

## Notice of initial substantial holder

Please find attached *Form 603 Notice of initial substantial holder* that was received by Fiducian from Wasatch Advisers, Inc on 3 March 2022.

**Authorised by Paul Gubecka, Company Secretary**

**4 March 2022**

# Form 603

Corporations Act 2001  
Section 671B

## Notice of initial substantial holder

To Company Name/Scheme Fiducian Group Ltd

ACN/ARSN 602423610

### 1. Details of substantial holder (1)

Name Wasatch Advisors, Inc.

ACN/ARSN (if applicable) 656048-0142

The holder became a substantial holder on 1 March 2022

### 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
Ordinary Fully Paid	1,576,671	1,576,671	5.01%

### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Wasatch Advisors, Inc.	Power to exercise voting and disposal rights as investment manager	1,576,671 Ordinary Fully Paid Shares (aggregate of shares of other holders of relevant interest)
WA Holdings	100% holder of Wasatch Advisors, Inc.	

### 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Wasatch Advisors, Inc.	Wasatch Micro Cap Value Fund	Wasatch Micro Cap Value Fund	858,268 Ordinary Fully Paid Shares
Wasatch Advisors, Inc.	BUSS (Queensland) Pty Ltd	BUSS (Queensland) Pty Ltd	196,218 Ordinary Fully Paid Shares
Wasatch Advisors, Inc.	AUSCOAL Superannuation TTEE Mine Wealth & Wellbeing Superannuation Fund	AUSCOAL Superannuation TTEE Mine Wealth & Wellbeing Superannuation Fund	239,224 Ordinary Fully Paid Shares
Wasatch Advisors, Inc.	Teachers' Retirement of the City of New York Variable A Annuity Program	Teachers' Retirement of the City of New York Variable A Annuity Program	134,209 Ordinary Fully Paid Shares
Wasatch Advisors, Inc.	Clearwater Investment Trust – Clearwater Select Equity	Clearwater Investment Trust – Clearwater Select Equity	148,752 Ordinary Fully Paid Shares

**5. Consideration**

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Number of securities (all Ordinary Shares)	Consideration (Cash) \$
Wasatch Advisors, Inc.	2022-02-23	12,992	108,056
Wasatch Advisors, Inc.	2022-02-24	28,105	229,626
Wasatch Advisors, Inc.	2022-02-28	16,852	135,604

**6. Associates**

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
WA Holdings	100% holder of Wasatch Advisors, Inc.

**7. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
Wasatch Advisors, Inc.	505 Wakara Way, 3rd Floor, Salt Lake City, UT 84108, U.S.A.
WA Holdings	505 Wakara Way, 3rd Floor, Salt Lake City, UT 84108, U.S.A.
BUSS (Queensland) Pty Ltd	Ground Floor, BUSSQ House 299 Coronation Drive Milton, QLD 4064 Australia
AUSCOAL Superannuation TTEE Mine Wealth & Wellbeing Superannuation Fund	60 Carrington Street Level 7 Sydney, NSW 2000 Australia
Clearwater Investment Trust – Clearwater Select Equity	30 East Seventh Street Suite 2000 Saint Paul, MN 55101
Teachers' Retirement of the City of New York Variable A Annuity Program	55 Water Street, 16th Floor New York, NY 10041

**Signature**

Print Name Dan Thurber

capacity VP, Chief Compliance Officer

Sign Here



date 3 March 2022

## DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
  - (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
  - (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
  - (4) The voting shares of a company constitute one class unless divided into separate classes.
  - (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
  - (6) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
  - (7) Include details of:
    - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
    - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
  - (8) If the substantial holder is unable to determine the identity of the person ( eg. if the relevant interest arises because of an option) write "unknown".
  - (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
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