11 March 2022



ASX Compliance Pty Ltd 20 Bridge Street Sydney NSW 2000

By email: ListingsComplianceSydney@asx.com.au

Dear Sir/Madam

RE: AnteoTech Ltd (ASX: ADO): Price – Query Price and Volume Reference: ODIN48735

Please find below responses to the questions raised in the price query referred to above.

- 1. Does ADO consider that the information disclosed within the Presentation explains the recent trading in ADO's securities? If the answer to this question is "yes", please explain the basis for this view and why the Presentation was not marked price sensitive.
 - Information disclosed within the presentation may explain the recent trading in ADO securities. However, the presentation was an update on progress of previously announced activities and, as such, was not viewed as price sensitive.
- 2. Was anything material discussed at the Webinar that was not disclosed within the Presentation? If so, please provide the details.
 - ADO does not believe anything material was discussed in the Webinar that was not commented on in previous announcements and updates. However, to ensure full market knowledge and disclosure, ADO will lodge an announcement with a link to the Webinar on the ASX platform.
- 3. Is ADO aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?
 - ADO is not aware of any other information.
- 4. If the answer to question 3 is "yes".
 - Is ADO relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in ADO's securities would suggest to ASX that such information may have ceased to be confidential and therefore ADO may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
 - Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
 - If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?



- 5. If the answer to question 3 is "no, is there any other explanation that ADO may have for the recent trading in its securities?
 - As responded to above, ADO will lodge an announcement with a link to the Webinar on the ASX platform to enable complete disclosure to the market. ADO views there is general market uncertainty causing a dislocation in equity markets with increased volatility and as a result heightened perception of risk.
- 6. *P*lease confirm that ADO is complying with the Listing Rules and, in particular, Listing Rule 3.1.
 - ADO confirms it is in compliance with the Listing Rules.
- 7. Please confirm that ADO's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of ADO with delegated authority from the board to respond to ASX on disclosure matters.
 - ADO confirms this response has been approved by the Board of AnteoTech Ltd.

By Order of the Board AnteoTech Ltd

Tim Pritchard Company Secretary



10 March 2022

Reference: ODIN48735

Mr Tim Pritchard Company Secretary Anteotech Limited Unit 4, 26 Brandl Street Eight Mile Plains QLD 4113

By email: tim.pritchard@anteotech.com

Dear Mr Pritchard

Anteotech Limited ('ADO'): Price – Query Price and Volume

ASX refers to the following:

- A. The change in the price of ADO's securities from an open of \$0.17 to a low of \$0.097 today at the time of writing.
- B. The significant increase in the volume of ADO's securities traded today.
- C. The investor webinar held by ADO today ('Webinar').
- D. The presentation titled "InvestorStream Interview Presentation" lodged by ADO as a market announcement today ('Presentation').

Request for information

In light of this, ASX asks ADO to respond separately to each of the following questions and requests for information:

- 1. Does ADO consider that the information disclosed within the Presentation explains the recent trading in ADO's securities? If the answer to this question is "yes", please explain the basis for this view and why the Presentation was not marked price sensitive.
- 2. Was anything material discussed at the Webinar that was not disclosed within the Presentation? If so, please provide the details.
- 3. Is ADO aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?
- 4. If the answer to question 3 is "yes".
 - (a) Is ADO relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in ADO's securities would suggest to ASX that such information may have ceased to be confidential and therefore ADO may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
 - (b) Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
 - (c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?

- 5. If the answer to question 3 is "no, is there any other explanation that ADO may have for the recent trading in its securities?
- 6. Please confirm that ADO is complying with the Listing Rules and, in particular, Listing Rule 3.1.
- 7. Please confirm that ADO's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of ADO with delegated authority from the board to respond to ASX on disclosure matters.

When and where to send your response

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **9.00 AM AEDT Friday, 11 March 2022**. You should note that if the information requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it does not fall within the exceptions mentioned in Listing Rule 3.1A, ADO's obligation is to disclose the information 'immediately'. This may require the information to be disclosed before the deadline set out in the previous paragraph and may require ADO to request a trading halt immediately.

Your response should be sent to me by e-mail at **ListingsComplianceSydney@asx.com.au**. It should not be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

Trading halt

If you are unable to respond to this letter by the time specified above, or if the answer to question 1 is "yes" and an announcement cannot be made immediately, you should discuss with us whether it is appropriate to request a trading halt in ADO's securities under Listing Rule 17.1. If you wish a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted. You can find further information about trading halts in Guidance Note 16 *Trading Halts & Voluntary Suspensions*.

Suspension

If you are unable to respond to this letter by the time specified above, ASX will likely suspend trading in ADO's securities under Listing Rule 17.3.

Listing Rules 3.1 and 3.1A

In responding to this letter, you should have regard to ADO's obligations under Listing Rules 3.1 and 3.1A and also to Guidance Note 8 *Continuous Disclosure*: Listing Rules 3.1 - 3.1B. It should be noted that ADO's obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

Release of correspondence between ASX and entity

We reserve the right to release a copy of this letter, your reply and any other related correspondence between us to the market under Listing Rule 18.7A.

Questions

If you have any questions in relation to the above, please do not hesitate to contact me.

Kind regards

Alice Montefiore-King Adviser, Listings Compliance (Sydney)