## Form 603

Corporations Law Section 671B

# Notice of initial substantial holder

GENETIC SIGNATURES LIMITED
095 913 205
(1)
UBS Group AG and its related bodies corporate
older on: 28 October 2020
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### 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Persons' votes (5)	Voting power (6)
Ordinary	7,839,965	7,839,965	5.49%

## 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities	
UBS Securities Australia Ltd	Beneficial Owner	7,839,965	Ordinary

#### 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
UBS Securities Australia Ltd	Brispot Nominees Pty Ltd	UBS Securities Australia Ltd	7,839,965 Ordinary

#### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Please see Appendix A.				

#### 6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ ARSN (if applicable)	Nature of association
UBS Securities Australia Ltd	Related body corporate
Brispot Nominees Pty Ltd	Related body corporate

### 7. Addresses

The addresses of persons named in this form are as follows:

Name	Addresses
UBS Securities Australia Ltd	Level 16, Chifley Tower, 2 Chifley Square, Sydney, Australia
Brispot Nominees Pty Ltd	Level 16, Chifley Tower, 2 Chifley Square, Sydney, Australia

#### **SIGNATURE**

Print Name:	Andrew Costley	Capacity:	Authorised signatory
Sign Here:	A. Costle	Date:	30 October 2020
Print Name:	Joanne Chan	Capacity:	Authorised signatory
Sign Here:	af.	Date:	30 October 2020

Contact details for this notice: **Andrew Costley** Group Compliance, Regulatory & Governance

(T) + 852 3712 3707

					GSS- Appendix A	
Date of	Person whose relevant interest changed	Nature of Change	Consideration given in	Number of securities	Class	
change			relation to change			
	UBS Securities Australia Ltd	Sell	94	(45)	Ordinary	
	UBS Securities Australia Ltd	Sell	45	(22)	Ordinary	
	UBS Securities Australia Ltd	Buy	120	56	Ordinary	
	UBS Securities Australia Ltd	Sell	324	(128)	Ordinary	
	UBS Securities Australia Ltd	Buy	373	154	Ordinary	
	UBS Securities Australia Ltd	Buy	3,987	1,589	Ordinary	
	UBS Securities Australia Ltd	Sell	269	(106)	Ordinary	
	UBS Securities Australia Ltd	Sell	3,689	(1,448)	Ordinary	
	UBS Securities Australia Ltd	Buy	504	210	Ordinary	
	UBS Securities Australia Ltd	Sell	122	(48)	Ordinary	
	UBS Securities Australia Ltd	Buy	518	210	Ordinary	
	UBS Securities Australia Ltd	Sell	527	(210)	Ordinary	
	UBS Securities Australia Ltd	Sell	354	(141)	Ordinary	
	UBS Securities Australia Ltd	Buy	291	124	Ordinary	
	UBS Securities Australia Ltd	Sell	166	(69)	Ordinary	
	UBS Securities Australia Ltd	Sell	286	(120)	Ordinary	
	UBS Securities Australia Ltd	Buy	12	5	Ordinary	
	UBS Securities Australia Ltd	Sell	25	(11)	Ordinary	
	UBS Securities Australia Ltd	Sell	236	(95)	Ordinary	
	UBS Securities Australia Ltd	Buy	189	74	Ordinary	
	UBS Securities Australia Ltd	Buy	106	44	Ordinary	
	UBS Securities Australia Ltd	Sell	43	(17)	Ordinary	
	UBS Securities Australia Ltd	Sell	56	(22)	Ordinary	
	UBS Securities Australia Ltd	Sell	21,236	(8,343)	Ordinary	
	UBS Securities Australia Ltd	Buy	58	22	Ordinary	
	UBS Securities Australia Ltd	Sell	31,500	(12,451)	Ordinary	
	UBS Securities Australia Ltd	Sell	4,855	(1,832)	Ordinary	
	UBS Securities Australia Ltd	Buy	5,109	1,799	Ordinary	
	UBS Securities Australia Ltd	Buy	2,969	1,112	Ordinary	
	UBS Securities Australia Ltd	Sell	2,851	(1,079)	Ordinary	
	UBS Securities Australia Ltd	Buy	55	21	Ordinary	
	UBS Securities Australia Ltd	Sell	74	(28)	Ordinary	
	UBS Securities Australia Ltd	Sell	1,154	(444)	Ordinary	
	UBS Securities Australia Ltd	Buy	10,194	4,138	Ordinary	
	UBS Securities Australia Ltd	Buy	4,022	1,568	Ordinary	
03-Aug-20	UBS Securities Australia Ltd	Buy	13,566	5,320	Ordinary	
,	UBS Securities Australia Ltd	Sell	9,502	(3,693)	Ordinary	
3	UBS Securities Australia Ltd	Sell	88	(34)	Ordinary	
,	UBS Securities Australia Ltd	Sell	17,317	(6,791)	Ordinary	
Ŭ	UBS Securities Australia Ltd	Sell	13,348	(5,280)	Ordinary	
	UBS Securities Australia Ltd	Buy	20	8	Ordinary	
3	UBS Securities Australia Ltd	Sell	69	(27)	Ordinary	
ū	UBS Securities Australia Ltd	Buy	49	19	Ordinary	
- J	UBS Securities Australia Ltd	Buy	301,859	122,962	Ordinary	
ū	UBS Securities Australia Ltd	Sell	171	(69)	Ordinary	
ŭ	UBS Securities Australia Ltd	Buy	225	90	Ordinary	
ū	UBS Securities Australia Ltd	Buy	16,910	6,791	Ordinary	
ŭ	UBS Securities Australia Ltd	Sell	4,358	(1,816)	Ordinary	
ū	UBS Securities Australia Ltd	Buy	4,680	1,903	Ordinary	
- J	UBS Securities Australia Ltd	Buy	1,397,250	575,000	Ordinary	
J	UBS Securities Australia Ltd	Sell	219	(90)	Ordinary	
- J	UBS Securities Australia Ltd	Sell	1,200,000	(500,000)	Ordinary	
J	UBS Securities Australia Ltd	Buy	664	299	Ordinary	
- J	UBS Securities Australia Ltd	Sell	670	(296)	Ordinary	
J	UBS Securities Australia Ltd	Sell	27	(12)	Ordinary	
- J	UBS Securities Australia Ltd	Sell	23	(10)	Ordinary	
J	UBS Securities Australia Ltd	Sell	14	(6)	Ordinary	
- J	UBS Securities Australia Ltd	Sell	115	(51)	Ordinary	
20-Aug-20	UBS Securities Australia Ltd	Sell	1,090	(454)	Ordinary	
3	UBS Securities Australia Ltd	Sell	2,811	(1,189)	Ordinary	
21-Aug-20	UBS Securities Australia Ltd	Sell	1,264	(529)	Ordinary	

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21-Aug-20	UBS Securities Australia Ltd	Buy	1,093	461	Ordinary
21-Aug-20	UBS Securities Australia Ltd	Sell	810	(345)	Ordinary
24-Aug-20	UBS Securities Australia Ltd	Buy	1,170	513	Ordinary
24-Aug-20	UBS Securities Australia Ltd	Sell	980	(430)	Ordinary
25-Aug-20	UBS Securities Australia Ltd	Buy	991	433	Ordinary
27-Aug-20	UBS Securities Australia Ltd	Sell	1,907	(829)	Ordinary
28-Aug-20	UBS Securities Australia Ltd	Buy	1,942	829	Ordinary
28-Aug-20	UBS Securities Australia Ltd	Sell	27	(12)	Ordinary
31-Aug-20	UBS Securities Australia Ltd	Buy	30	13	Ordinary
31-Aug-20	UBS Securities Australia Ltd	Sell	944	(414)	Ordinary
01-Sep-20	UBS Securities Australia Ltd	Buy	907	413	Ordinary
01-Sep-20	UBS Securities Australia Ltd	Sell	3,119	(1,405)	Ordinary
02-Sep-20	UBS Securities Australia Ltd	Buy	3,949	1,848	Ordinary
03-Sep-20	UBS Securities Australia Ltd	Sell	884	(417)	Ordinary
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07-Sep-20	UBS Securities Australia Ltd	Buy	4	2	Ordinary
08-Sep-20	UBS Securities Australia Ltd	Sell	2	(1)	Ordinary
10-Sep-20	UBS Securities Australia Ltd	Buy	8	4	Ordinary
11-Sep-20	UBS Securities Australia Ltd	Buy	391	201	Ordinary
14-Sep-20	UBS Securities Australia Ltd	Sell	397	(206)	Ordinary
14-Sep-20	UBS Securities Australia Ltd	Sell	878	(450)	Ordinary
14-Sep-20	UBS Securities Australia Ltd	Buy	1,560,000	800,000	Ordinary
15-Sep-20	UBS Securities Australia Ltd	Buy	442	219	Ordinary
16-Sep-20	UBS Securities Australia Ltd	Buy	399	205	Ordinary
23-Sep-20	UBS Securities Australia Ltd	Buy	6	3	Ordinary
24-Sep-20	UBS Securities Australia Ltd	Sell	108	(56)	Ordinary
25-Sep-20	UBS Securities Australia Ltd	Buy	94	50	Ordinary
01-Oct-20	UBS Securities Australia Ltd	Buy	1,377	810	Ordinary
02-Oct-20	UBS Securities Australia Ltd	Sell	1,522	(784)	Ordinary
02-Oct-20	UBS Securities Australia Ltd	Buy	228	120	Ordinary
05-Oct-20	UBS Securities Australia Ltd	Sell	218	(114)	Ordinary
05-Oct-20	UBS Securities Australia Ltd	Buy	137	72	Ordinary
06-Oct-20	UBS Securities Australia Ltd	Sell	147	(77)	Ordinary
12-Oct-20	UBS Securities Australia Ltd	Sell	83	(40)	Ordinary
13-Oct-20	UBS Securities Australia Ltd	Buy	82	42	Ordinary
14-Oct-20	UBS Securities Australia Ltd	Buy	59	30	Ordinary
15-Oct-20	UBS Securities Australia Ltd	Buy	10,317	5,241	Ordinary
	UBS Securities Australia Ltd		· · · · · · · · · · · · · · · · · · ·		
15-Oct-20		Sell	7,384	(3,567)	Ordinary
15-Oct-20	UBS Securities Australia Ltd	Sell	68	(33)	Ordinary
16-Oct-20	UBS Securities Australia Ltd	Sell	1,822	(900)	Ordinary
16-Oct-20	UBS Securities Australia Ltd	Buy	19,694	9,995	Ordinary
19-Oct-20	UBS Securities Australia Ltd	Sell	8,609	(4,579)	Ordinary
19-Oct-20		Buy	2,066	1,114	Ordinary
19-Oct-20	UBS Securities Australia Ltd	Buy	2	1	Ordinary
20-Oct-20	UBS Securities Australia Ltd	Sell	17,032	(8,988)	Ordinary
20-Oct-20	UBS Securities Australia Ltd	Buy	4,699	2,537	Ordinary
21-Oct-20	UBS Securities Australia Ltd	Buy	8,481	4,594	Ordinary
21-Oct-20	UBS Securities Australia Ltd	Sell	8,402	(4,469)	Ordinary
22-Oct-20	UBS Securities Australia Ltd	Buy	13,763	6,927	Ordinary
22-Oct-20	UBS Securities Australia Ltd	Sell	2,760	(1,340)	Ordinary
22-Oct-20	UBS Securities Australia Ltd	Sell	692	(336)	Ordinary
23-Oct-20	UBS Securities Australia Ltd	Buy	30,656	15,398	Ordinary
23-Oct-20	UBS Securities Australia Ltd	Sell	17,006	(8,431)	Ordinary
23-Oct-20	UBS Securities Australia Ltd	Buy	621	315	Ordinary
23-Oct-20	UBS Securities Australia Ltd	Sell	32	(16)	Ordinary
26-Oct-20	UBS Securities Australia Ltd	Buy	3,192,259	1,695,485	Ordinary
26-Oct-20	UBS Securities Australia Ltd	Buy	433,550	230,269	Ordinary
26-Oct-20 26-Oct-20	UBS Securities Australia Ltd		2,058	1,040	•
		Buy	2,038		Ordinary
26-Oct-20	UBS Securities Australia Ltd	Sell		(335)	Ordinary
26-Oct-20	UBS Securities Australia Ltd	Sell	13,659	(6,733)	Ordinary
26-Oct-20	UBS Securities Australia Ltd	Buy	20,764	10,392	Ordinary
26-Oct-20	UBS Securities Australia Ltd	Buy	3,968	1,984	Ordinary
27-Oct-20	UBS Securities Australia Ltd	Buy	1,163	604	Ordinary
	LLIDC Cocurities Australia Ltd	ID: n/	2,591	1,332	Ordinary
27-Oct-20 27-Oct-20	UBS Securities Australia Ltd UBS Securities Australia Ltd	Buy Sell	5,439	(2,740)	Graniary

27-Oct-20	UBS Securities Australia Ltd	Sell	3,757	(1,940)	Ordinary
27-Oct-20	UBS Securities Australia Ltd	Buy	3,152,000	1,600,000	Ordinary
28-Oct-20	UBS Securities Australia Ltd	Buy	4,395	2,200	Ordinary
28-Oct-20	UBS Securities Australia Ltd	Sell	1,886	(950)	Ordinary
28-Oct-20	UBS Securities Australia Ltd	Buy	10,271	5,110	Ordinary
28-Oct-20	UBS Securities Australia Ltd	Sell	7,898	(3,978)	Ordinary
28-Oct-20	UBS Securities Australia Ltd	Buy	4,340,053	2,180,931	Ordinary