

#### **Disclosure of Directors and Senior Managers Relevant Interests**

If the issuer has a financial products trading policy that prohibits directors or senior managers from trading during any period without written clearance (a closed period)

include the following details—

Sections 297(2) and 298(2), Financial Markets Conduct Act 2013

To NZX Limited; and	
Name of listed issuer:	Summerset Group Holdings Limited
Date this disclosure made:	24 September 2020
Date of last disclosure:	29 May 2020
Director or senior manager giving disclosure	
Full name(s):	Anne June Urlwin
Name of listed issuer:	Summerset Group Holdings Limited
Name of related body corporate (if applicable):	N/A
Position held in listed issuer:	Independent Director
Summary of acquisition or disposal of relevant interest (excluding specified derivatives	s)
Class of affected quoted financial products:	(1) Summerset Group Holdings Limited ordinary shares (NZX Code: SUM)
	(2) Fixed rate bonds (NZX Code: SUM030)
Nature of the affected relevant interest(s):	Beneficial owner
For that relevant interest-	[(4) 04 005
Number held in class before acquisition or disposal:	(1) 31,265 (2) Nil
Number held in class after acquisition or disposal:	(1) 31,413
and the state of t	(2) 30,000
Current registered holder(s):	Maigold Holdings Limited
Registered holder(s) once transfers are registered:	Maigold Holdings Limited
Type of affected derivative:  Class of underlying financial products:  Details of affected derivative-	N/A N/A
The notional value of the derivative (if any) or the notional amount of underlying financial products (if any):	N/A
A statement as to whether the derivative is cash settled or physically settled:	N/A
Maturity date of the derivative (if any):	N/A
Expiry date of the derivative(if any):	N/A
The price specified in the terms of the derivative (if any):	N/A
Any other details needed to understand how the amount of the consideration payable under the derivative or the value of the derivative is affected by the value of the underlying financial products:	N// A
For that derivative,-	N/A
Parties to the derivative:	N/A
If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:	N/A
Details of transactions giving rise to acquisition or disposal	IWA
Total number of transactions to which notice relates:	Two
Details of transactions requiring disclosure-	12
Date of transaction:	(1) 11 September 2020 (2) 21 September 2020
Nature of transaction:	(1) Issue of ordinary shares subject to the Dividend Reinvesti Plan (2) Allotment of bonds by Summerset Group Holdings Ltd
Name of any other party or parties to the transaction (if known):	N/A
The consideration, expressed in New Zealand dollars, paid or received for the acquisition or disposal. If the consideration was not in cash and cannot be readily by converted into a cash value, describe the consideration:	(1) \$1,253.93000000 (2) \$30,000.00000000
Number of financial products to which the transaction related:	(1) 148 (2) 30,000

Whather relevant interests were project or disposed of divising a closed poriod.	N/A
Whether relevant interests were aquired or disposed of during a closed period:  Whether prior written clearance was provided to allow the aquisition or disposal to proceed	N/A
during the closed period:	N/A
Date of the prior written clearance (if any):	N/A
Summary of other relevant interests after acquisition or disposal:	
Class of quoted financial products:	Fixed rate bonds (NZX Code: SUM010)
Nature of relevant interest:	Beneficial ownership
For that relevant interest,-	
Number held in class:	30,000
Current registered holder(s):	Maigold Holdings Limited
For a derivative relevant interest,-	
Type of derivative:	N/A
Details of derivative,-	
The notional value of the derivative (if any) or the notional amount of underlying financial products (if any):	N/A
A statement as to whether the derivative is cash settled or physically settled:	N/A
Maturity date of the derivative (if any):	N/A
Expiry date of the derivative (if any):	N/A
The price's specified terms (if any):	N/A
Any other details needed to understand how the amount of the consideration payable under the derivative or the value of the derivative is affected by the value of the underlying financial products:	N/A
For that derivative relevant interest,-	N/A
Parties to the derivative:	N/A
If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:	N/A
Certification  I certify that, to the best of my knowledge and belief, the information contained in this	
disclosure is correct and that I am duly authorised to make this disclosure by all persons for whom it is made.	
Signature of director or officer:	Am
Date of signature:	24 September 2020
or	
Signature of person authorised to sign on behalf of director or officer:	N/A
Date of signature:	N/A
Name and title of authorised person:	N/A



# **Disclosure of Directors and Senior Managers Relevant Interests**

Sections 297(2) and 298(2), Financial Markets Conduct Act 2013

To NZX Limited; and	
Name of listed issuer:	Summerset Group Holdings Limited
Date this disclosure made:	24 September 2020
Date of last disclosure:	27 March 2019
Director or senior manager giving disclosure	
Full name(s):	James Harold Ogden
Name of listed issuer:	Summerset Group Holdings Limited
Name of related body corporate (if applicable):	N/A
Position held in listed issuer:	Independent Director
Commons of consistion or disposal of valous at interest (evaluding appointed derivative	
Summary of acquisition or disposal of relevant interest (excluding specified derivative	es) 
Class of affected quoted financial products:	Fixed rate bonds (NZX Code: SUM030)
Nature of the affected relevant interest(s):	Power to acquire or dispose of, or to control the acquisition or disposal of, fixed rate bonds
For that relevant interest-	disposal of, fixed rate borids
Number held in class before acquisition or disposal:	Nil
Number held in class after acquisition or disposal:	150,000
Current registered holder(s):	Clara Ogden
Registered holder(s) once transfers are registered:	N/A
Summary of acquisition or disposal of specified derivatives relevant interest (if applications)	able)
Type of affected derivative:	N/A
Class of underlying financial products:	N/A
Details of affected derivative-	
The notional value of the derivative (if any) or the notional amount of underlying financial	
products (if any):	N/A
A statement as to whether the derivative is cash settled or physically settled:	N/A
Maturity date of the derivative (if any):	N/A
Expiry date of the derivative(if any):	N/A
The price specified in the terms of the derivative (if any):	N/A
Any other details needed to understand how the amount of the consideration payable	
under the derivative or the value of the derivative is affected by the value of the underlying	
financial products:	N/A
For that derivative,-	- I
Parties to the derivative:	N/A
If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:	N/A
interest in the derivative.	
Details of transactions giving rise to acquisition or disposal	
Total number of transactions to which notice relates:	One
Details of transactions requiring disclosure-	
Date of transaction:	21 September 2020
Date of transaction.	21 Coptombol 2020
Nature of transaction:	Allotment of bonds by Summerset Group Holdings Limited
Name of any other party or parties to the transaction (if known):	N/A
The consideration, expressed in New Zealand dollars, paid or recieved for the acquisition	
or disposal. If the consideration was not in cash and cannot be readily by converted into a	
cash value, describe the consideration:	\$150,000.00000000
Number of financial products to which the transaction related:	150,000
If the issuer has a financial products trading policy that prohibits directors or senior	
managers from trading during any period without written clearance (a closed period) include the following details—	
	NI/A
Whether relevant interests were aquired or disposed of during a closed period:  Whether prior written clearance was provided to allow the aquisition or disposal to proceed	N/A
during the closed period:	N/A
Date of the prior written clearance (if any):	N/A

	(4) 0
	(1) Summerset Group Holdings Limited ordinary shares (NZX Code: SUM)
	(2) Fixed rate bonds (NZX Code: SUM010)
Class of quoted financial products:	(3) Fixed rate bonds (NZX Code: SUM020)
	(1) Registered holder and beneficial owner
	(2) Registered holder as trustee of the Wakapua Trust
Nature of relevant interest:	(3) Power to acquire or dispose of, or to control the acquisition or disposal of, fixed rate bonds
	disposal of, fixed fate portus
For that relevant interest,-	(1) 389,504
	(2) 15,000
Number held in class:	(3) 100,000
	(1) James Harold Ogden
	(2) James Harold Ogden, Rachel Margaret Phillips and Malcolm Lindsay Phillips as trustees of the Wakapua Trust
Current registered holder(s):	(3) Clara Ogden
For a derivative relevant interest,-	
Type of derivative:	N/A
Details of derivative,-	
The notional value of the derivative (if any) or the notional amount of underlying financial	
products (if any):	N/A
A statement as to whether the derivative is cash settled or physically settled:	N/A
Maturity date of the derivative (if any):	N/A
Expiry date of the derivative (if any):	N/A
The price's specified terms (if any):	N/A
Any other details needed to understand how the amount of the consideration payable	
under the derivative or the value of the derivative is affected by the value of the underlying	N/A
financial products:	
For that derivative relevant interest,-	N/A
Parties to the derivative:	N/A
If the director or senior manager is not a party to the derivative, the nature of the relevant	N/A
interest in the derivative:	147.
Certification	
I certify that, to the best of my knowledge and belief, the information contained in this	
disclosure is correct and that I am duly authorised to make this disclosure by all persons	
for whom it is made.	
Signature of director or officer:	HOder
Date of signature:	24 September 2020
or	
Signature of person authorised to sign on behalf of director or officer:	N/A
Date of signature:	N/A
Name and title of authorised person:	N/A



#### **Disclosure of Directors and Senior Managers Relevant Interests**

Sections 297(2) and 298(2). Financial Markets Conduct Act 2013

Sections 297 (2) and 290(2), I mandal warkets conduct Act 2013	
To NZX Limited; and	
Name of listed issuer:	Summerset Group Holdings Limited
Date this disclosure made:	24 September 2020
Date of last disclosure:	27 March 2020
Director or senior manager giving disclosure	
Full name(s):	Robert James Campbell
Name of listed issuer:	Summerset Group Holdings Limited
Name of related body corporate (if applicable):	N/A
Position held in listed issuer:	Independent Director
Summary of acquisition or disposal of relevant interest (excluding specified derivative	·
Class of affected quoted financial products:	Summerset Group Holdings Limited ordinary shares (NZX Code: SUM)
Nature of the affected relevant interest(s):	Beneficial owner
For that relevant interest-	
Number held in class before acquisition or disposal:	59,850
Number held in class after acquisition or disposal:	60,274
Current registered holder(s):	Tutanekai Investments Limited
Registered holder(s) once transfers are registered:	N/A
Summary of acquisition or disposal of specified derivatives relevant interest (if applica	able)
Type of affected derivative:	N/A
Class of underlying financial products:	N/A
Details of affected derivative-	
The notional value of the derivative (if any) or the notional amount of underlying financial products (if any):	N/A
A statement as to whether the derivative is cash settled or physically settled:	N/A
Maturity date of the derivative (if any):	N/A
Expiry date of the derivative(if any):	N/A
The price specified in the terms of the derivative (if any):	N/A
Any other details needed to understand how the amount of the consideration payable under the derivative or the value of the derivative is affected by the value of the underlying financial products:	N/A
For that derivative,-	
Parties to the derivative:	N/A
If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:	N/A
Details of transactions giving rise to acquisition or disposal	
Total number of transactions to which notice relates:	One
Details of transactions requiring disclosure-	
Date of transaction:	11 September 2020
Nature of transaction:	Issue of ordinary shares subject to the Dividend Reinvestment Plan
Name of any other party or parties to the transaction (if known):	N/A
The consideration, expressed in New Zealand dollars, paid or received for the acquisition or disposal. If the consideration was not in cash and cannot be readily by converted into a cash value, describe the consideration:	\$3,592.34000000
Number of financial products to which the transaction related:	424
If the issuer has a financial products trading policy that prohibits directors or senior managers from trading during any period without written clearance (a closed period) include the following details—	
Whether relevant interests were acquired or disposed of during a closed period:	N/A
Whether prior written clearance was provided to allow the acquisition or disposal to proceed during the closed period:	N/A
Date of the prior written clearance (if any):	N/A
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#### Summary of other relevant interests after acquisition or disposal: Class of quoted financial products: N/A N/A Nature of relevant interest: For that relevant interest,-Number held in class: N/A N/A Current registered holder(s): For a derivative relevant interest,-N/A Type of derivative: Details of derivative,-The notional value of the derivative (if any) or the notional amount of underlying financial N/A products (if any): N/A A statement as to whether the derivative is cash settled or physically settled: N/A Maturity date of the derivative (if any): N/A Expiry date of the derivative (if any): The price's specified terms (if any): N/A Any other details needed to understand how the amount of the consideration payable under the derivative or the value of the derivative is affected by the value of the underlying N/A financial products: For that derivative relevant interest,-N/A Parties to the derivative: N/A If the director or senior manager is not a party to the derivative, the nature of the relevant N/A interest in the derivative: Cerification I certify that, to the best of my knowledge and belief, the information contained in this disclosure is correct and that I am duly authorised to make this disclosure by all persons for whom it is made. Signature of director or officer: 24 September 2020 Date of signature: N/A Signature of person authorised to sign on behalf of director or officer:

Date of signature:

Name and title of authorised person:

N/A

N/A



# **Disclosure of Directors and Senior Managers Relevant Interests**

Sections 297(2) and 298(2), Financial Markets Conduct Act 2013

To NZX Limited; and	
Name of listed issuer:	Summerset Group Holdings Limited
Date this disclosure made:	24 September 2020
Date of last disclosure:	19 August 2020
Director or senior manager giving disclosure	
Full name(s):	Scott Grady Scoullar
Name of listed issuer:	Summerset Group Holdings Limited
Name of related body corporate (if applicable):	N/A
Position held in listed issuer:	Deputy CEO and CFO
Summary of acquisition or disposal of relevant interest (excluding specified derivative	es)
Class of affected quoted financial products:	(1) Summerset Group Holdings Limited ordinary shares (NZX Code: SUM)
	(2) Fixed rate bonds (NZX Code: SUM030)
Nature of the affected relevant interest(s):	(1) Registered holder and beneficial owner
	(2) Power to acquire or dispose of, or to control the acqusition or disposal of, fixed rate bonds
For that relevant interest-	<u></u>
Number held in class before acquisition or disposal:	(1) 159,843
	(2) Nil
Number held in class after acquisition or disposal:	(1) 160,601
	(2) 40,000
Current registered holder(s):	(1) Scott Grady Scoullar
	(2) Donald Lisle Scoullar
Registered holder(s) once transfers are registered:	Same as above
Summary of acquisition or disposal of specified derivatives relevant interest (if application of affected derivative:	N/A
Class of underlying financial products:	N/A
Details of affected derivative-	
The notional value of the derivative (if any) or the notional amount of underlying financial products (if any):	N/A
A statement as to whether the derivative is cash settled or physically settled:	N/A
Maturity date of the derivative (if any):	N/A
Expiry date of the derivative(if any):	N/A
The price specified in the terms of the derivative (if any):	N/A
Any other details needed to understand how the amount of the consideration payable under the derivative or the value of the derivative is affected by the value of the underlying financial products:	N/A
For that derivative,-	
Tor that derivative,-	
Parties to the derivative:	N/A
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Parties to the derivative:  If the director or senior manager is not a party to the derivative, the nature of the relevant	N/A
Parties to the derivative:  If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:	N/A
Parties to the derivative:  If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:  Details of transactions giving rise to acquisition or disposal	N/A N/A
Parties to the derivative:  If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:  Details of transactions giving rise to acquisition or disposal  Total number of transactions to which notice relates:	N/A N/A
Parties to the derivative:  If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:  Details of transactions giving rise to acquisition or disposal  Total number of transactions to which notice relates:  Details of transactions requiring disclosure-	N/A N/A
Parties to the derivative:  If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:  Details of transactions giving rise to acquisition or disposal  Total number of transactions to which notice relates:  Details of transactions requiring disclosure-  Date of transaction:	N/A  N/A  Two  (1) 11 September 2020 (2) 21 September 2020 (1) Issue of ordinary shares subject to the Dividend Reinvestment
Parties to the derivative:  If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:  Details of transactions giving rise to acquisition or disposal  Total number of transactions to which notice relates:  Details of transactions requiring disclosure-	N/A N/A  Two  (1) 11 September 2020 (2) 21 September 2020

The consideration, expressed in New Zealand dollars, paid or recieved for the acquisition	
or disposal. If the consideration was not in cash and cannot be readily by converted into a	(4) \$6 400 4550000
cash value, describe the consideration:	(1) \$6,422.15500000
	(2) \$40,000.0000000
Number of financial products to which the transaction related:	(1) 758 ordinary shares
If the issuer has a financial products trading policy that prohibits directors or senior	(2) 40,000 SUM030 fixed rate bonds
managers from trading during any period without written clearance (a closed period) include the following details—	
	l <sub>N/A</sub>
Whether relevant interests were aquired or disposed of during a closed period:  Whether prior written clearance was provided to allow the aquisition or disposal to proceed	N/A
during the closed period:	N/A
Date of the prior written clearance (if any):	N/A
Summary of other relevant interests after acquisition or disposal:	
	Summarcat Group Holdings Limited ordinary shares (NZY Code)
Class of quoted financial products:	Summerset Group Holdings Limited ordinary shares (NZX Code: SUM) and fixed rate bonds (NZX Codes: SUM010 and SUM020)
	Registered and/or beneficial ownership of shares and the power to
Noture of relevant interests	acquire or dispose of, or to control the acquisition or disposal of,
Nature of relevant interest:	shares and fixed rate bonds (as detailed below)
For that relevant interest,-	(1) Beneficial ownership of 350 ordinary shares pursuant to a
	widely held exempt employee share plan under which the shares
Number held in class:	have not yet vested
	(2) Beneficial ownership of 54,945 ordinary shares pursuant to a long term incentive plan under which the shares have not yet
	vested
	(3) Beneficial ownership of 558,731 ordinary shares pursuant to a long term incentive plan under which the shares have vested
	long term incentive plan under which the shares have vested
	(4) Holder of options to subscribe for 260,767 ordinary shares
	pursuant to a share option plan under which the options have not yet vested
	(5) Power to acquire or dispose of, or to control the acqusition or
	disposal of, 11,917 ordinary shares
	(6) Power to acquire or dispose of, or to control the acqusition or disposal of, 25,000 SUM010 fixed rate bonds
	(7) Power to acquire or dispose of, or to control the acqusition or disposal of, 40,000 SUM020 fixed rate bonds
	disposal of, 40,000 Solviozo fixed fate borids
Current registered holder(s):	(1) Summerset LTI Trustee Limited
	(2) Summerset LTI Trustee Limited
	(3) Summerset LTI Trustee Limited
	(4) Scott Grady Scoullar
	(5) Donald Lisle Scoullar
	(6) Donald Lisle Scoullar
For a derivative value and internal	(7) Donald Lisle Scoullar
For a derivative relevant interest,-  Type of derivative:	N/A
Details of derivative,-	1471
The notional value of the derivative (if any) or the notional amount of underlying financial	
products (if any):	N/A
A statement as to whether the derivative is cash settled or physically settled:	N/A
Maturity date of the derivative (if any):	N/A
Expiry date of the derivative (if any):	N/A
The price's specified terms (if any):	N/A
Any other details needed to understand how the amount of the consideration payable under the derivative or the value of the derivative is affected by the value of the underlying financial products:	N/A
For that derivative relevant interest,-	N/A
Parties to the derivative:	N/A
If the director or senior manager is not a party to the derivative, the nature of the relevant	
interest in the derivative:	N/A

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