

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b> EMPIRE ENERGY GROUP LIMITED
<b>ABN</b> 29 002 148 361

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Alex Underwood
<b>Date of last notice</b>	22 September 2020

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct and Indirect
<b>Nature of indirect interest (including registered holder)</b> <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	Indirect interests held by: (i) Tamarama Energy Services Pty Limited as Trustee for the A.O.E. Underwood Family Trust. Mr Underwood is a director of the Company and beneficiary of the Trust; and (ii) The Tamarama Superannuation Fund. Mr Underwood is the beneficiary of the fund
<b>Date of change</b>	2 November 2020
<b>No. of securities held prior to change</b>	<b>Direct</b> <ul style="list-style-type: none"> <li>• 800,000 Ordinary Shares</li> <li>• 4,577,089 Performance Rights</li> <li>• 1,000,000 Service Rights</li> <li>• 750,000 Restricted Rights</li> </ul> <b>Indirect</b> <ul style="list-style-type: none"> <li>• 1,500,000 Ordinary Shares</li> <li>• 600,000 Unlisted Options expiring on 30/12/2021 @ \$0.30</li> </ul>

+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

<b>Class</b>	Ordinary Shares / Options
<b>Number acquired</b>	500,000 Ordinary Shares
<b>Number disposed</b>	500,000 Ordinary Shares
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	\$214,500
<b>No. of securities held after change</b>	No change in direct or indirect interest  <b>Direct</b> <ul style="list-style-type: none"> <li>• 800,000 Ordinary Shares</li> <li>• 4,577,089 Performance Rights</li> <li>• 1,000,000 Service Rights</li> <li>• 750,000 Restricted Rights</li> </ul> <b>Indirect</b> <ul style="list-style-type: none"> <li>• 1,500,000 Ordinary Shares</li> <li>• 600,000 Unlisted Options expiring on 30/12/2021 @ \$0.30</li> </ul>
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Sale of 500,000 Empire shares by Tamarama Energy Services Pty Limited and acquisition of these 500,000 Empire shares by The Tamarama Superannuation Fund

**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A

+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

---

<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A
<b>Interest after change</b>	N/A

**Part 3 – +Closed period**

<b>Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

---

+ See chapter 19 for defined terms.