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ASX Release

19 July 2021

## **Raiz Invest Limited (RZI): Change of Director's Interest Notice**

An Appendix 3Y for Mr George Lucas is attached, which provides details of the disposal of some indirect interests in shares, pursuant to orders of the Family Court of Australia.

- ENDS -

### **Market Announcement authorised by:**

Kevin Moore  
Chair  
Raiz Invest Limited

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## About Raiz

Raiz Invest Limited (ASX: RZI) is Australia's largest, mobile-first financial services platform designed to boost investing and savings in and outside of superannuation, available via the Raiz app or its website.

To gain greater insight to the Raiz business and our strategy, watch our short video by visiting <https://raizinvest.com.au/investors/>

Since launching in 2016 Raiz has achieved solid growth, amassing more than 1.8 million downloads, over 1,784,000 signups, with over 456,000 active monthly customers and over \$799 million funds under management as at 30 June 2021.

Raiz was awarded Australia's Investment Innovator of the Year at the 2017, 2018 and Investment Platform Innovator of the Year 2019 FinTech Business Awards, won the 2018 Australian FinTech Award for FinTech Innovation in Wealth Management (Robo-Advice) and won Best Fintech Superannuation Service/Platform at the 2020 FinTech Awards. Raiz Invest Super was also a finalist in the SuperRatings Best Innovation for 2020 at the Superannuation awards. Raiz was ranked #27<sup>th</sup> in Deloitte's Australian Fast 50 winners Report for 2020. The Raiz platform continues to grow with over 17 new products and features released since launch.

Raiz Invest Limited operates in Australia, Indonesia and Malaysia as part of the growth and expansion strategy of the business throughout Southeast Asia.

For more information visit: [www.raizinvest.com.au](http://www.raizinvest.com.au)

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	<b>Raiz Invest Limited (ASX: RZI)</b>
<b>ABN</b>	<b>74 615 510 177</b>

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	George Simon Lucas
<b>Date of last notice</b>	2 June 2021

### **Part 1 - Change of director's relevant interests in securities**

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Indirect
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	G&S Lucas Pty Ltd <G&S Lucas Super Fund>  BBH-GL Nominees Pty Ltd <Back Beach Pty Ltd & Back Beach Family a/c>
<b>Date of change</b>	13 July 2021

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+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

<p><b>No. of securities held prior to change</b></p>	<p><u>Direct:</u></p> <ul style="list-style-type: none"> <li>• 10,000 fully paid ordinary shares</li> <li>• 1,000,000 unquoted options vesting on 21 June 2021, exercisable at \$1.80 per option and expiring on 20 June 2028.</li> <li>• 500,000 unquoted options, vesting on 4 January 2024, exercisable at \$1.144 per option, expiring on 3 January 2026 (if not exercised prior).</li> </ul> <p><u>Indirect:</u></p> <ul style="list-style-type: none"> <li>• G&amp;S Lucas Pty Ltd &lt;G&amp;S Lucas Super Fund&gt; 415,013 fully paid ordinary shares</li> <li>• BBH-GL Nominees Pty Ltd 6,358,338 fully paid ordinary shares</li> </ul>
<p><b>Class</b></p>	<p>Fully paid ordinary shares Unquoted options</p>
<p><b>Number acquired</b></p>	<p>Nil</p>
<p><b>Number disposed</b></p>	<p>Indirect interest in 2,315,013 shares</p>
<p><b>Value/Consideration</b>  <small>Note: If consideration is non-cash, provide details and estimated valuation</small></p>	<p>N/a – disposal pursuant to orders of the Family Court of Australia</p>
<p><b>No. of securities held after change</b></p>	<p><u>Direct:</u></p> <ul style="list-style-type: none"> <li>• 10,000 fully paid ordinary shares</li> <li>• 1,000,000 unquoted options vesting on 21 June 2021, exercisable at \$1.80 per option and expiring on 20 June 2028.</li> <li>• 500,000 unquoted options, vesting on 4 January 2024, exercisable at \$1.144 per option, expiring on 3 January 2026 (if not exercised prior).</li> </ul> <p><u>Indirect:</u></p> <ul style="list-style-type: none"> <li>• BBH-GL Nominees Pty Ltd 4,458,338 fully paid ordinary shares</li> </ul>

+ See chapter 19 for defined terms.

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<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Disposal of indirect interests in shares pursuant to orders of the Family Court of Australia
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**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	n/a
<b>Nature of interest</b>	n/a
<b>Name of registered holder (if issued securities)</b>	n/a
<b>Date of change</b>	n/a
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	n/a
<b>Interest acquired</b>	n/a
<b>Interest disposed</b>	n/a
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	n/a
<b>Interest after change</b>	n/a

**Part 3 – <sup>+</sup>Closed period**

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	Yes
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	Yes
<b>If prior written clearance was provided, on what date was this provided?</b>	7 July 2021

+ See chapter 19 for defined terms.