

**Form 603**  
**Corporations Act 2001**  
**Section 671B**  
**Notice of initial substantial holder**

To: Company Name/Scheme: **Senex Energy Limited**

ACN/ARSN: **008 942 827**

**1. Details of substantial holder**

Name **Mitsubishi UFJ Financial Group, Inc.**

The holder became a substantial holder on: **21 April 2020**

The holder became aware on: **23 April 2020**

**2. Details of voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate had a relevant interest in on the date the substantial holder became a substantial holder are as follows:

Class of securities	Number of securities	Person's votes	Voting power (%)
Ordinary Shares	82,604,299	82,604,299	5.67%

**3. Details of relevant interests**

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest	Class and number of securities
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	28,896,981 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	1,826,132 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	734,687 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	136,381 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that First Sentier Investors Holdings Pty Limited has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of 100% in First Sentier Investors Holdings Pty Limited.	45,199,757 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	Relevant interest in securities that First Sentier Investors Holdings Pty Limited has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of 100% in First Sentier Investors Holdings Pty Limited.	5,810,361 Ordinary Shares

**4. Details of present registered holders**

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder	Class and number of securities
Mitsubishi UFJ Financial Group, Inc.	HSBC Custody Nominees (Australia) Limited		28,896,981 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	HSBC Custody Nominees (Australia) Limited		2,560,819 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	Morgan Stanley Australia Securities (Nominee) Pty Limited		136,381 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	Citicorp Nominees Pty Limited (Australia)		19,877,269 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	Citibank N A Hong Kong		12,758,453 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	State Street Global Advisors (Australia) Limited		5,233,173 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	Northern Trust Company		7,330,862 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	Citibank N A Hong Kong		4,878,646 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	JP Morgan (UK)		747,990 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	National Custodial Services		183,725 Ordinary Shares

**5. Consideration**

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration		Class and number of securities
		Cash	Non-cash	
See annexure B to this notice				

**6. Associates**

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
See annexure A to this notice	Each company referred to is an associate of Mitsubishi UFJ Financial Group, Inc. under section 12 of the Corporations Act

**7. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
Mitsubishi UFJ Financial Group, Inc.	2-7-1, Marunouchi, Chiyoda-ku, Tokyo 100-8330, Japan

**8. Signature**

Dated 24 April 2020

Kenji Takase

Authorised signatory

**Annexure A**

This is annexure A of 5 pages referred to in Form 603, Notice of initial substantial holder dated 24 April 2020



Kenji Takase

Authorised signatory

24 April 2020

**SCHEDULE**

MUFG Bank, Ltd.  
Mitsubishi UFJ Trust and Banking Corporation  
Mitsubishi UFJ Securities Holdings Co., Ltd.  
MU Business Engineering, Ltd.  
The Mitsubishi UFJ Factors Limited  
Mitsubishi UFJ Jinji Service Co., Ltd.  
MU Techno-service Co., Ltd.  
Tokyo Credit Service, Ltd.  
Tokyo Associates Finance Corp.  
MU Business Service Co., Ltd.  
Mitsubishi UFJ Loan Business Co., Ltd.  
Mitsubishi UFJ Information Technology, Ltd.  
MU Center Service Tokyo Co., Ltd.  
MU Center Service Nagoya Co., Ltd.  
MU Center Service Osaka Co., Ltd.  
MU Business Aid Co., Ltd.  
MU Property Research Company Limited  
Mitsubishi UFJ Home Loan CREDIT CO., LTD.  
The Diamond Home Credit Company Limited  
Mitsubishi UFJ Research & Consulting Co., Ltd.  
MU Frontier Services Co., Ltd.  
Otemachi Guarantee Co., Ltd.  
GD Holdings Company Limited  
MU Loan Administration Support Co., Ltd.  
MU Communications Co., Ltd.  
Japan Electronic Monetary Claim Organization  
MU Business Partner Co., Ltd.  
MUT Business Outsourcing Co., Ltd.  
Mezzanine Solution II Limited Partnership  
Mezzanine Solution III Limited Partnership  
Mitsubishi UFJ Capital Co., Ltd.  
Mitsubishi UFJ Capital III, Limited Partnership  
Mitsubishi UFJ Capital IV, Limited Partnership  
Mitsubishi UFJ Capital V, Limited Partnership  
Mitsubishi UFJ Capital VI, Limited Partnership  
Mitsubishi UFJ Capital VII, Limited Partnership  
Mitsubishi UFJ Life Science 1, Limited Partnership  
Mitsubishi UFJ Life Science 2, Limited Partnership  
Tohoku Senary Industry Support, Limited Partnership  
OiDE Fund Investment Limited Partnership  
Mitsubishi UFJ Personal Financial Advisers Co., Ltd.  
Mitsubishi UFJ Financial Partners Co., Ltd.  
The Mitsubishi Asset Brains Company, Limited  
BOT Lease Co., Ltd.  
The Chukyo Bank, Ltd.  
Nippon Mutual Housing Loan Co., Ltd.  
JM Real Estate Co., Ltd.  
Jibun Bank Corporation  
JACCS CO., LTD.  
JALCARD Inc.  
Yume Shokei Fund No.2  
Yume Shokei Fund No.3  
Marunouchi Capital Fund II Limited Partnership  
M·U·Trust Sougou Kanri Co., Ltd.  
Mitsubishi UFJ Trust Business Co., Ltd.  
Ryoshin Data Co., Ltd.  
Mitsubishi UFJ Trust Systems Co., Ltd.  
Mitsubishi UFJ Trust Investment Technology Institute Co., Ltd.  
Mitsubishi UFJ Trust Hosyo Co., Ltd.  
M·U·Trust·Apple Planning Company, Ltd.

Mitsubishi UFJ Real Estate Services Co., Ltd.  
 Mitsubishi UFJ Daiko Business Co., Ltd.  
 The Master Trust Bank of Japan, Ltd.  
 MU Investments Co., Ltd.  
 Japan Shareholder Services Ltd.  
 Mitsubishi UFJ Kokusai Asset Management Co., Ltd.  
 Tokumei-Kumiai (CPI)  
 Tokumei-Kumiai (GII)  
 Tokumei-Kumiai (Gate Bridge 1)  
 Tokumei-Kumiai (CENTOMILIARDO)  
 Tokumei-Kumiai (MEET)  
 Tokumei-Kumiai (FIELD)  
 Ippan Shadan Houjin Leone  
 Jointly Managed Monetary Trust Fund (Fund Number:550001)  
 MU Trust Property Management Co., Ltd.  
 Tokumei-Kumiai (RICE)  
 TOKKINGAI (Fund Number.900338)  
 TOKKINGAI (Fund Number.900327)  
 TOKKINGAI (Fund Number.900344)  
 AMP Capital Investors KK  
 MM Partnership  
 MUS Information Systems Co., Ltd.  
 MUS Business Service Co., Ltd.  
 Mitsubishi UFJ Morgan Stanley Securities Co., Ltd.  
 Mitsubishi UFJ Morgan Stanley PB Securities Co., Ltd.  
 kabu.com Securities Co., Ltd.  
 Morgan Stanley MUFG Securities Co., Ltd.  
 Mitsubishi UFJ NICOS Co., Ltd.  
 Card Business Service Co.,Ltd.  
 MU NICOS Business Service Co., Ltd.  
 MU NICOS Credit Co.,Ltd.  
 Ryoshin DC Card Company Ltd.  
 JMS Co., Ltd.  
 Paygent Co., Ltd.  
 JA Card Co., Ltd  
 ACOM CO., LTD.  
 IR Loan Servicing, Inc.  
 MU Credit Guarantee Co., Ltd.  
 Japan Digital Design, Inc.  
 Crowd Money Inc.  
 MUMEC Visionary Design, Ltd.  
 Global Open Network, Inc.  
 Global Open Network Japan, Inc.  
 MUFG Innovation Partners Co., Ltd.  
 MUFG Innovation Partners No.1 Investment Partnership  
 Mitsubishi Research Institute DCS Co.,Ltd.  
 HR Solution DCS Co.,Ltd.  
 Mitsubishi UFJ Lease & Finance Company Limited  
 Hitachi Capital Corporation  
 Nihombashi TG Jigyo Kyodo Kumiai  
 NIHOMBASHI TG SERVICE CO., LTD.  
 SHIN-NIOMBASHI TSUSHO CO., LTD.  
 Solution Design Co.,Ltd.  
 Nippon Record Keeping Network Co., Ltd.  
 Banco MUFG Brasil S.A.  
 9808680 Canada Inc.  
 BTMU (Curacao) Holdings N.V.  
 MUFG Bank (Europe) N.V.  
 MUFG Business Services (Holland) B.V.  
 MUFG Funding (UK) Limited  
 MUFG Europe Lease (Deutschland) GmbH  
 MUFG Bank (Malaysia) Berhad  
 MUFG North America International, Inc.  
 MUFG Bank Mexico, S.A.  
 MUFG Nominees (HK) Limited  
 MUFG Nominees (UK) Limited  
 MUFG Americas Holdings Corporation  
 MUFG Union Bank, N.A.  
 Union Bank of California Leasing, Inc.  
 UBOC Community Development Corporation  
 UnionBanc Investment Services, LLC  
 Bankers Commercial Corporation  
 UnionBanCal Equities, Inc.  
 UnionBanCal Leasing Corporation  
 UnionBanCal Mortgage Corporation  
 Mills-Ralston, Inc.  
 HighMark Capital Management, Inc.  
 BCC OX I, Inc.  
 BCC OX II, Inc.

SEMA OP9 LLC  
SEMA OP8 LLC  
MORGANTOWN OL6 LLC  
MORGANTOWN OL7 LLC  
MORGANTOWN OL5 LLC  
DICKERSON OL4 LLC  
TRL One A, LLC  
TRL One B, LLC  
TRL One, LP  
BM1,LLC  
UB Leasing Corporation  
Pacific Capital Statutory Trust I  
Shiloh IV Wind Project, LLC  
Shiloh IV Holdings Lessor Trust  
Green Union I Trust  
Green Union II Trust  
Green Union III Trust  
Tohlease Corporation  
MUFG Americas Funding Corporation  
MUFG Americas Financial & Leasing Corporation B-4  
U.B. Vehicle Leasing, Inc.  
MUFG Americas Leasing Corporation  
MUFG Americas Financial Services, Inc.  
MUFG Americas Leasing (Canada) Corporation  
MUFG Americas Leasing & Finance, Inc.  
MUFG Americas Capital Leasing & Finance, LLC  
MUFG Americas Corporate Advisory, Inc.  
MUFG Americas LF Capital LLC  
MUFG Americas Capital Company  
MUFG Fund Services (USA) LLC  
MUFG Securities Americas Inc.  
MUFG Capital Analytics LLC  
MUFG Investor Services (US), LLC  
Intrepid Investment Bankers LLC  
Southern California Business Development Corporation  
Catalina Solar Holdings Lessor Trust  
Catalina Solar, LLC  
Morgan Stanley MUFG Loan Partners, LLC  
PT U Finance Indonesia  
PT. MU Research and Consulting Indonesia  
MU Research and Consulting (Thailand) Co., Ltd.  
MUFG Participation (Thailand) Co., Ltd.  
AO MUFG Bank (Eurasia)  
MUFG Bank (China), Ltd.  
BTMU Preferred Capital 8 Limited  
BTMU Preferred Capital 9 Limited  
BTMU Liquidity Reserve Investment Limited  
MUFG Bank Turkey Anonim Sirketi  
Bank of Ayudhya Public Company Limited  
Krungsri Ayudhya AMC Limited  
Krungsri Factoring Company Limited  
Ayudhya Development Leasing Company Limited  
Ayudhya Capital Auto Lease Public Company Limited  
Krungsriayudhya Card Company Limited  
General Card Services Limited  
Ayudhya Capital Services Company Limited  
Krungsri General Insurance Broker Limited  
Krungsri Life Assurance Broker Limited  
Krungsri Asset Management Company Limited  
Total Services Solutions Public Company Limited  
Ngern Tid Lor Company Limited  
Krungsri Securities Public Company Limited  
Siam Realty and Services Security Co.,Ltd.  
Krungsri Leasing Services Co., Ltd.  
Hattha Kaksekar Limited  
Krungsri Finnovate Co., Ltd.  
Tesco Card Services Limited  
BTMU Liquidity Reserve Investment 2 Limited  
BTMU Liquidity Reserve Investment 3 Limited  
PT Guna Dharma  
PT Bank Danamon Indonesia, Tbk.  
PT Adira Quantum Multifinance  
PT Adira Dinamika Multi Finance Tbk  
PT Asuransi Adira Dinamika  
BOT Lease (HK) Co., Ltd.  
PT Bumiputera - BOT Finance  
BOT Lease Holding Philippines, Inc.  
BOT Lease and Finance, Philippines, Inc.  
BOT Lease (Thailand) Co., Ltd.

MUFG Holding (Thailand) Co., Ltd.  
 Bangkok MUFG Limited  
 BOT Lease(Eurasia)LLC  
 BOT Lease (Tianjin) Co., Ltd.  
 BOTL Factoring (Shanghai) Co., Ltd.  
 BOT LEASE MEXICO S.A. DE C.V.  
 BOT FINANCE MEXICO, S.A. DE C.V., SOFOM, E.N.R.  
 GOLDEN ASIA FUND VENTURES LTD.  
 Lakefield Wind Project OP Trust  
 Lakefield Wind Project, LLC  
 Pacwind Holdings Lessor Trust  
 Pacific Wind, LLC  
 Vietnam Joint Stock Commercial Bank for Industry and Trade  
 GOLDEN ASIA FUND II, L.P.  
 Security Bank Corporation  
 Mitsubishi UFJ Trust International Limited  
 Mitsubishi UFJ Baillie Gifford Asset Management Limited  
 Mitsubishi UFJ Investor Services & Banking (Luxembourg) S.A.  
 MUFG Lux Management Company S.A.  
 Mitsubishi UFJ Investment Services (HK) Limited  
 Mitsubishi UFJ Asset Management (UK) Ltd.  
 LUX J1 FUND  
 MUFG Investor Services Holdings Limited  
 MUFG Fund Services (Bermuda) Limited  
 MUFG Fund Services (Cayman) Limited  
 MUFG Fund Services (Cayman) Group Limited  
 MUFG Fund Services (Ireland) Limited  
 Fund Secretaries Limited  
 MUFG Fund Services Limited  
 MUFG Fund Services (Canada) Limited  
 MUFG Fund Services (UK) Limited  
 MUFG Fund Services (Halifax) Limited  
 MUFG Fund Services (Singapore) Pte. Ltd.  
 MUFG Fund Services (Hong Kong) Limited  
 MUFG Alternative Fund Services (Cayman) Limited  
 Firtown International Holdings Ltd.  
 General Secretaries Ltd.  
 AFS Controlled Subsidiary 1 Ltd.  
 AFS Controlled Subsidiary 2 Ltd.  
 AFS Controlled Subsidiary 3 Ltd.  
 MUFG Alternative Fund Services (Ireland) Limited  
 MUFG Alternative Fund Services (Jersey) Limited  
 MUFG Jersey Management Company Limited  
 MUFG Controlled Subsidiary 1 (Cayman) Limited  
 SWS MU FUND MANAGEMENT CO.,LTD.  
 SWSMU (SHANGHAI) ASSETS MANAGEMENT COMPANY LIMITED  
 AMP Capital Holdings Limited  
 MUFG Global Fund SICAV  
 MUFG Securities EMEA plc  
 MUFG Securities (Europe) N.V.  
 MUFG Securities Asia (Singapore) Limited  
 MUFG Securities Asia Limited  
 Mitsubishi UFJ Wealth Management Bank (Switzerland), Ltd.  
 MUFG Securities (Canada), Ltd.  
 EASY BUY Public Company Limited  
 ACOM CONSUMER FINANCE CORPORATION  
 MUFG Capital Finance 8 Limited  
 MUFG Capital Finance 9 Limited  
 GOLDEN ASIA FUND, L.P.  
 DCS Information Technology (Shanghai) Co., Ltd  
 MRIDCS Americas, Inc.  
 Morgan Stanley  
 Purple Finance (Cayman) International Ltd.  
 Bangkok Mitsubishi UFJ Lease Co., Ltd  
 The California-Sansome Corporation  
 First Sentier Investors Holdings Pty Limited  
 First Sentier Investors (Australia) IP Holdings Pty Limited  
 First Sentier Investors (Australia) Services Pty Limited  
 CFSPAI EUROPE CO LIMITED (COMPANY CODE C41267)  
 CFSPAI EUROPE HOLDCO LIMITED (COMPANY CODE C41260)  
 First Sentier Investors (Australia) IM Ltd  
 First Sentier Investors (Australia) Infrastructure Holdings Ltd  
 First Sentier Investors (Australia) Infrastructure Managers Pty Ltd  
 COLONIAL FIRST STATE INVESTMENT MANAGERS (UK) LIMITED (COMPANY NO. 00153197)  
 First Sentier Investors (Australia) RE Ltd  
 EDIF II GP S.A.R.L. (COMPANY NO. B204413)  
 FIRST STATE EUROPEAN DIVERSIFIED INFRASTRUCTURE S.A.R.L. (COMPANY NO. B134314)  
 FIRST STATE INFRASTRUCTURE MANAGERS (INTERNATIONAL) LIMITED (COMPANY NO. 298444)  
 FIRST STATE INVESTMENT MANAGEMENT (UK) LIMITED (COMPANY NO. SC047708)

First Sentier Investors Asia Holdings Limited  
FIRST STATE INVESTMENT SERVICES (UK) LIMITED (COMPANY NO. 03904320)  
FIRST STATE INVESTMENTS (HONG KONG) LIMITED (CR NO. 0206616)  
FIRST STATE INVESTMENTS (IRELAND) LIMITED (COMPANY NO. 629188)  
FIRST STATE INVESTMENTS (JAPAN) LIMITED (COMPANY NUMBER 0104-01-093090)  
FIRST STATE INVESTMENTS (SINGAPORE) (COMPANY NO. 02294743)  
FIRST STATE INVESTMENTS (UK HOLDINGS) LIMITED (COMPANY NO. 03904310)  
FIRST STATE INVESTMENTS (UK) LIMITED (COMPANY NO. 02294743)  
FIRST STATE INVESTMENTS (US) LLC (FILE NUMBER 546 9442)  
FIRST STATE INVESTMENTS FUND MANAGEMENT S.A.R.L (COMPANY NO. B128117)  
FIRST STATE INVESTMENTS HOLDINGS (SINGAPORE) LIMITED (REGISTRATION NO. 199901706Z)  
FIRST STATE INVESTMENTS INTERNATIONAL LIMITED (COMPANY NO. SC079063)  
FIRST STATE NOMINEES (HONG KONG) LIMITED (CR NO. 0206615)  
FSIB LTD  
First Sentier Investors Realindex Pty Ltd  
SI HOLDINGS LIMITED (COMPANY NO. SC109439)  
TOTAL KEEN INVESTMENT LIMITED (CR NO. 0580652)

## Annexure B

This is annexure B of 13 pages referred to in Form 603, Notice of initial substantial holder dated 24 April 2020

Kenji Takase

Authorised signatory

24 April 2020

Holder of relevant interest	Date of acquisition	Consideration cash	Consideration non-cash	Class and number of securities affected
Mitsubishi UFJ Financial Group, Inc.	23 December 2019	46.80	N/A	144 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23 December 2019	6,223.80	N/A	18,860 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23 December 2019	9,611.19	N/A	29,119 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23 December 2019	207.15	N/A	623 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23 December 2019	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	1,030,252 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24 December 2019	5,058.24	N/A	15,328 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24 December 2019	5,749.94	N/A	17,164 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24 December 2019	1,130.54	N/A	4,952 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24 December 2019	46,121.46	N/A	137,676 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24 December 2019	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	5,279,234 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 December 2019	17,000.00	N/A	50,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 December 2019	10,181.30	N/A	29,945 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 December 2019	2,103.92	N/A	6,188 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30 December 2019	6,206.36	N/A	18,254 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30 December 2019	414.46	N/A	1,219 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30 December 2019	3,360.56	N/A	9,884 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30 December 2019	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	809,067 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31 December 2019	2,576.86	N/A	7,579 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31 December 2019	20,185.76	N/A	60,256 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2 January 2020	9,019.68	N/A	26,144 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2 January 2020	703.80	N/A	2,040 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2 January 2020	11,979.78	N/A	34,724 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2 January 2020	653.09	N/A	1,893 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2 January 2020	2,380.00	N/A	7,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 January 2020	704.04	N/A	2,026 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 January 2020	296.80	N/A	848 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 January 2020	1,194.55	N/A	3,413 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 January 2020	3,200.57	N/A	9,277 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 January 2020	639.80	N/A	1,828 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 January 2020	1,544.91	N/A	4,478 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 January 2020	2,294.60	N/A	6,556 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	3 January 2020	2,120.79	N/A	6,103 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 January 2020	4,036.85	N/A	11,701 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 January 2020	998.97	N/A	2,814 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 January 2020	18.63	N/A	54 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 January 2020	720.51	N/A	2,044 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 January 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	885 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 January 2020	5,898.24	N/A	16,384 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 January 2020	382.89	N/A	1,049 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 January 2020	599.40	N/A	1,665 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 January 2020	4,013.64	N/A	11,149 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 January 2020	928.80	N/A	2,580 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 January 2020	106.86	N/A	301 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 January 2020	4,932.00	N/A	13,700 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 January 2020	216.91	N/A	611 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 January 2020	1,004.93	N/A	2,811 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 January 2020	2,468.88	N/A	6,858 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 January 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	17,111 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7 January 2020	407.00	N/A	1,100 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7 January 2020	587.88	N/A	1,633 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7 January 2020	1,310.72	N/A	3,591 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7 January 2020	1,299.04	N/A	3,559 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7 January 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	37,114 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8 January 2020	579.99	N/A	1,589 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8 January 2020	62,449.88	N/A	166,533 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8 January 2020	718.91	N/A	1,943 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8 January 2020	1,914.01	N/A	5,173 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8 January 2020	4.13	N/A	11 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 January 2020	3.96	N/A	11 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 January 2020	1,284.07	N/A	3,518 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 January 2020	9,000.00	N/A	25,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 January 2020	8.03	N/A	22 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 January 2020	902.77	N/A	2,543 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 January 2020	10,742.76	N/A	29,841 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 January 2020	426.30	N/A	1,176 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 January 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	1,016,796 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 January 2020	0.35	N/A	1 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 January 2020	38.34	N/A	108 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 January 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	2,315,170 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13 January 2020	1,147.82	N/A	3,327 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13 January 2020	672.35	N/A	1,921 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13 January 2020	898.64	N/A	2,586 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	13 January 2020	7,639.80	N/A	21,828 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13 January 2020	1,884.74	N/A	5,463 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 January 2020	73.44	N/A	216 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 January 2020	921.06	N/A	2,709 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 January 2020	7,380.59	N/A	21,393 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 January 2020	5,377.86	N/A	15,588 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 January 2020	53.72	N/A	158 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 January 2020	3,255.08	N/A	9,435 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 January 2020	299.69	N/A	875 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 January 2020	418.54	N/A	1,231 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 January 2020	466.83	N/A	1,363 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 January 2020	1,172.38	N/A	3,423 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 January 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	49,635 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15 January 2020	54.06	N/A	159 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15 January 2020	1,758.05	N/A	5,023 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15 January 2020	2,185.92	N/A	6,336 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15 January 2020	5,799.15	N/A	16,569 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15 January 2020	3,854.34	N/A	11,172 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15 January 2020	666.40	N/A	1,904 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15 January 2020	3,836.75	N/A	11,121 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16 January 2020	557.52	N/A	1,616 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16 January 2020	1,400.36	N/A	4,059 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16 January 2020	9,996.03	N/A	28,974 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16 January 2020	359.10	N/A	1,026 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16 January 2020	219.97	N/A	633 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16 January 2020	2,842.46	N/A	8,239 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16 January 2020	7,206.80	N/A	20,739 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 January 2020	374.00	N/A	1,100 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 January 2020	245.64	N/A	712 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 January 2020	3,293.24	N/A	9,686 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 January 2020	577.66	N/A	1,699 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 January 2020	206.19	N/A	602 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 January 2020	5,899.85	N/A	17,101 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 January 2020	297.82	N/A	889 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 January 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	541,026 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 January 2020	11.73	N/A	34 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 January 2020	8,969.88	N/A	26,382 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 January 2020	3.06	N/A	9 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 January 2020	856.80	N/A	2,520 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 January 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	1,238,320 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21 January 2020	1.36	N/A	4 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21 January 2020	7,090.36	N/A	20,854 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	21 January 2020	3,690.36	N/A	10,854 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21 January 2020	753.50	N/A	2,200 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22 January 2020	1,330.34	N/A	3,774 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22 January 2020	1,151.96	N/A	3,339 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22 January 2020	2,416.38	N/A	7,004 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22 January 2020	4,399.50	N/A	12,570 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22 January 2020	701.13	N/A	1,975 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22 January 2020	24.50	N/A	70 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22 January 2020	3,603.95	N/A	10,297 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23 January 2020	3,908.52	N/A	11,088 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23 January 2020	933.65	N/A	2,630 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23 January 2020	519.17	N/A	1,494 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23 January 2020	2,256.10	N/A	6,446 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23 January 2020	22.75	N/A	65 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23 January 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	1,531,782 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24 January 2020	4,493.14	N/A	13,313 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24 January 2020	2,837.30	N/A	8,345 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	275.31	N/A	874 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	10,719.39	N/A	32,483 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	2,539.20	N/A	7,935 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	627.80	N/A	1,993 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	2,517.44	N/A	7,806 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	450.97	N/A	1,377 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	924.86	N/A	2,824 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	663.63	N/A	2,011 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	2,863.35	N/A	9,090 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	508.58	N/A	1,577 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	1,636.48	N/A	5,114 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	3,885.80	N/A	12,049 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	3,048.59	N/A	9,453 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	126.97	N/A	379 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	313.43	N/A	995 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	10,051.52	N/A	31,411 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	2,578.88	N/A	7,935 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	3,846.81	N/A	11,483 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	667.26	N/A	2,022 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	585.98	N/A	1,803 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	333.78	N/A	1,027 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	484.72	N/A	1,503 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	763.73	N/A	2,332 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	431.36	N/A	1,348 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	1,896.96	N/A	5,928 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	560.35	N/A	1,711 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	28 January 2020	723.58	N/A	2,279 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	1,025.40	N/A	3,131 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 January 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	53,037 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29 January 2020	365.31	N/A	1,169 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29 January 2020	4,232.64	N/A	13,227 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29 January 2020	476.57	N/A	1,501 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29 January 2020	254.20	N/A	820 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29 January 2020	160.00	N/A	512 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29 January 2020	961.07	N/A	3,027 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29 January 2020	0.32	N/A	1 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29 January 2020	11,158.45	N/A	35,995 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29 January 2020	2,740.40	N/A	8,432 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29 January 2020	1,192.00	N/A	3,725 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29 January 2020	976.50	N/A	3,100 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29 January 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	20,748 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30 January 2020	3,997.64	N/A	13,107 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30 January 2020	1,315.77	N/A	4,314 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30 January 2020	107.06	N/A	351 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30 January 2020	1,199.25	N/A	3,900 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30 January 2020	2,352.54	N/A	7,777 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30 January 2020	914.16	N/A	3,022 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31 January 2020	1,479.94	N/A	4,774 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31 January 2020	11,439.23	N/A	36,315 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31 January 2020	1,599.29	N/A	5,159 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31 January 2020	0.31	N/A	1 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 February 2020	332.15	N/A	1,098 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 February 2020	2,142.02	N/A	7,023 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 February 2020	4,018.11	N/A	13,283 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 February 2020	1,485.35	N/A	4,870 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 February 2020	459.50	N/A	1,519 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 February 2020	2,535.34	N/A	8,245 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 February 2020	3,302.54	N/A	10,828 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 February 2020	3,558.61	N/A	11,764 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 February 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	806,514 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4 February 2020	610,000.00	N/A	2,000,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4 February 2020	20,048.57	N/A	65,733 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4 February 2020	6,404.39	N/A	20,998 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5 February 2020	0.31	N/A	1 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5 February 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	92,851 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5 February 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	652,689 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 February 2020	240.98	N/A	759 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	6 February 2020	170.88	N/A	534 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 February 2020	302.25	N/A	975 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 February 2020	9,995.52	N/A	31,236 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 February 2020	254.84	N/A	809 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 February 2020	8.51	N/A	27 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 February 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	1,626,353 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7 February 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	40,603 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 February 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	466,488 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12 February 2020	0.33	N/A	1 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12 February 2020	910.33	N/A	2,801 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12 February 2020	1,322.69	N/A	4,199 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13 February 2020	511.50	N/A	1,550 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13 February 2020	777.20	N/A	2,320 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13 February 2020	13,100.66	N/A	40,002 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13 February 2020	1.33	N/A	4 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 February 2020	28,332.99	N/A	85,212 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 February 2020	899,748.96	N/A	2,726,512 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 February 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	150 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 February 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	1,008,930 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 February 2020	10,592.02	N/A	31,153 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 February 2020	0.68	N/A	2 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 February 2020	5,109.76	N/A	15,253 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 February 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	12,313 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 February 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	1 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19 February 2020	4,047.81	N/A	12,083 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19 February 2020	7,665.79	N/A	23,055 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19 February 2020	10,885.16	N/A	32,493 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 February 2020	901.00	N/A	2,650 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 February 2020	271.02	N/A	809 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 February 2020	24,904.32	N/A	73,248 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 February 2020	907.50	N/A	2,750 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 February 2020	2,191.39	N/A	6,493 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 February 2020	16,419.52	N/A	49,382 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 February 2020	1,308.72	N/A	3,936 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21 February 2020	0.34	N/A	1 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21 February 2020	0.34	N/A	1 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21 February 2020	22,255.65	N/A	64,980 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25 February 2020	12,600.00	N/A	40,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25 February 2020	5,512.42	N/A	17,782 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25 February 2020	2,333.12	N/A	7,291 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	25 February 2020	9,991.92	N/A	32,232 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25 February 2020	173.99	N/A	548 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25 February 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	450,561 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26 February 2020	13,296.24	N/A	45,072 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26 February 2020	886.20	N/A	2,954 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26 February 2020	2,081.70	N/A	6,939 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26 February 2020	2,960.62	N/A	10,036 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26 February 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	45,415 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 February 2020	18,061.12	N/A	64,504 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 February 2020	682.92	N/A	2,439 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 February 2020	24,864.00	N/A	88,800 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 February 2020	11,400.00	N/A	40,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 February 2020	18,582.00	N/A	65,200 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 February 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	611,704 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 February 2020	707.20	N/A	2,720 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 February 2020	4,400.50	N/A	17,602 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 February 2020	3,719.75	N/A	14,879 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 February 2020	10,468.01	N/A	41,051 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 February 2020	839.75	N/A	3,359 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 February 2020	6,808.32	N/A	25,216 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 February 2020	74,143.03	N/A	279,785 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 February 2020	653.36	N/A	2,489 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 February 2020	18,270.69	N/A	68,946 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 February 2020	2,073.06	N/A	7,678 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 February 2020	1,245.50	N/A	4,700 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 February 2020	946.28	N/A	3,441 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	28 February 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	51,606 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2 March 2020	646.80	N/A	2,695 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2 March 2020	19,215.50	N/A	76,862 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2 March 2020	9,996.26	N/A	43,462 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2 March 2020	625.98	N/A	2,555 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2 March 2020	3,750.71	N/A	15,309 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2 March 2020	10,016.41	N/A	42,623 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2 March 2020	788.59	N/A	2,948 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2 March 2020	39,704.04	N/A	147,052 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2 March 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	97,179 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 March 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	2,711,281 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4 March 2020	13,250.00	N/A	50,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4 March 2020	21,060.00	N/A	81,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4 March 2020	7,323.60	N/A	28,720 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	4 March 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	46,186 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5 March 2020	107,000.00	N/A	400,000 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	5 March 2020	54,566.89	N/A	201,950 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	5 March 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	518,954 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5 March 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	51,606 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 March 2020	0.78	N/A	3 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 March 2020	2,499.96	N/A	12,658 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 March 2020	6,573.84	N/A	31,304 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 March 2020	4,631.38	N/A	22,871 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 March 2020	2,533.60	N/A	12,668 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 March 2020	8,072.61	N/A	37,547 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 March 2020	19,200.71	N/A	93,662 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 March 2020	0.20	N/A	1 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 March 2020	3,031.34	N/A	14,787 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 March 2020	683.78	N/A	3,039 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 March 2020	1,545.80	N/A	7,729 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 March 2020	7,884.87	N/A	37,547 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 March 2020	1.22	N/A	6 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 March 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	27,073 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 March 2020	12,225.93	N/A	64,347 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 March 2020	17,828.85	N/A	86,970 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 March 2020	2,965.14	N/A	15,606 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 March 2020	10,000.00	N/A	50,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 March 2020	17,477.07	N/A	85,254 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 March 2020	24,860.64	N/A	118,384 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 March 2020	13,978.38	N/A	71,684 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 March 2020	6,087.32	N/A	31,217 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 March 2020	15,162.01	N/A	73,961 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 March 2020	10,086.12	N/A	49,808 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 March 2020	10,625.00	N/A	50,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 March 2020	5,940.70	N/A	28,979 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 March 2020	738.78	N/A	3,518 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 March 2020	10,375.00	N/A	50,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 March 2020	10,375.00	N/A	50,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 March 2020	27,287.19	N/A	129,939 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	10 March 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	204,782 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11 March 2020	4,176.40	N/A	20,882 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11 March 2020	8,402.31	N/A	40,011 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11 March 2020	3.28	N/A	16 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11 March 2020	4,793.20	N/A	20,840 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11 March 2020	1,620,312.42	N/A	7,903,963 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	11 March 2020	4,000.00	N/A	20,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11 March 2020	97.86	N/A	466 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11 March 2020	7,555.90	N/A	34,345 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11 March 2020	2,665.00	N/A	13,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11 March 2020	4,428.41	N/A	21,602 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11 March 2020	3,869.14	N/A	17,996 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11 March 2020	9,817.76	N/A	45,664 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11 March 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	876,210 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12 March 2020	5,067.87	N/A	26,673 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12 March 2020	7,181.20	N/A	35,906 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12 March 2020	5,382.47	N/A	27,253 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12 March 2020	1,291.43	N/A	6,797 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12 March 2020	4,249.44	N/A	21,792 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12 March 2020	10,318.82	N/A	52,917 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12 March 2020	6,144.60	N/A	30,723 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12 March 2020	1,993.40	N/A	9,967 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12 March 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	4,412,886 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	12 March 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	11,653,128 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13 March 2020	11,607.05	N/A	66,326 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13 March 2020	5,865.06	N/A	31,703 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13 March 2020	5.95	N/A	34 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13 March 2020	6,372.51	N/A	34,446 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13 March 2020	3,798.00	N/A	21,100 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13 March 2020	22,201.55	N/A	126,866 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13 March 2020	700.00	N/A	4,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13 March 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	3,683,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13 March 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	1 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16 March 2020	3,214.44	N/A	17,858 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16 March 2020	3,962.35	N/A	22,642 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16 March 2020	9,500.00	N/A	50,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16 March 2020	224.96	N/A	1,216 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16 March 2020	528.30	N/A	2,935 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16 March 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	1,020,179 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16 March 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	9,934,532 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 March 2020	4,704.57	N/A	28,087 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 March 2020	13,842.34	N/A	77,985 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 March 2020	2,829.93	N/A	16,171 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 March 2020	8,545.95	N/A	48,834 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 March 2020	7,132.01	N/A	41,953 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 March 2020	24,468.68	N/A	139,821 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	17 March 2020	5,058.57	N/A	30,658 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 March 2020	18,302.20	N/A	104,584 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 March 2020	6,779.52	N/A	41,088 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 March 2020	82,668.78	N/A	468,633 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 March 2020	20,997.72	N/A	123,516 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 March 2020	2,462.94	N/A	13,683 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18 March 2020	4,025.03	N/A	24,030 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18 March 2020	25,803.79	N/A	151,787 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18 March 2020	25,071.43	N/A	147,479 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18 March 2020	3,685.68	N/A	21,061 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18 March 2020	19,699.85	N/A	119,393 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18 March 2020	6,399.40	N/A	36,568 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18 March 2020	3,799.26	N/A	21,107 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18 March 2020	7,046.97	N/A	40,852 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	18 March 2020	5,356.89	N/A	32,466 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19 March 2020	5,652.90	N/A	37,686 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19 March 2020	4,684.16	N/A	29,276 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19 March 2020	5,257.76	N/A	33,921 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19 March 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	13,794,324 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19 March 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	1,361,777 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 March 2020	2,626.17	N/A	16,943 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 March 2020	27,037.92	N/A	168,987 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 March 2020	26,050.85	N/A	168,070 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 March 2020	14,054.87	N/A	85,181 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 March 2020	36.96	N/A	231 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 March 2020	8,955.71	N/A	54,277 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 March 2020	5,755.36	N/A	35,971 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23 March 2020	5,440.37	N/A	41,849 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23 March 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	9,721,874 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23 March 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	1,361,777 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24 March 2020	31,382.52	N/A	241,404 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24 March 2020	10,243.94	N/A	75,881 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24 March 2020	2,235.71	N/A	17,535 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24 March 2020	2,123.38	N/A	16,987 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24 March 2020	3,951.78	N/A	28,227 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24 March 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	632,967 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25 March 2020	6,284.46	N/A	44,889 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25 March 2020	4,744.71	N/A	35,146 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25 March 2020	14,595.30	N/A	97,302 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25 March 2020	7,913.52	N/A	54,576 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25 March 2020	11,793.32	N/A	84,238 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	25 March 2020	1,528.59	N/A	11,117 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25 March 2020	8,991.26	N/A	65,391 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25 March 2020	5,086.67	N/A	37,679 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	25 March 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	1,283 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26 March 2020	3,306.58	N/A	22,804 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26 March 2020	15,423.75	N/A	102,825 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26 March 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	11,414 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26 March 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	17,307 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 March 2020	66,132.66	N/A	400,804 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 March 2020	8,442.20	N/A	51,952 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 March 2020	51.09	N/A	335 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 March 2020	6,667.20	N/A	44,448 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 March 2020	33,779.93	N/A	217,935 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 March 2020	100,600.00	N/A	628,750 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 March 2020	5.78	N/A	35 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 March 2020	19,748.61	N/A	125,388 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27 March 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	609,466 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30 March 2020	625.28	N/A	3,733 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30 March 2020	1,019.53	N/A	6,274 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30 March 2020	695.81	N/A	4,217 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30 March 2020	439.84	N/A	2,749 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30 March 2020	253.89	N/A	1,638 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	30 March 2020	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	47,364 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31 March 2020	601.09	N/A	3,699 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31 March 2020	799.68	N/A	4,998 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31 March 2020	1,585.42	N/A	9,326 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31 March 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	958,706 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1 April 2020	3,020.00	N/A	18,303 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1 April 2020	10,772.03	N/A	65,285 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1 April 2020	148.00	N/A	925 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1 April 2020	3,954.72	N/A	23,968 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1 April 2020	1,188.32	N/A	7,427 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1 April 2020	3,644.88	N/A	22,430 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	1 April 2020	8,500.00	N/A	50,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2 April 2020	19,338.11	N/A	124,762 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2 April 2020	2,649.12	N/A	16,557 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	2 April 2020	160.32	N/A	1,002 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 April 2020	14,189.12	N/A	88,682 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 April 2020	5,197.44	N/A	32,484 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 April 2020	297.92	N/A	1,862 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3 April 2020	511.50	N/A	3,100 Ordinary Shares

Mitsubishi UFJ Financial Group, Inc.	3 April 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	22,649 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 April 2020	4,944.00	N/A	30,900 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 April 2020	827.94	N/A	5,095 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 April 2020	2,120.48	N/A	13,253 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 April 2020	605.39	N/A	3,669 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 April 2020	124.00	N/A	775 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6 April 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	22,649 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7 April 2020	854.08	N/A	5,338 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7 April 2020	0.48	N/A	3 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7 April 2020	13,427.04	N/A	81,376 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7 April 2020	7.04	N/A	42 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7 April 2020	12,106.25	N/A	74,500 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7 April 2020	8,085.33	N/A	49,002 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8 April 2020	141.44	N/A	884 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8 April 2020	4,566.87	N/A	27,678 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8 April 2020	14,820.00	N/A	91,200 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8 April 2020	2,242.99	N/A	13,803 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8 April 2020	253.77	N/A	1,538 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 April 2020	2,779.53	N/A	15,883 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 April 2020	267.58	N/A	1,529 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 April 2020	3,152.48	N/A	18,544 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 April 2020	1,305.65	N/A	7,569 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 April 2020	3,606.40	N/A	20,608 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 April 2020	987.74	N/A	5,726 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 April 2020	4,230.45	N/A	24,885 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 April 2020	6,433.39	N/A	37,295 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 April 2020	4,108.90	N/A	24,170 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	9 April 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	860,303 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 April 2020	548.41	N/A	3,005 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 April 2020	5,833.28	N/A	33,333 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 April 2020	7,363.80	N/A	40,910 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 April 2020	61.05	N/A	330 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 April 2020	33,428.88	N/A	185,716 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 April 2020	2,187.18	N/A	12,151 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 April 2020	4,609.83	N/A	24,918 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14 April 2020	2,722.90	N/A	14,920 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15 April 2020	108.20	N/A	541 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15 April 2020	869.74	N/A	4,295 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15 April 2020	6.65	N/A	35 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15 April 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	926,910 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16 April 2020	2,634.87	N/A	12,853 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16 April 2020	1,865.61	N/A	9,819 Ordinary Shares

## AnnexureB

Mitsubishi UFJ Financial Group, Inc.	16 April 2020	308.70	N/A	1,470 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16 April 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	9,123,836 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17 April 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	7,796 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 April 2020	6,606.74	N/A	30,729 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20 April 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	769 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21 April 2020	3,161.65	N/A	17,090 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21 April 2020	4,286.78	N/A	22,562 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21 April 2020	3,900.00	N/A	20,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21 April 2020	114.00	N/A	600 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21 April 2020	9,080.00	N/A	45,400 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21 April 2020	N/A	Collateral received by an entity controlled by Morgan Stanley - see annexure C	17,167,494 Ordinary Shares

# Annexure C

This is annexure C of 2 pages referred to in Form 603, Notice of initial substantial holder dated 24 April 2020

Kenji Takase

Authorised signatory

24 April 2020

The below schedules are based on the relevant standard agreements. The entity filing the report will, if requested by the company or responsible entity to whom the prescribed form must be given or ASIC,

Schedule	
Type of Agreement	International Prime Brokerage Agreement
Parties to agreement	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and ATLANTIC ABSOLUTE RETURN FUND
Transfer Date	20200421;
Holder of Voting Rights	Prime broker has the right to vote securities rehypothecated from the Client.
Are there any restrictions on voting rights?	Yes/No
If yes, detail	Not applicable
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail	Prime broker may return shares which were rehypothecated from the client at any time.
Does the lender have the right to recall early?	Yes/No
If yes, detail	Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.	

Schedule	
Type of Agreement	International Prime Brokerage Agreement
Parties to agreement	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and AUSBIL INVESTMENT MANAGEMENT LIMITED AS RESPONSIBLE ENTITY FOR AUSBIL GLOBAL RESOURCES FUND
Transfer Date	20200421;
Holder of Voting Rights	Prime broker has the right to vote securities rehypothecated from the Client.
Are there any restrictions on voting rights?	Yes/No
If yes, detail	Not applicable
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail	Prime broker may return shares which were rehypothecated from the client at any time.
Does the lender have the right to recall early?	Yes/No
If yes, detail	Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.	

Schedule	
Type of Agreement	International Prime Brokerage Agreement
Parties to agreement	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and INTEGRATED CORE STRATEGIES (ASIA) PTE LTD.
Transfer Date	20200421;
Holder of Voting Rights	Prime broker has the right to vote securities rehypothecated from the Client.
Are there any restrictions on voting rights?	Yes/No
If yes, detail	Not applicable
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail	Prime broker may return shares which were rehypothecated from the client at any time.
Does the lender have the right to recall early?	Yes/No
If yes, detail	Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.
Will the securities be returned on settlement?	Yes/No

**If yes, detail any exceptions** Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.

<b>Schedule</b>	
<b>Type of Agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and TASMAN MARKET NEUTRAL FUND
<b>Transfer Date</b>	20200421;
<b>Holder of Voting Rights</b>	Prime broker has the right to vote securities rehypothecated from the Client.
<b>Are there any restrictions on voting rights?</b>	Yes/No
<b>If yes, detail</b> Not applicable	
<b>Scheduled Return Date (if any)</b>	Open
<b>Does the borrower have the right to return early?</b>	Yes/No
<b>If yes, detail</b> Prime broker may return shares which were rehypothecated from the client at any time.	
<b>Does the lender have the right to recall early?</b>	Yes/No
<b>If yes, detail</b> Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.	
<b>Will the securities be returned on settlement?</b>	Yes/No
<b>If yes, detail any exceptions</b> Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.	

<b>Schedule</b>	
<b>Type of Agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and CHANNEL INVESTMENT MANAGEMENT LIMITED AS RESPONSIBLE ENTITY FOR CC SAGE CAPITAL EQUITY PLUS FUND
<b>Transfer Date</b>	20200421;
<b>Holder of Voting Rights</b>	Prime broker has the right to vote securities rehypothecated from the Client.
<b>Are there any restrictions on voting rights?</b>	Yes/No
<b>If yes, detail</b> Not applicable	
<b>Scheduled Return Date (if any)</b>	Open
<b>Does the borrower have the right to return early?</b>	Yes/No
<b>If yes, detail</b> Prime broker may return shares which were rehypothecated from the client at any time.	
<b>Does the lender have the right to recall early?</b>	Yes/No
<b>If yes, detail</b> Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.	
<b>Will the securities be returned on settlement?</b>	Yes/No
<b>If yes, detail any exceptions</b> Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.	

<b>Schedule</b>	
<b>Type of Agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and EQUITY TRUSTEES LIMITED AS RESPONSIBLE ENTITY FOR REGAL INVESTMENT FUND
<b>Transfer Date</b>	20200421;
<b>Holder of Voting Rights</b>	Prime broker has the right to vote securities rehypothecated from the Client.
<b>Are there any restrictions on voting rights?</b>	Yes/No
<b>If yes, detail</b> Not applicable	
<b>Scheduled Return Date (if any)</b>	Open
<b>Does the borrower have the right to return early?</b>	Yes/No
<b>If yes, detail</b> Prime broker may return shares which were rehypothecated from the client at any time.	
<b>Does the lender have the right to recall early?</b>	Yes/No
<b>If yes, detail</b> Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.	
<b>Will the securities be returned on settlement?</b>	Yes/No
<b>If yes, detail any exceptions</b> Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.	