

**Macquarie Group Limited**  
ABN 94 122 169 279

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17 January 2020

Ms Kimberley Brown  
Manager, Listings Compliance  
Australian Securities Exchange



Dear Kimberley

**Macquarie Group Limited (MQG) - Appendix 3Y**

Please find attached an Appendix 3Y for Mr Gary Banks pursuant to ASX listing rule 3.19A, regarding interests held by the joint trustees of the Banks Superannuation Fund in a managed fund, of which a subsidiary of Macquarie Group Limited is the responsible entity. Mr Banks is not a director of the relevant Macquarie subsidiary. We note the notice in relation to this holding was not lodged within the requisite timeframe due to the late notification of the acquisition to Mr Banks by his financial adviser. Mr Banks notified Macquarie's Legal & Governance Division, and the notice was prepared and lodged, as soon as practicable after confirmation of the information was received.

Macquarie has a range of processes in place to ensure compliance with listing rule 3.19A, including monitoring by Macquarie staff and liaison with directors. As the delay in this case was due to a one-off administrative error by an external party, Macquarie does not consider that any further arrangements or changes to ensure compliance need to be introduced.

Yours sincerely

Dennis Leong  
Company Secretary

Macquarie Group Limited is not an authorised deposit-taking institution for the purposes of the Banking Act 1959 (Cwth), and its obligations do not represent deposits or other liabilities of Macquarie Bank Limited ABN 46 008 583 542 (MBL). MBL does not guarantee or otherwise provide assurance in respect of the obligations of Macquarie Group Limited.

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

Name of entity	Macquarie Group Limited & Macquarie Bank Limited	
ABN	94 122 169 279	46 008 583 542

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Gary R Banks
Date of last notice	7 October 2019 re: Macquarie Group Limited fully paid ordinary shares (MQG Shares). This is the first notice re: Walter Scott Global Equity Fund units.

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct
Nature of indirect interest (including registered holder) <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	
Date of change	18 December 2019
No. of securities held prior to change	Nil
Class	Units in the Walter Scott Global Equity Fund, of which Macquarie Investment Management Limited is the responsible entity.
Number acquired	24,982.5 units
Number disposed	Nil
Value/Consideration <small>Note: If consideration is non-cash, provide details and estimated valuation.</small>	\$75,000
No. of securities held after change	24,982.5 units registered in the name of GR & HM Banks as trustee for the Banks Superannuation Fund.
Nature of change <small>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</small>	Acquisition of new units in an unlisted fund.

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+ See chapter 19 for defined terms.

## Appendix 3Y

### Change of Director's Interest Notice

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#### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	
Nature of interest	
Name of registered holder (if issued securities)	
Date of change	
No. and class of securities to which interest related prior to change <small>Note: Details are only required for a contract in relation to which the interest has changed</small>	
Interest acquired	
Interest disposed	
Value/Consideration <small>Note: If consideration is non-cash, provide details and an estimated valuation</small>	
Interest after change	

#### Part 3 – <sup>+</sup>Closed period

Were the interests in the securities or contracts detailed above traded during a <sup>+</sup> closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/A
If prior written clearance was provided, on what date was this provided?	N/A

Dated: 17 January 2020

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<sup>+</sup> See chapter 19 for defined terms.