

# Form 603

Corporations Act  
Section 671B

## Notice of initial substantial holder

To: Company Name/Scheme **ZOONO GROUP LIMITED**

ACN/ARSN **006 645 754**

### 1. Details of substantial holder (1)

Name **Bank of America Corporation and its related bodies corporate  
(See Appendix C)**

ACN/ARSN (if applicable)

The holder became a substantial holder on: **15 June 2021**

### 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Persons' votes (5)	Voting power (6)
Ordinary	9,614,106	9,614,106	5.85%

### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Merrill Lynch (Australia) Futures Limited	Power to control voting and/or disposal of securities in its capacity as ultimate beneficial owner having a principal position.	Ordinary 4,075,911
Merrill Lynch Markets (Australia) Pty. Limited	Borrowing of securities pursuant to a Prime Brokerage Agreement (see Appendix B), with power to control the voting and/or disposal of borrowed securities.	Ordinary 5,538,195

#### 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Merrill Lynch (Australia) Futures Limited	Merrill Lynch (Australia) Nominees Pty Ltd	Merrill Lynch (Australia) Futures Limited	Ordinary 4,075,911
Merrill Lynch Markets (Australia) Pty. Limited	Merrill Lynch (Australia) Nominees Pty Ltd	Merrill Lynch Markets (Australia) Pty. Limited	Ordinary 5,538,195

#### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Please see Annexure A.				

#### 6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ ARSN (if applicable)	Nature of association
Merrill Lynch (Australia) Futures Limited	Related body corporate
Merrill Lynch (Australia) Nominees Pty Ltd	Related body corporate
Merrill Lynch Markets (Australia) Pty. Limited	Related body corporate

#### 7. Addresses

The addresses of persons named in this form are as follows:

Name	Addresses
Bank of America Corporation	100 North Tryon Street, Charlotte, North Carolina, 28255, USA
Merrill Lynch (Australia) Futures Limited	Level 34, Governor Phillip Tower, 1 Farrer Place, Sydney NSW 2000, Australia
Merrill Lynch (Australia) Nominees Pty Ltd	Level 19, 120 Collins St, Melbourne Vic 3000, Australia
Merrill Lynch Markets (Australia) Pty. Limited	Level 34, Governor Phillip Tower, 1 Farrer Place, Sydney NSW 2000, Australia

**SIGNATURE**

Print Name: Kelvin Kwok

Capacity: Authorised signatory

Sign Here:



Date: 17 June 2021



## Appendix A

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
02/16/2021	Merrill Lynch (Australia) Futures Limited	SELL	(577)	Ordinary	(734)	(734)	N/A
02/16/2021	Merrill Lynch (Australia) Futures Limited	SELL	(13,768)	Ordinary	(17,245)	(17,245)	N/A
02/16/2021	Merrill Lynch (Australia) Futures Limited	BUY	18,114	Ordinary	23,179	23,179	N/A
02/16/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities borrowed	N/A	Ordinary	275,433	275,433	Refer to App. B
02/17/2021	Merrill Lynch (Australia) Futures Limited	BUY	2,487	Ordinary	3,320	3,320	N/A
02/17/2021	Merrill Lynch (Australia) Futures Limited	BUY	2,510	Ordinary	3,235	3,235	N/A
02/17/2021	Merrill Lynch (Australia) Futures Limited	SELL	(566)	Ordinary	(726)	(726)	N/A
02/17/2021	Merrill Lynch (Australia) Futures Limited	SELL	(4,671)	Ordinary	(6,228)	(6,228)	N/A
02/17/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(54,504)	(54,504)	Refer to App. B
02/17/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(2,132)	(2,132)	Refer to App. B
02/18/2021	Merrill Lynch (Australia) Futures Limited	SELL	(2,476)	Ordinary	(3,324)	(3,324)	N/A
02/18/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1,290)	Ordinary	(1,792)	(1,792)	N/A
02/18/2021	Merrill Lynch (Australia) Futures Limited	BUY	33,811	Ordinary	46,919	46,919	N/A
02/18/2021	Merrill Lynch (Australia) Futures Limited	BUY	5,908	Ordinary	8,263	8,263	N/A
02/18/2021	Merrill Lynch (Australia) Futures Limited	BUY	321	Ordinary	431	431	N/A
02/18/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(92,573)	(92,573)	Refer to App. B
02/19/2021	Merrill Lynch (Australia) Futures Limited	SELL	(5,522)	Ordinary	(7,363)	(7,363)	N/A
02/19/2021	Merrill Lynch (Australia) Futures Limited	SELL	(20,747)	Ordinary	(27,987)	(27,987)	N/A
02/19/2021	Merrill Lynch (Australia) Futures Limited	BUY	54,883	Ordinary	74,287	74,287	N/A
02/22/2021	Merrill Lynch (Australia) Futures Limited	SELL	(11,672)	Ordinary	(14,590)	(14,590)	N/A
02/22/2021	Merrill Lynch (Australia) Futures Limited	SELL	(27,784)	Ordinary	(35,315)	(35,315)	N/A
02/22/2021	Merrill Lynch (Australia) Futures Limited	BUY	3,660	Ordinary	4,816	4,816	N/A
02/22/2021	Merrill Lynch (Australia) Futures Limited	BUY	15,015	Ordinary	20,154	20,154	N/A
02/22/2021	Merrill Lynch (Australia) Futures Limited	BUY	10,552	Ordinary	13,477	13,477	N/A
02/22/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(4,585)	(4,585)	Refer to App. B
02/23/2021	Merrill Lynch (Australia) Futures Limited	SELL	(24,790)	Ordinary	(31,328)	(31,328)	N/A
02/23/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1,502)	Ordinary	(1,887)	(1,887)	N/A
02/23/2021	Merrill Lynch (Australia) Futures Limited	BUY	11,961	Ordinary	14,861	14,861	N/A
02/24/2021	Merrill Lynch (Australia) Futures Limited	SELL	(5,024)	Ordinary	(6,320)	(6,320)	N/A
02/24/2021	Merrill Lynch (Australia) Futures Limited	SELL	(2,735)	Ordinary	(3,390)	(3,390)	N/A

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
02/24/2021	Merrill Lynch (Australia) Futures Limited	SELL	(14,908)	Ordinary	(18,729)	(18,729)	N/A
02/24/2021	Merrill Lynch (Australia) Futures Limited	BUY	10,270	Ordinary	12,967	12,967	N/A
02/24/2021	Merrill Lynch (Australia) Futures Limited	BUY	5,715	Ordinary	7,099	7,099	N/A
02/24/2021	Merrill Lynch (Australia) Futures Limited	BUY	654	Ordinary	828	828	N/A
02/25/2021	Merrill Lynch (Australia) Futures Limited	SELL	(6,642)	Ordinary	(9,146)	(9,146)	N/A
02/25/2021	Merrill Lynch (Australia) Futures Limited	BUY	11,330	Ordinary	13,988	13,988	N/A
02/25/2021	Merrill Lynch (Australia) Futures Limited	BUY	5,241	Ordinary	6,719	6,719	N/A
02/25/2021	Merrill Lynch (Australia) Futures Limited	SELL	(57,516)	Ordinary	(74,649)	(74,649)	N/A
02/25/2021	Merrill Lynch (Australia) Futures Limited	SELL	(19,180)	Ordinary	(25,429)	(25,429)	N/A
02/26/2021	Merrill Lynch (Australia) Futures Limited	BUY	1,497	Ordinary	2,234	2,234	N/A
02/26/2021	Merrill Lynch (Australia) Futures Limited	SELL	(14,815)	Ordinary	(22,283)	(22,283)	N/A
02/26/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1,508)	Ordinary	(2,234)	(2,234)	N/A
02/26/2021	Merrill Lynch (Australia) Futures Limited	SELL	(8)	Ordinary	(12)	(12)	N/A
02/26/2021	Merrill Lynch (Australia) Futures Limited	BUY	6,535	Ordinary	9,901	9,901	N/A
03/01/2021	Merrill Lynch (Australia) Futures Limited	SELL	(38)	Ordinary	(52)	(52)	N/A
03/01/2021	Merrill Lynch (Australia) Futures Limited	SELL	(4)	Ordinary	(6)	(6)	N/A
03/01/2021	Merrill Lynch (Australia) Futures Limited	BUY	11,393	Ordinary	16,492	16,492	N/A
03/02/2021	Merrill Lynch (Australia) Futures Limited	SELL	(19,375)	Ordinary	(26,492)	(26,492)	N/A
03/02/2021	Merrill Lynch (Australia) Futures Limited	SELL	(10,232)	Ordinary	(14,187)	(14,187)	N/A
03/02/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1,813)	Ordinary	(2,419)	(2,419)	N/A
03/02/2021	Merrill Lynch (Australia) Futures Limited	BUY	842	Ordinary	1,205	1,205	N/A
03/02/2021	Merrill Lynch (Australia) Futures Limited	BUY	7,400	Ordinary	10,000	10,000	N/A
03/02/2021	Merrill Lynch (Australia) Futures Limited	BUY	14,461	Ordinary	20,656	20,656	N/A
03/03/2021	Merrill Lynch (Australia) Futures Limited	SELL	(5,986)	Ordinary	(8,447)	(8,447)	N/A
03/03/2021	Merrill Lynch (Australia) Futures Limited	SELL	(5,214)	Ordinary	(7,605)	(7,605)	N/A
03/03/2021	Merrill Lynch (Australia) Futures Limited	BUY	9,382	Ordinary	13,369	13,369	N/A
03/03/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(581,228)	(581,228)	Refer to App. B
03/03/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(139,307)	(139,307)	Refer to App. B
03/03/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(275,433)	(275,433)	Refer to App. B
03/03/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities borrowed	N/A	Ordinary	1,405,439	1,405,439	Refer to App. B
03/03/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(357,221)	(357,221)	Refer to App. B
03/04/2021	Merrill Lynch (Australia) Futures Limited	SELL	(2,812)	Ordinary	(4,046)	(4,046)	N/A
03/04/2021	Merrill Lynch (Australia) Futures Limited	BUY	3,336	Ordinary	4,896	4,896	N/A

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
03/04/2021	Merrill Lynch (Australia) Futures Limited	SELL	(6,855)	Ordinary	(9,793)	(9,793)	N/A
03/04/2021	Merrill Lynch (Australia) Futures Limited	BUY	12,779	Ordinary	18,897	18,897	N/A
03/04/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(31,226)	(31,226)	Refer to App. B
03/05/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1,248)	Ordinary	(1,855)	(1,855)	N/A
03/05/2021	Merrill Lynch (Australia) Futures Limited	BUY	4,354	Ordinary	6,597	6,597	N/A
03/05/2021	Merrill Lynch (Australia) Futures Limited	SELL	(18,993)	Ordinary	(28,220)	(28,220)	N/A
03/05/2021	Merrill Lynch (Australia) Futures Limited	BUY	7,152	Ordinary	10,836	10,836	N/A
03/05/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(1,849)	(1,849)	Refer to App. B
03/08/2021	Merrill Lynch (Australia) Futures Limited	BUY	14,839	Ordinary	21,832	21,832	N/A
03/08/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1)	Ordinary	(1)	(1)	N/A
03/08/2021	Merrill Lynch (Australia) Futures Limited	SELL	(7,508)	Ordinary	(11,041)	(11,041)	N/A
03/08/2021	Merrill Lynch (Australia) Futures Limited	BUY	2,252	Ordinary	3,352	3,352	N/A
03/08/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(1,242)	(1,242)	Refer to App. B
03/09/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1,389)	Ordinary	(2,116)	(2,116)	N/A
03/09/2021	Merrill Lynch (Australia) Futures Limited	SELL	(5,027)	Ordinary	(7,666)	(7,666)	N/A
03/09/2021	Merrill Lynch (Australia) Futures Limited	BUY	4,990	Ordinary	7,677	7,677	N/A
03/09/2021	Merrill Lynch (Australia) Futures Limited	BUY	2,622	Ordinary	3,973	3,973	N/A
03/10/2021	Merrill Lynch (Australia) Futures Limited	BUY	7,631	Ordinary	11,540	11,540	N/A
03/10/2021	Merrill Lynch (Australia) Futures Limited	SELL	(2,249)	Ordinary	(3,382)	(3,382)	N/A
03/10/2021	Merrill Lynch (Australia) Futures Limited	BUY	229	Ordinary	350	350	N/A
03/11/2021	Merrill Lynch (Australia) Futures Limited	SELL	(3,654)	Ordinary	(5,494)	(5,494)	N/A
03/11/2021	Merrill Lynch (Australia) Futures Limited	SELL	(11)	Ordinary	(17)	(17)	N/A
03/11/2021	Merrill Lynch (Australia) Futures Limited	BUY	174	Ordinary	265	265	N/A
03/11/2021	Merrill Lynch (Australia) Futures Limited	BUY	857	Ordinary	1,309	1,309	N/A
03/12/2021	Merrill Lynch (Australia) Futures Limited	BUY	10,107	Ordinary	14,639	14,639	N/A
03/12/2021	Merrill Lynch (Australia) Futures Limited	BUY	845	Ordinary	1,280	1,280	N/A
03/12/2021	Merrill Lynch (Australia) Futures Limited	SELL	(2,331)	Ordinary	(3,372)	(3,372)	N/A
03/15/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1,497)	Ordinary	(2,079)	(2,079)	N/A
03/15/2021	Merrill Lynch (Australia) Futures Limited	BUY	12,897	Ordinary	19,249	19,249	N/A
03/15/2021	Merrill Lynch (Australia) Futures Limited	BUY	618	Ordinary	910	910	N/A
03/15/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(358,289)	(358,289)	Refer to App. B
03/15/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(1,111,927)	(1,111,927)	Refer to App. B
03/16/2021	Merrill Lynch (Australia) Futures Limited	SELL	(53)	Ordinary	(76)	(76)	N/A

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
03/16/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities borrowed	N/A	Ordinary	1,344,993	1,344,993	Refer to App. B
03/16/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(293,512)	(293,512)	Refer to App. B
03/16/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(1,058,473)	(1,058,473)	Refer to App. B
03/17/2021	Merrill Lynch (Australia) Futures Limited	BUY	55	Ordinary	79	79	N/A
03/17/2021	Merrill Lynch (Australia) Futures Limited	SELL	(295)	Ordinary	(424)	(424)	N/A
03/18/2021	Merrill Lynch (Australia) Futures Limited	SELL	(4,630)	Ordinary	(6,422)	(6,422)	N/A
03/18/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1,542)	Ordinary	(2,126)	(2,126)	N/A
03/18/2021	Merrill Lynch (Australia) Futures Limited	BUY	5,067	Ordinary	6,868	6,868	N/A
03/18/2021	Merrill Lynch (Australia) Futures Limited	BUY	6,011	Ordinary	8,649	8,649	N/A
03/18/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(4,751)	(4,751)	Refer to App. B
03/19/2021	Merrill Lynch (Australia) Futures Limited	BUY	12,273	Ordinary	17,403	17,403	N/A
03/19/2021	Merrill Lynch (Australia) Futures Limited	SELL	(864)	Ordinary	(1,217)	(1,217)	N/A
03/19/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1,951)	Ordinary	(2,636)	(2,636)	N/A
03/19/2021	Merrill Lynch (Australia) Futures Limited	SELL	(11,913)	Ordinary	(16,708)	(16,708)	N/A
03/19/2021	Merrill Lynch (Australia) Futures Limited	BUY	721	Ordinary	1,022	1,022	N/A
03/22/2021	Merrill Lynch (Australia) Futures Limited	BUY	843	Ordinary	1,188	1,188	N/A
03/22/2021	Merrill Lynch (Australia) Futures Limited	SELL	(8,504)	Ordinary	(12,399)	(12,399)	N/A
03/22/2021	Merrill Lynch (Australia) Futures Limited	SELL	(17,824)	Ordinary	(25,832)	(25,832)	N/A
03/22/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1,595)	Ordinary	(2,381)	(2,381)	N/A
03/22/2021	Merrill Lynch (Australia) Futures Limited	SELL	(2,372)	Ordinary	(3,567)	(3,567)	N/A
03/22/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(15,769)	(15,769)	Refer to App. B
03/23/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1,379)	Ordinary	(2,053)	(2,053)	N/A
03/23/2021	Merrill Lynch (Australia) Futures Limited	BUY	986	Ordinary	1,482	1,482	N/A
03/23/2021	Merrill Lynch (Australia) Futures Limited	SELL	(735)	Ordinary	(1,106)	(1,106)	N/A
03/23/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(2,486)	(2,486)	Refer to App. B
03/24/2021	Merrill Lynch (Australia) Futures Limited	SELL	(3,081)	Ordinary	(4,812)	(4,812)	N/A
03/24/2021	Merrill Lynch (Australia) Futures Limited	BUY	1,316	Ordinary	1,994	1,994	N/A
03/24/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(104,036)	(104,036)	Refer to App. B
03/24/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(86,430)	(86,430)	Refer to App. B
03/25/2021	Merrill Lynch (Australia) Futures Limited	SELL	(644)	Ordinary	(1,022)	(1,022)	N/A

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
03/25/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1,850)	Ordinary	(3,102)	(3,102)	N/A
03/25/2021	Merrill Lynch (Australia) Futures Limited	BUY	1,067	Ordinary	1,693	1,693	N/A
03/26/2021	Merrill Lynch (Australia) Futures Limited	BUY	90	Ordinary	145	145	N/A
03/29/2021	Merrill Lynch (Australia) Futures Limited	SELL	(4,357)	Ordinary	(7,281)	(7,281)	N/A
03/29/2021	Merrill Lynch (Australia) Futures Limited	SELL	(86)	Ordinary	(145)	(145)	N/A
03/29/2021	Merrill Lynch (Australia) Futures Limited	BUY	3,946	Ordinary	6,365	6,365	N/A
03/30/2021	Merrill Lynch (Australia) Futures Limited	SELL	(137)	Ordinary	(227)	(227)	N/A
03/31/2021	Merrill Lynch (Australia) Futures Limited	BUY	3,089	Ordinary	5,148	5,148	N/A
03/31/2021	Merrill Lynch (Australia) Futures Limited	SELL	(2,704)	Ordinary	(4,528)	(4,528)	N/A
03/31/2021	Merrill Lynch (Australia) Futures Limited	BUY	58	Ordinary	97	97	N/A
03/31/2021	Merrill Lynch (Australia) Futures Limited	BUY	2,593	Ordinary	4,395	4,395	N/A
04/01/2021	Merrill Lynch (Australia) Futures Limited	SELL	(17,178)	Ordinary	(21,378)	(21,378)	N/A
04/01/2021	Merrill Lynch (Australia) Futures Limited	SELL	(17,943)	Ordinary	(24,868)	(24,868)	N/A
04/01/2021	Merrill Lynch (Australia) Futures Limited	SELL	(73)	Ordinary	(86)	(86)	N/A
04/01/2021	Merrill Lynch (Australia) Futures Limited	BUY	13,730	Ordinary	16,230	16,230	N/A
04/06/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1,595)	Ordinary	(1,802)	(1,802)	N/A
04/06/2021	Merrill Lynch (Australia) Futures Limited	SELL	(2,366)	Ordinary	(2,644)	(2,644)	N/A
04/06/2021	Merrill Lynch (Australia) Futures Limited	SELL	(20)	Ordinary	(23)	(23)	N/A
04/06/2021	Merrill Lynch (Australia) Futures Limited	BUY	2,405	Ordinary	2,644	2,644	N/A
04/06/2021	Merrill Lynch (Australia) Futures Limited	BUY	1,568	Ordinary	1,802	1,802	N/A
04/07/2021	Merrill Lynch (Australia) Futures Limited	BUY	24,099	Ordinary	28,502	28,502	N/A
04/07/2021	Merrill Lynch (Australia) Futures Limited	SELL	(12)	Ordinary	(14)	(14)	N/A
04/07/2021	Merrill Lynch (Australia) Futures Limited	BUY	2,859	Ordinary	3,404	3,404	N/A
04/07/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(67,636)	(67,636)	Refer to App. B
04/08/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1,395)	Ordinary	(1,691)	(1,691)	N/A
04/08/2021	Merrill Lynch (Australia) Futures Limited	BUY	18,354	Ordinary	22,698	22,698	N/A
04/08/2021	Merrill Lynch (Australia) Futures Limited	BUY	14,870	Ordinary	18,300	18,300	N/A
04/08/2021	Merrill Lynch (Australia) Futures Limited	SELL	(4)	Ordinary	(5)	(5)	N/A
04/09/2021	Merrill Lynch (Australia) Futures Limited	SELL	(10,536)	Ordinary	(11,979)	(11,979)	N/A
04/09/2021	Merrill Lynch (Australia) Futures Limited	SELL	(2)	Ordinary	(2)	(2)	N/A
04/09/2021	Merrill Lynch (Australia) Futures Limited	SELL	(44,175)	Ordinary	(51,093)	(51,093)	N/A
04/09/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities borrowed	N/A	Ordinary	202,489	202,489	Refer to App. B
04/09/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(548)	(548)	Refer to App. B
04/12/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1)	Ordinary	(1)	(1)	N/A

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
04/12/2021	Merrill Lynch (Australia) Futures Limited	SELL	(7,060)	Ordinary	(8,034)	(8,034)	N/A
04/12/2021	Merrill Lynch (Australia) Futures Limited	SELL	(93)	Ordinary	(107)	(107)	N/A
04/12/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(54,297)	(54,297)	Refer to App. B
04/12/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(107,498)	(107,498)	Refer to App. B
04/13/2021	Merrill Lynch (Australia) Futures Limited	SELL	(1)	Ordinary	(1)	(1)	N/A
04/20/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities borrowed	N/A	Ordinary	231,113	231,113	Refer to App. B
04/21/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(40,694)	(40,694)	Refer to App. B
04/21/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(82,373)	(82,373)	Refer to App. B
04/23/2021	Merrill Lynch (Australia) Futures Limited	BUY	287	Ordinary	326	326	N/A
04/26/2021	Merrill Lynch (Australia) Futures Limited	SELL	(295)	Ordinary	(326)	(326)	N/A
04/26/2021	Merrill Lynch (Australia) Futures Limited	BUY	24,197	Ordinary	27,169	27,169	N/A
04/26/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(32,459)	(32,459)	Refer to App. B
04/27/2021	Merrill Lynch (Australia) Futures Limited	BUY	20,151	Ordinary	19,564	19,564	N/A
04/27/2021	Merrill Lynch (Australia) Futures Limited	SELL	(21,793)	Ordinary	(21,492)	(21,492)	N/A
04/27/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities borrowed	N/A	Ordinary	451,384	451,384	Refer to App. B
04/28/2021	Merrill Lynch (Australia) Futures Limited	SELL	(23,151)	Ordinary	(22,445)	(22,445)	N/A
04/28/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(116,281)	(116,281)	Refer to App. B
04/28/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(255,267)	(255,267)	Refer to App. B
04/29/2021	Merrill Lynch (Australia) Futures Limited	BUY	16,536	Ordinary	18,791	18,791	N/A
04/29/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(35,261)	(35,261)	Refer to App. B
04/30/2021	Merrill Lynch (Australia) Futures Limited	SELL	(15,864)	Ordinary	(18,726)	(18,726)	N/A
04/30/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(6,085)	(6,085)	Refer to App. B
05/03/2021	Merrill Lynch (Australia) Futures Limited	BUY	317	Ordinary	387	387	N/A
05/03/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(1,989)	(1,989)	Refer to App. B
05/04/2021	Merrill Lynch (Australia) Futures Limited	SELL	(318)	Ordinary	(390)	(390)	N/A
05/05/2021	Merrill Lynch (Australia) Futures Limited	BUY	4,232	Ordinary	5,495	5,495	N/A
05/05/2021	Merrill Lynch (Australia) Futures Limited	SELL	(326)	Ordinary	(418)	(418)	N/A

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
05/05/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(3,702)	(3,702)	Refer to App. B
05/05/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(9,463)	(9,463)	Refer to App. B
05/06/2021	Merrill Lynch (Australia) Futures Limited	BUY	24,467	Ordinary	31,042	31,042	N/A
05/06/2021	Merrill Lynch (Australia) Futures Limited	SELL	(86)	Ordinary	(112)	(112)	N/A
05/07/2021	Merrill Lynch (Australia) Futures Limited	SELL	(13,105)	Ordinary	(18,372)	(18,372)	N/A
05/10/2021	Merrill Lynch (Australia) Futures Limited	SELL	(82)	Ordinary	(115)	(115)	N/A
05/10/2021	Merrill Lynch (Australia) Futures Limited	BUY	288	Ordinary	414	414	N/A
05/10/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(3,625)	(3,625)	Refer to App. B
05/11/2021	Merrill Lynch (Australia) Futures Limited	BUY	586	Ordinary	862	862	N/A
05/11/2021	Merrill Lynch (Australia) Futures Limited	SELL	(243)	Ordinary	(358)	(358)	N/A
05/11/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(58,430)	(58,430)	Refer to App. B
05/13/2021	Merrill Lynch (Australia) Futures Limited	SELL	(4,456)	Ordinary	(6,908)	(6,908)	N/A
05/13/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(5,997)	(5,997)	Refer to App. B
05/14/2021	Merrill Lynch (Australia) Futures Limited	SELL	(2,770)	Ordinary	(4,074)	(4,074)	N/A
05/14/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities borrowed	N/A	Ordinary	244,114	244,114	Refer to App. B
05/14/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(8,324)	(8,324)	Refer to App. B
05/17/2021	Merrill Lynch (Australia) Futures Limited	SELL	(2,120)	Ordinary	(2,965)	(2,965)	N/A
05/17/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(15,281)	(15,281)	Refer to App. B
05/17/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(18,318)	(18,318)	Refer to App. B
05/18/2021	Merrill Lynch (Australia) Futures Limited	SELL	(5,909)	Ordinary	(8,140)	(8,140)	N/A
05/18/2021	Merrill Lynch (Australia) Futures Limited	BUY	656	Ordinary	931	931	N/A
05/18/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(29,642)	(29,642)	Refer to App. B
05/18/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(44,541)	(44,541)	Refer to App. B
05/19/2021	Merrill Lynch (Australia) Futures Limited	BUY	570	Ordinary	820	820	N/A
05/20/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(4,086)	(4,086)	Refer to App. B
05/21/2021	Merrill Lynch (Australia) Futures Limited	BUY	10,653	Ordinary	15,110	15,110	N/A
05/24/2021	Merrill Lynch (Australia) Futures Limited	SELL	(246)	Ordinary	(362)	(362)	N/A

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
05/24/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(6,947)	(6,947)	Refer to App. B
05/25/2021	Merrill Lynch (Australia) Futures Limited	SELL	(7,912)	Ordinary	(11,143)	(11,143)	N/A
05/27/2021	Merrill Lynch (Australia) Futures Limited	SELL	(365)	Ordinary	(514)	(514)	N/A
05/31/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(9,945)	(9,945)	Refer to App. B
06/03/2021	Merrill Lynch (Australia) Futures Limited	SELL	(2)	Ordinary	(3)	(3)	N/A
06/04/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities borrowed	N/A	Ordinary	868,284	868,284	Refer to App. B
06/04/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(32,648)	(32,648)	Refer to App. B
06/07/2021	Merrill Lynch (Australia) Futures Limited	SELL	(769)	Ordinary	(1,068)	(1,068)	N/A
06/07/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(17,247)	(17,247)	Refer to App. B
06/07/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(2,300)	(2,300)	Refer to App. B
06/08/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(28,009)	(28,009)	Refer to App. B
06/11/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(12,019)	(12,019)	Refer to App. B
06/15/2021	Merrill Lynch (Australia) Futures Limited	BUY	2,070,000	Ordinary	3,000,000	3,000,000	N/A
06/15/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(14,812)	(14,812)	Refer to App. B
06/15/2021	Merrill Lynch Markets (Australia) Pty. Limited	Securities returned	N/A	Ordinary	(2,323)	(2,323)	Refer to App. B

## Appendix B-1: Prescribed information

<b>Type of agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Merrill Lynch International, Merrill Lynch Markets (Australia) Pty Limited and Equity Trustees Limited As Trustee And Responsible Entity Of Regal Investment Fund
<b>Holder of voting rights</b>	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
<b>Are there any restrictions on voting rights?</b>	No
If yes, detail	N/A
<b>Scheduled return date (if any)</b>	On recall of the securities loan by the securities lender.
<b>Does the borrower have the right to return early?</b>	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
<b>Does the lender have the right to recall early?</b>	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
<b>Will the securities be returned on settlement?</b>	Yes
If yes, detail any exceptions	N/A

## Appendix B-2: Prescribed information

<b>Type of agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Merrill Lynch International, Merrill Lynch Markets (Australia) Pty Limited and Regal Funds Management Pty Limited as Trustee of Atlantic Absolute Return Fund
<b>Holder of voting rights</b>	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
<b>Are there any restrictions on voting rights?</b>	No
If yes, detail	N/A
<b>Scheduled return date (if any)</b>	On recall of the securities loan by the securities lender.
<b>Does the borrower have the right to return early?</b>	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
<b>Does the lender have the right to recall early?</b>	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
<b>Will the securities be returned on settlement?</b>	Yes
If yes, detail any exceptions	N/A

## Appendix B-3: Prescribed information

<b>Type of agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Merrill Lynch International, Merrill Lynch Markets (Australia) Pty Limited and Regal Funds Management Pty Limited as Trustee of Regal Australian Long Short Equity Fund
<b>Holder of voting rights</b>	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
<b>Are there any restrictions on voting rights?</b>	No
If yes, detail	N/A
<b>Scheduled return date (if any)</b>	On recall of the securities loan by the securities lender.
<b>Does the borrower have the right to return early?</b>	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
<b>Does the lender have the right to recall early?</b>	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
<b>Will the securities be returned on settlement?</b>	Yes
If yes, detail any exceptions	N/A

## Appendix B-4: Prescribed information

<b>Type of agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Merrill Lynch International, Merrill Lynch Markets (Australia) Pty Limited and Regal Funds Management Pty Limited as Trustee of Tasman Market Neutral Fund
<b>Holder of voting rights</b>	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
<b>Are there any restrictions on voting rights?</b>	No
If yes, detail	N/A
<b>Scheduled return date (if any)</b>	On recall of the securities loan by the securities lender.
<b>Does the borrower have the right to return early?</b>	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
<b>Does the lender have the right to recall early?</b>	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
<b>Will the securities be returned on settlement?</b>	Yes
If yes, detail any exceptions	N/A

## Appendix C

Related bodies corporate	Incorporated	ACN/Registration/Incorporation Number
Merrill Lynch (Australia) Futures Limited	Australia	003639674
Merrill Lynch International (Australia) Ltd	Australia	002892846
Merrill Lynch Markets (Australia) Pty. Limited	Australia	075587816
Merrill Lynch (Australasia) Pty. Ltd	Australia	075923894
Bank of America Global Holdings, LLC	United States	5169939
BofAML Jersey Holdings Limited	Jersey	115831
NB Holdings Corporation	United States	2361372
Bank of America Corporation	United States	N/A628