

25 March 2020

The Manager – Listings

Australian Securities Exchange Limited  
Exchange Centre  
20 Bridge Street  
Sydney NSW 2000

25 March 2020

### **Appendix 3Y - Late Lodgement Notice**

Superior Resources Limited (ASX: SPQ) attaches Appendix 3Y for Mr Carlos Fernicola and Mr Peter Hwang.

The notice covers the lapse of listed options on 31 August 2019 (announced to the ASX on 18 October 2019).

SPQ maintains a Continuous Disclosure Policy and Securities Trading Policy and is in regular communication with its directors about providing SPQ securities trading information as soon as possible to the Company Secretary.

Directors are aware of SPQ's obligations under the Listing Rules, including Listing Rule 3.19A, and under the terms of their letter of appointment agree to provide the relevant information to SPQ so that it can meet its disclosure obligations under Listing Rule 3.19A.

The Company Secretary prepares the Appendix 3Y, liaises with the relevant director to ensure the information provided is accurate and then lodges the Appendix 3Y on the ASX Market Announcements Platform. These actions must occur within 5 business days of the date of change of the director's interests.

During the preparation of the Appendix 3Y relating to a purchase of shares by Mr Carlos Fernicola SPQ on 20 March 2020 it was discovered that the Change of Directors Interest Notice relating to the lapse of the options 31 August 2019 was not lodged.

In this instance, an administrative oversight by the directors of the change in the director's interests. While SPQ's current arrangements to ensure compliance with Listing Rule 3.19B are adequate and are being enforced, SPQ will take further precautions to ensure this omission doesn't occur again.

Superior Resources Limited

**Carlos Fernicola**

Director