), Ltd.
 MUFG Securities (Canada), Ltd.
 EASY BUY Public Company Limited
 ACOM CONSUMER FINANCE CORPORATION
 MUFG Capital Finance 8 Limited
 MUFG Capital Finance 9 Limited
 GOLDEN ASIA FUND, L.P.
 DCS Information Technology (Shanghai) Co., Ltd
 MRIDCS Americas, Inc.
 Morgan Stanley
 Purple Finance (Cayman) International Ltd.
 Bangkok Mitsubishi UFJ Lease Co., Ltd
 The California-Sansome Corporation
 First Sentier Investors Holdings Pty Limited
 First Sentier Investors (Australia) IP Holdings Pty Limited
 First Sentier Investors (Australia) Services Pty Limited
 CFSPAI EUROPE CO LIMITED (COMPANY CODE C41267)
 CFSPAI EUROPE HOLDCO LIMITED (COMPANY CODE C41260)
 First Sentier Investors (Australia) IMLtd
 First Sentier Investors (Australia) Infrastructure Holdings Ltd
 First Sentier Investors (Australia) Infrastructure Managers PtyLtd
 COLONIAL FIRST STATE INVESTMENT MANAGERS (UK) LIMITED (COMPANY NO. 00153197)
 First Sentier Investors (Australia) RE Ltd
 EDIF II GP S.A.R.L. (COMPANY NO. B204413)
 FIRST STATE EUROPEAN DIVERSIFIED INFRASTRUCTURE S.A.R.L. (COMPANY NO. B134314)
 FIRST STATE INFRASTRUCTURE MANAGERS (INTERNATIONAL) LIMITED (COMPANY NO. 298444)
 FIRST STATE INVESTMENT MANAGEMENT (UK) LIMITED (COMPANY NO. SC047708)

AnnexureA

First Sentier Investors Asia Holdings Limited
FIRST STATE INVESTMENT SERVICES (UK) LIMITED (COMPANY NO. 03904320)
FIRST STATE INVESTMENTS (HONG KONG) LIMITED (CR NO. 0206616)
FIRST STATE INVESTMENTS (IRELAND) LIMITED (COMPANY NO. 629188)
FIRST STATE INVESTMENTS (JAPAN) LIMITED (COMPANY NUMBER 0104-01-093090)
FIRST STATE INVESTMENTS (SINGAPORE) (COMPANY NO. 02294743)
FIRST STATE INVESTMENTS (UK HOLDINGS) LIMITED (COMPANY NO. 03904310)
FIRST STATE INVESTMENTS (UK) LIMITED (COMPANY NO. 02294743)
FIRST STATE INVESTMENTS (US) LLC (FILE NUMBER 546 9442)
FIRST STATE INVESTMENTS FUND MANAGEMENT S.A.R.L (COMPANY NO. B128117)
FIRST STATE INVESTMENTS HOLDINGS (SINGAPORE) LIMITED (REGISTRATION NO. 199901706Z)
FIRST STATE INVESTMENTS INTERNATIONAL LIMITED (COMPANY NO. SC079063)
FIRST STATE NOMINEES (HONG KONG) LIMITED (CR NO. 0206615)
FSIB LTD
First Sentier Investors Realindex Pty Ltd
SI HOLDINGS LIMITED (COMPANY NO. SC109439)
TOTAL KEEN INVESTMENT LIMITED (CR NO. 0580652)

Annexure B

This is annexure B of 13 pages referred to in Form 603, Notice of initial substantial holder dated 10 January 2020



Ryuichiro Sakuma

Authorised signatory

Dated 10 January 2020

Holder of relevant interest	Date of acquisition	Consideration cash	Consideration non-cash	Class and number of securities affected
Mitsubishi UFJ Financial Group, Inc.	5/09/2019	120,405.48	N/A	181,799 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	5/09/2019	25,619.63	N/A	39,567 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	9/09/2019	77.47	N/A	122 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	9/09/2019	683.98	N/A	1,090 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	9/09/2019	1,527.12	N/A	2,424 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	9/09/2019	296.96	N/A	464 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	9/09/2019	294.64	N/A	464 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	9/09/2019	1,602.74	N/A	2,524 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	9/09/2019	887.1	N/A	1,397 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	9/09/2019	2,726.08	N/A	4,310 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	9/09/2019	2,944.00	N/A	4,600 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	9/09/2019	395.64	N/A	628 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	9/09/2019	1,053.79	N/A	1,653 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	9/09/2019	1,196.80	N/A	1,870 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	9/09/2019	8,460.27	N/A	13,429 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	9/09/2019	1,142.37	N/A	1,778 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	9/09/2019	276.28	N/A	430 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	9/09/2019	642.56	N/A	1,004 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	9/09/2019	1,719.14	N/A	2,718 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	9/09/2019	1,204.17	N/A	1,919 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	9/09/2019	411.13	N/A	650 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	9/09/2019	1,327.79	N/A	2,091 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	9/09/2019	311.74	N/A	489 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	10/09/2019	1,281.11	N/A	2,058 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	10/09/2019	1,019.97	N/A	1,619 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	10/09/2019	1,575.00	N/A	2,520 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	10/09/2019	574.41	N/A	934 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	10/09/2019	1,328.51	N/A	2,169 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	10/09/2019	309.93	N/A	490 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	10/09/2019	571.95	N/A	930 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	10/09/2019	559.1	N/A	891 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	10/09/2019	1,279.46	N/A	2,072 Stapled Securities

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Mitsubishi UFJ Financial Group, Inc.	10/09/2019	300.08	N/A	484 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	10/09/2019	584.33	N/A	954 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	10/09/2019	2,121.64	N/A	3,422 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/09/2019	1,522.10	N/A	2,455 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/09/2019	39.06	N/A	63 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/09/2019	2,502.45	N/A	4,020 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/09/2019	258.54	N/A	417 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/09/2019	6,275.02	N/A	10,121 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/09/2019	6,648.77	N/A	10,811 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/09/2019	965.63	N/A	1,545 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/09/2019	310.63	N/A	497 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/09/2019	1,012.41	N/A	1,607 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/09/2019	192.35	N/A	309 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/09/2019	609.3	N/A	971 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	12/09/2019	1,505.14	N/A	2,361 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	12/09/2019	56.96	N/A	89 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	12/09/2019	3,375.70	N/A	5,254 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	12/09/2019	1,473.92	N/A	2,303 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	12/09/2019	770.78	N/A	1,195 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	13/09/2019	4,928.77	N/A	7,612 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	13/09/2019	679.05	N/A	1,006 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	13/09/2019	577.92	N/A	896 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	13/09/2019	796.06	N/A	1,239 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	16/09/2019	883.11	N/A	1,333 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	16/09/2019	430.63	N/A	650 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	16/09/2019	1,411.13	N/A	2,122 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	16/09/2019	1,165.25	N/A	1,779 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	16/09/2019	590.82	N/A	916 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	16/09/2019	29.36	N/A	45 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	16/09/2019	4,076.82	N/A	6,177 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	16/09/2019	1,198.60	N/A	1,844 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	16/09/2019	8,680.52	N/A	12,956 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	16/09/2019	173.55	N/A	267 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	16/09/2019	1,971.06	N/A	2,964 Stapled Securities

AnnexureB

Mitsubishi UFJ Financial Group, Inc.	16/09/2019	1,215.72	N/A	1,842 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	16/09/2019	29.36	N/A	45 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	17/09/2019	941.7	N/A	1,460 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	17/09/2019	291.2	N/A	448 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	17/09/2019	5,577.65	N/A	8,581 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	17/09/2019	413.44	N/A	646 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	17/09/2019	152.96	N/A	239 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	17/09/2019	312.1	N/A	482 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	17/09/2019	97.92	N/A	153 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	18/09/2019	7,334.69	N/A	11,198 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	18/09/2019	517.4	N/A	796 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	18/09/2019	31.97	N/A	49 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	19/09/2019	1,115.40	N/A	1,716 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	19/09/2019	290.82	N/A	444 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	19/09/2019	4,389.05	N/A	6,705 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	19/09/2019	367.46	N/A	561 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	19/09/2019	790.4	N/A	1,216 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	19/09/2019	4,389.09	N/A	6,705 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	19/09/2019	612.43	N/A	935 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	19/09/2019	316.14	N/A	479 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	20/09/2019	0.64	N/A	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	20/09/2019	632.96	N/A	989 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	20/09/2019	1,340.90	N/A	2,120 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	20/09/2019	612	N/A	960 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	20/09/2019	18,547.20	N/A	29,440 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	20/09/2019	18.27	N/A	29 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	20/09/2019	582.93	N/A	918 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	20/09/2019	4,525.92	N/A	7,184 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	20/09/2019	414.38	N/A	650 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	20/09/2019	1,360.80	N/A	2,160 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	20/09/2019	2,350.91	N/A	3,659 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	20/09/2019	54,697.86	N/A	86,822 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	23/09/2019	6,258.36	N/A	10,135 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	23/09/2019	3,338.75	N/A	5,342 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	23/09/2019	1,975.31	N/A	3,225 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	23/09/2019	259.35	N/A	420 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	23/09/2019	942.8	N/A	1,533 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	23/09/2019	1,433.00	N/A	2,302 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	23/09/2019	259.35	N/A	420 Stapled Securities

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Mitsubishi UFJ Financial Group, Inc.	23/09/2019	1,934.11	N/A	3,107 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	23/09/2019	1,525.20	N/A	2,460 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	23/09/2019	66,622.69	N/A	107,891 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	23/09/2019	15,589.41	N/A	25,246 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	23/09/2019	20,098.39	N/A	32,548 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	23/09/2019	62,365.67	N/A	99,961 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	23/09/2019	15,395.51	N/A	24,932 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	23/09/2019	14,412.09	N/A	23,100 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	23/09/2019	14,593.02	N/A	23,390 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	23/09/2019	2,012.70	N/A	3,226 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	23/09/2019	18,814.33	N/A	30,156 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	23/09/2019	2,149.52	N/A	3,481 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	24/09/2019	4,787.27	N/A	7,451 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	24/09/2019	117,243.82	N/A	180,876 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	24/09/2019	1,859.40	N/A	2,894 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	24/09/2019	1,265.73	N/A	1,970 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	24/09/2019	31,006.65	N/A	47,835 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	24/09/2019	45,541.24	N/A	70,258 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	30/09/2019	8,519.04	N/A	12,528 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	1/10/2019	699.48	N/A	1,044 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	1/10/2019	750.60	N/A	1,112 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	1/10/2019	1,245.76	N/A	1,832 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	1/10/2019	7,765.24	N/A	11,465 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	1/10/2019	30,508.98	N/A	45,045 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	1/10/2019	2,430.32	N/A	3,574 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	2/10/2019	1,824.41	N/A	2,723 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	2/10/2019	2,034.45	N/A	3,014 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	2/10/2019	581.18	N/A	861 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	3/10/2019	1,020.39	N/A	1,582 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	4/10/2019	426.40	N/A	656 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	4/10/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	12,681,021 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	4/10/2019	109,739.42	N/A	164,035 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	4/10/2019	84,767.97	N/A	127,394 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	7/10/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	80,299 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	8/10/2019	193.38	N/A	293 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	8/10/2019	1,539.48	N/A	2,315 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	8/10/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	664,160 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	8/10/2019	46,616.97	N/A	69,765 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	9/10/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	42,062 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	10/10/2019	293.04	N/A	444 Stapled Securities

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Mitsubishi UFJ Financial Group, Inc.	10/10/2019	0.66	N/A	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/10/2019	8,468.11	N/A	12,734 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/10/2019	4,127.20	N/A	6,160 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/10/2019	0.66	N/A	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/10/2019	338.58	N/A	513 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/10/2019	8,085.66	N/A	12,251 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/10/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	543,776 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	14/10/2019	313.73	N/A	470 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	14/10/2019	3,997.53	N/A	6,034 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	14/10/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	69,563 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	14/10/2019	81,305.56	N/A	122,264 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	15/10/2019	2,691.74	N/A	4,063 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	15/10/2019	270.96	N/A	409 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	15/10/2019	270.96	N/A	409 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	15/10/2019	452.92	N/A	676 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	15/10/2019	971.79	N/A	1,478 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	15/10/2019	227.04	N/A	344 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	15/10/2019	314.69	N/A	475 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	16/10/2019	1,470.75	N/A	2,220 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	16/10/2019	1,397.94	N/A	2,026 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	16/10/2019	1,394.94	N/A	2,082 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	16/10/2019	569.25	N/A	828 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	17/10/2019	557.39	N/A	802 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	17/10/2019	331.38	N/A	482 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	17/10/2019	518.70	N/A	760 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	17/10/2019	460.70	N/A	680 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	17/10/2019	634.31	N/A	926 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	17/10/2019	59.16	N/A	87 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	17/10/2019	600.99	N/A	871 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	17/10/2019	967.64	N/A	1,423 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	17/10/2019	528.82	N/A	772 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	17/10/2019	667.23	N/A	967 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	17/10/2019	528.82	N/A	772 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	17/10/2019	1,399.73	N/A	2,014 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	17/10/2019	288.77	N/A	431 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	18/10/2019	1,406.03	N/A	2,083 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	18/10/2019	2.73	N/A	4 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	18/10/2019	2.73	N/A	4 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	18/10/2019	633.63	N/A	925 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	18/10/2019	505.18	N/A	754 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	18/10/2019	2,636.36	N/A	3,877 Stapled Securities

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Mitsubishi UFJ Financial Group, Inc.	18/10/2019	465.80	N/A	680 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	21/10/2019	281.30	N/A	423 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	21/10/2019	41.18	N/A	61 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	21/10/2019	1,063.26	N/A	1,611 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	21/10/2019	356.44	N/A	532 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	21/10/2019	316.70	N/A	491 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	21/10/2019	5,342.18	N/A	8,156 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	21/10/2019	0.65	N/A	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	21/10/2019	1,741.65	N/A	2,659 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	21/10/2019	534.30	N/A	822 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	21/10/2019	565.71	N/A	835 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	21/10/2019	2.70	N/A	4 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	21/10/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	559,239 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	22/10/2019	741.48	N/A	1,115 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	22/10/2019	98.91	N/A	151 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	22/10/2019	1,909.88	N/A	2,872 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	22/10/2019	2,721.18	N/A	4,092 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	22/10/2019	5,392.62	N/A	8,233 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	22/10/2019	1,536.63	N/A	2,346 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	22/10/2019	1,895.52	N/A	2,872 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	22/10/2019	1,929.85	N/A	2,969 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	22/10/2019	35.24	N/A	54 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	22/10/2019	953.19	N/A	1,428 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	22/10/2019	543.18	N/A	823 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	22/10/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	61,547 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	23/10/2019	2,221.05	N/A	3,417 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	23/10/2019	4,367.84	N/A	6,694 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	23/10/2019	52.80	N/A	80 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	23/10/2019	368.94	N/A	559 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	23/10/2019	601.16	N/A	904 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	23/10/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	2 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	24/10/2019	114.38	N/A	172 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	24/10/2019	1.94	N/A	3 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	24/10/2019	1.94	N/A	3 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	24/10/2019	2,228.85	N/A	3,429 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	24/10/2019	848.90	N/A	1,306 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	24/10/2019	452.40	N/A	696 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	24/10/2019	0.65	N/A	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	24/10/2019	416.30	N/A	638 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	24/10/2019	416.94	N/A	639 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	24/10/2019	967.85	N/A	1,489 Stapled Securities

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Mitsubishi UFJ Financial Group, Inc.	25/10/2019	442.65	N/A	681 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	25/10/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	383,896 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	28/10/2019	2,414.88	N/A	3,744 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	28/10/2019	0.65	N/A	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	28/10/2019	4,602.00	N/A	7,080 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	28/10/2019	1.30	N/A	2 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	28/10/2019	4.60	N/A	7 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	28/10/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	1,246,667 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	29/10/2019	4,855.52	N/A	7,413 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	29/10/2019	0.65	N/A	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	29/10/2019	7.18	N/A	11 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	29/10/2019	1.31	N/A	2 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	29/10/2019	7.83	N/A	12 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	30/10/2019	6,061.73	N/A	9,290 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	30/10/2019	0.65	N/A	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	30/10/2019	429.00	N/A	660 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	30/10/2019	6,062.37	N/A	9,291 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	30/10/2019	2,620.00	N/A	4,000 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	30/10/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	513,432 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	1/11/2019	0.65	N/A	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	1/11/2019	0.65	N/A	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	1/11/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	563,331 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	4/11/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	341,738 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	5/11/2019	21,125.01	N/A	32,813 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	6/11/2019	915,000.00	N/A	1,500,000 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	6/11/2019	132,530.43	N/A	217,263 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	6/11/2019	155,166.97	N/A	252,263 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	7/11/2019	1,105.54	N/A	1,741 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	7/11/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	90,005 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	8/11/2019	323.82	N/A	514 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	8/11/2019	876.65	N/A	1,386 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	8/11/2019	45.15	N/A	70 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	8/11/2019	545.63	N/A	873 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	8/11/2019	741.76	N/A	1,159 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	8/11/2019	13.02	N/A	21 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	8/11/2019	1,547.36	N/A	2,399 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	8/11/2019	108.55	N/A	167 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	8/11/2019	42,934.26	N/A	67,613 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	8/11/2019	1,903.73	N/A	2,998 Stapled Securities

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Mitsubishi UFJ Financial Group, Inc.	8/11/2019	321.30	N/A	504 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	8/11/2019	380.36	N/A	592 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	8/11/2019	1,239.55	N/A	1,907 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	8/11/2019	380.36	N/A	592 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	8/11/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	699,965 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	12/11/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	966 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	14/11/2019	0.64	N/A	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	14/11/2019	2.57	N/A	4 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	14/11/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	197,507 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	15/11/2019	2.56	N/A	4 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	15/11/2019	9.64	N/A	15 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	18/11/2019	10.20	N/A	16 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	18/11/2019	2.54	N/A	4 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	18/11/2019	313.47	N/A	486 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	18/11/2019	32,857.77	N/A	51,330 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	19/11/2019	379.52	N/A	593 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	19/11/2019	3,779.74	N/A	5,929 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	19/11/2019	989.97	N/A	1,559 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	19/11/2019	5.72	N/A	9 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	19/11/2019	15.42	N/A	24 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	19/11/2019	2,976.70	N/A	4,633 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	19/11/2019	23.68	N/A	37 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	19/11/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	59,699 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	20/11/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	21/11/2019	1.93	N/A	3 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	21/11/2019	1.93	N/A	3 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	22/11/2019	0.64	N/A	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	22/11/2019	0.64	N/A	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	22/11/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	17,269 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	25/11/2019	78.11	N/A	123 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	25/11/2019	292.10	N/A	460 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	25/11/2019	1.29	N/A	2 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	26/11/2019	0.68	N/A	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	26/11/2019	0.67	N/A	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	26/11/2019	243.00	N/A	360 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	26/11/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	54,936 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	27/11/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	2,887 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	28/11/2019	175.54	N/A	268 Stapled Securities

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Mitsubishi UFJ Financial Group, Inc.	28/11/2019	332.18	N/A	515 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	28/11/2019	352.30	N/A	542 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	28/11/2019	273.89	N/A	423 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	28/11/2019	423.79	N/A	647 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	28/11/2019	273.89	N/A	423 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	28/11/2019	378.95	N/A	583 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	29/11/2019	29.48	N/A	45 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	29/11/2019	250.88	N/A	392 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	3/12/2019	4,443.86	N/A	7,315 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	3/12/2019	5,043.89	N/A	8,337 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	3/12/2019	3.04	N/A	5 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	3/12/2019	336.20	N/A	558 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	3/12/2019	0.61	N/A	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	4/12/2019	0.61	N/A	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	4/12/2019	3,835.07	N/A	6,287 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	4/12/2019	3,777.73	N/A	6,193 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	4/12/2019	710.17	N/A	1,169 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	4/12/2019	1,046.15	N/A	1,715 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	4/12/2019	54.90	N/A	90 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	4/12/2019	710.17	N/A	1,169 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	4/12/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	457,355 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	5/12/2019	0.62	N/A	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	5/12/2019	21.42	N/A	34 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	5/12/2019	1,325.00	N/A	2,120 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	5/12/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	248,770 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	5/12/2019	104,318.55	N/A	165,585 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	5/12/2019	82,611.17	N/A	132,030 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	5/12/2019	26,568.25	N/A	42,516 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	6/12/2019	587.43	N/A	963 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	6/12/2019	47.58	N/A	78 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	9/12/2019	304.41	N/A	497 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	9/12/2019	198.60	N/A	331 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	10/12/2019	1,335.63	N/A	2,137 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	10/12/2019	171.41	N/A	281 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	10/12/2019	325.50	N/A	525 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	10/12/2019	1,312.29	N/A	2,083 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	10/12/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	45,837 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/12/2019	1,187.11	N/A	1,907 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/12/2019	1,660.68	N/A	2,636 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/12/2019	756.63	N/A	1,201 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/12/2019	2,653.75	N/A	4,246 Stapled Securities

AnnexureB

Mitsubishi UFJ Financial Group, Inc.	11/12/2019	1,952.78	N/A	3,112 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/12/2019	559.86	N/A	903 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/12/2019	64.74	N/A	104 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/12/2019	567.50	N/A	908 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/12/2019	504.38	N/A	807 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/12/2019	45.00	N/A	72 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/12/2019	19.38	N/A	31 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	11/12/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	800 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	12/12/2019	3,226.29	N/A	5,289 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	12/12/2019	2,945.08	N/A	4,828 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	12/12/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	731,635 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	13/12/2019	958.75	N/A	1,534 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	16/12/2019	523.96	N/A	835 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	16/12/2019	3,564.38	N/A	5,749 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	16/12/2019	1,521.48	N/A	2,454 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	16/12/2019	14,442.71	N/A	23,389 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	16/12/2019	44,498.41	N/A	70,993 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	16/12/2019	44,420.39	N/A	71,084 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	16/12/2019	3,570.39	N/A	5,782 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	16/12/2019	10,381.46	N/A	16,613 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	16/12/2019	10,999.71	N/A	17,549 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	16/12/2019	10,980.12	N/A	17,571 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	16/12/2019	10,399.87	N/A	16,592 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	16/12/2019	3,375.26	N/A	5,466 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	17/12/2019	33,314.96	N/A	52,772 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	17/12/2019	284,554.05	N/A	447,905 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	17/12/2019	28,678.88	N/A	45,667 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	17/12/2019	14,278.11	N/A	22,617 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	17/12/2019	65,853.93	N/A	103,658 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	17/12/2019	6,637.33	N/A	10,569 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	17/12/2019	7,710.07	N/A	12,213 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	17/12/2019	4,950.02	N/A	7,841 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	17/12/2019	121,951.55	N/A	191,959 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	17/12/2019	4,260.35	N/A	6,784 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	17/12/2019	42,277.31	N/A	66,547 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	17/12/2019	12,290.59	N/A	19,571 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	18/12/2019	1,825.28	N/A	2,852 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	18/12/2019	0.63	N/A	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	19/12/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	404,283 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	19/12/2019	1,930,122.63	N/A	2,897,212 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	19/12/2019	82,285.69	N/A	123,515 Ordinary shares

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Mitsubishi UFJ Financial Group, Inc.	19/12/2019	21,456.97	N/A	32,208 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	19/12/2019	324,964.37	N/A	487,788 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	20/12/2019	326.19	N/A	498 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	20/12/2019	821.37	N/A	1,254 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	20/12/2019	3,389.76	N/A	5,136 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	20/12/2019	22,036.74	N/A	33,389 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	20/12/2019	45,965.17	N/A	69,909 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	20/12/2019	114,324.13	N/A	173,877 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	20/12/2019	8,859.84	N/A	13,424 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	20/12/2019	10,864.53	N/A	16,524 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	20/12/2019	10,864.53	N/A	16,524 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	20/12/2019	16,278.24	N/A	24,664 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	20/12/2019	14,207.92	N/A	21,609 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	20/12/2019	2,094.18	N/A	3,173 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	20/12/2019	2,094.18	N/A	3,173 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	20/12/2019	1,772.10	N/A	2,685 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	20/12/2019	9,193.17	N/A	13,982 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	20/12/2019	2,738.34	N/A	4,149 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	24/12/2019	0.67	N/A	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	24/12/2019	0.66	N/A	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	24/12/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	21,512 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	27/12/2019	1,134.98	N/A	1,694 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	27/12/2019	20.62	N/A	31 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	27/12/2019	112.56	N/A	168 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	27/12/2019	1,010.77	N/A	1,503 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	27/12/2019	998.58	N/A	1,496 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	30/12/2019	1,349.34	N/A	2,092 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	30/12/2019	115.46	N/A	179 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	30/12/2019	1,565.66	N/A	2,418 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	30/12/2019	267.80	N/A	412 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	31/12/2019	69.66	N/A	108 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	31/12/2019	564.85	N/A	869 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	31/12/2019	18.20	N/A	28 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	31/12/2019	927.48	N/A	1,416 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	31/12/2019	142.35	N/A	219 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	31/12/2019	278.00	N/A	431 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	2/01/2020	0.65	N/A	1 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	2/01/2020	329.34	N/A	499 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	2/01/2020	3,082.95	N/A	4,743 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	2/01/2020	443.44	N/A	677 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	2/01/2020	329.55	N/A	507 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	3/01/2020	0.65	N/A	1 Stapled Securities

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Mitsubishi UFJ Financial Group, Inc.	3/01/2020	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	1,046,496 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	3/01/2020	12,862.16	N/A	19,691 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	3/01/2020	7,146.01	N/A	10,940 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	3/01/2020	953.02	N/A	1,459 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	3/01/2020	4,049.19	N/A	6,199 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	6/01/2020	405.90	N/A	615 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	6/01/2020	94.47	N/A	141 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	6/01/2020	1,311.06	N/A	1,994 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	6/01/2020	561.26	N/A	844 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	7/01/2020	2,044.91	N/A	3,122 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	7/01/2020	1,066.00	N/A	1,603 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	7/01/2020	2,354.88	N/A	3,568 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	7/01/2020	651.73	N/A	995 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	7/01/2020	N/A	Collateral Received by an entity controlled by Morgan Stanley – see Annexure C	12,475,283 Stapled Securities
Mitsubishi UFJ Financial Group, Inc.	7/01/2020	77,987.04	N/A	118,198 Ordinary shares

Annexure C

This is annexure C of 2 pages referred to in Form 603, Notice of initial substantial holder dated 10 January 2020



Ryuichiro Sakuma

Authorised signatory

Dated 10 January 2020

The below schedules are based on the relevant standard agreements. The entity filing the report will, if requested by the company or responsible entity to whom the prescribed form must be given or ASIC, give a copy of the agreement to the company, responsible entity or ASIC.

Schedule	
Type of Agreement	International Prime Brokerage Agreement
Parties to agreement	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and ELLERSTON CAPITAL LIMITED AS RESPONSIBLE ENTITY FOR ELLERSTON AUSTRALIAN MARKET NEUTRAL FUND
Transfer Date	20200107;
Holder of Voting Rights	Prime broker has the right to vote securities rehypothecated from the Client.
Are there any restrictions on voting rights?	Yes/No
If yes, detail Not applicable	
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail Prime broker may return shares which were rehypothecated from the client at any time.	
Does the lender have the right to recall early?	Yes/No
If yes, detail Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.	
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.	

Schedule	
Type of Agreement	International Prime Brokerage Agreement
Parties to agreement	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and TASMAN MARKET NEUTRAL FUND
Transfer Date	20200107;
Holder of Voting Rights	Prime broker has the right to vote securities rehypothecated from the Client.
Are there any restrictions on voting rights?	Yes/No
If yes, detail Not applicable	
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail Prime broker may return shares which were rehypothecated from the client at any time.	
Does the lender have the right to recall early?	Yes/No
If yes, detail Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.	
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.	

Schedule	
Type of Agreement	International Prime Brokerage Agreement
Parties to agreement	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and ATLANTIC ABSOLUTE RETURN FUND
Transfer Date	20200107;
Holder of Voting Rights	Prime broker has the right to vote securities rehypothecated from the Client.
Are there any restrictions on voting rights?	Yes/No

If yes, detail Not applicable	
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail Prime broker may return shares which were rehypothecated from the client at any time.	
Does the lender have the right to recall early?	Yes/No
If yes, detail Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.	
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.	

Schedule	
Type of Agreement	International Prime Brokerage Agreement
Parties to agreement	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and IFM INVESTORS (NOMINEES) LIMITED AS TRUSTEE FOR IFM ASIA-PACIFIC MARKET NEUTRAL WHOLESALE FUND
Transfer Date	20200107
Holder of Voting Rights	Prime broker has the right to vote securities rehypothecated from the Client.
Are there any restrictions on voting rights?	Yes/No
If yes, detail Not applicable	
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail Prime broker may return shares which were rehypothecated from the client at any time.	
Does the lender have the right to recall early?	Yes/No
If yes, detail Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.	
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.	