## Pendal Group Limited

Level 14, The Chifley Tower 2 Chifley Square Sydney NSW 2000 Australia

ABN 28 126 385 822



Company Announcements Platform Australian Securities Exchange Limited 20 Bridge Street SYDNEY NSW 2000

26 April 2022

## Re: Notice of Initial Substantial Holder

In accordance with section 671B of the Corporations Act 2001 (Cth), we attach a Notice of Initial Substantial Holder (Form 603) in respect of Universal Store Holdings Ltd (**UNI**).

Yours faithfully

Joanne Hawkins

**Group Company Secretary** 

## **Form 603**

Corporations Act 2001 Section 671B

# Notice of initial substantial holder

To Company Name/Scheme	UNIVERSAL STORE HOLDINGS LTD (UNI)	
ACN/ARSN	ACN 628 836 484	
1. Details of substantial holder(1)		
Name _	PENDAL GROUP LIMITED (PDL)	
ACN/ARSN (if applicable)	ACN 126 385 822	
TOWAROW (II applicable)	ACN 120 303 022	
The holder became a substantial holder on	21/04/2022	

#### 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
Ordinary Shares	3,981,885	3,981,885	
Total	3,981,885	3,981,885	5.440%

## 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Number of securities	Class of securities
Pendal Fund Services	Pendal Fund Services Limited	2,910,020	Ordinary
Limited *	is the responsible entity of		-
	various managed investment		
	schemes and, in that capacity,		
	can exercise the power to vote		
	or dispose of the shares.		
Pendal Institutional	Pendal Institutional Limited is	1,071,865	Ordinary
Limited	the Investment Manager of		
	various managed investment		
	schemes and, in that capacity,		
	can exercise the power to vote		
	or dispose of the shares.		

<sup>\*</sup>Pendal Fund Services Limited has appointed Pendal Institutional Limited to act as the investment manager of the trusts of which it is responsible entity, giving Pendal Institutional Limited the capacity to exercise the power to vote or dispose of the shares. Pendal Institutional Limited therefore also has a relevant interest in shares in which Pendal Fund Services Limited has a relevant interest.

## Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Holder of relevant interest Registered holder of securities		Class and number of securities	
Pendal Fund Services Limited	HSBC Custody Nominees (Australia) Limited. State Street Australia Limited	See Annexure 'A'	2,910,020 Ordinary	
Pendal Institutional Limited	HSBC Custody Nominees (Australia) Limited.  National Nominees Limited.  State Street Australia Limited	See Annexure 'A'	1,071,865 Ordinary	

#### 4. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant	Date of acquisition	Consideratio	Class and number of	
interest		Cash	Non-cash	securities

## 5. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association

#### 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Pendal Group Limited (PDL)	Level 14, Chifley Tower, 2 Chifley Square, Sydney NSW 2000
Pendal Fund Services Limited	Level 14, Chifley Tower, 2 Chifley Square, Sydney NSW 2000
Pendal Institutional Limited	Level 14, Chifley Tower, 2 Chifley Square, Sydney NSW 2000
UNIVERSAL STORE HOLDINGS LTD (UNI)	The Company Secretary, Unit 6, 2 Jennifer Street, Nundah, QLD 4012 Australia

## Signature

Print name	Joanne Hawkins	Capacity	Company	Secretary	
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	Inotfan	~			
Sign here		2	Date	26/04/2022	

#### DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (e.g. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members, is clearly set out in paragraph 7 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
- (6) The person's votes divided by the total votes in the body corporate scheme multiplied by 100.
- (7) Include details of:
  - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and with a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.

(8) If the substantial holder is unable to determine the identity of the person (e.g. if the relevant interest arises because of an option) write "unknown".

(	Details of the consideration must include any and all benefits, money and other, that any person from whom a releva acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.	t is conditional or its associate
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## ANNEXURE A

This is annexure 'A' referred to in Form 603, Notice of initial substantial holder, lodged for Pendal Group Limited ACN 126 385 822.

 $Joanne\ Hawkins-Company\ Secretary,\ Pendal\ Group\ Limited\ 26/04/2022$ 

## TRANSACTION HISTORY

Sales & Purchases for the period: 22/01/2022 to 21/04/2022

SECURITY: UNI

## **Pendal Fund Services Limited**

Date	Registered Company	Nature of Change	Quantity	Consideration	Class of Securities	Person's votes affected
8/03/2022	HSBC Custody Nominees (Australia) Limited.	Sell	-30,386	-160,477.58	Ordinary	30,386
9/03/2022	HSBC Custody Nominees (Australia) Limited.	Sell	-37,787	-199,760.98	Ordinary	37,787
10/03/2022	HSBC Custody Nominees (Australia) Limited.	Sell	-8,872	-50,111.72	Ordinary	8,872
21/04/2022	HSBC Custody Nominees (Australia) Limited.	Buy	76,687	435,444.12	Ordinary	76,687
21/04/2022	HSBC Custody Nominees (Australia) Limited.	Buy	125,632	713,363.62	Ordinary	125,632
	Total		125,274	738,457.46		
Date	Registered Company	Nature of Change	Quantity	Consideration	Class of Securities	Person's votes affected
28/03/2022	State Street Australia Limited	Buy	4,654	26,713.15	Ordinary	4,654
28/03/2022	State Street Australia Limited	Buy	6,984	40,086.94	Ordinary	6,984
28/03/2022	State Street Australia Limited	Buy	2,171	12,461.16	Ordinary	2,171
28/03/2022	State Street Australia Limited	Buy	1,078	6,187.53	Ordinary	1,078
28/03/2022	State Street Australia Limited	Buy	4,010	23,016.70	Ordinary	4,010
28/03/2022	State Street Australia Limited	Buy	2,254	12,937.57	Ordinary	2,254
28/03/2022	State Street Australia Limited	Buy	4,640	26,632.79	Ordinary	4,640
28/03/2022	State Street Australia Limited	Buy	5,116	29,364.95	Ordinary	5,116
31/03/2022	State Street Australia Limited	Sell	-4,640	-27,376.00	Ordinary	4,640
	Total		26,267	150,024.79		
	Pendal Fund Services Limited		151,541	888,482.25		

# Pendal Institutional Limited

<b>Date</b> 21/04/2022	Registered Company HSBC Custody Nominees (Australia) Limited. Total	Nature of Change Buy	<b>Quantity</b> 39,287 <b>39,287</b>	Consideration 223,079.44 223,079.44	Class of Securities Ordinary	Person's votes affected 39,287
Date	Registered Company	Nature of Change	Quantity	Consideration	Class of Securities	Person's votes affected
16/03/2022	National Australia Bank Limited	Buy	6,292	34,889.77	Ordinary	6,292
21/04/2022	National Australia Bank Limited	Buy	5,227	29,679.95	Ordinary	5,227
	Total		11,519	64,569.72		

Date	Registered Company	Nature of Change	Quantity	Consideration	Class of Securities	Person's votes affected
25/02/2022	State Street Australia Limited	Buy	8,455	53,520.15	Ordinary	8,455
25/02/2022	State Street Australia Limited	Buy	520	3,310.74	Ordinary	520
25/02/2022	State Street Australia Limited	Buy	1780	11,217.38	Ordinary	1780
25/02/2022	State Street Australia Limited	Buy	10,545	66,749.85	Ordinary	10,545
25/02/2022	State Street Australia Limited	Buy	2,220	13,990.22	Ordinary	2,220
25/02/2022	State Street Australia Limited	Buy	649	4,132.05	Ordinary	649
21/04/2022	State Street Australia Limited	Buy	37,764	214,431.54	Ordinary	37,764
21/04/2022	State Street Australia Limited	Buy	47180	267,897.48	Ordinary	47180
	Total		109,113	635,249.41		
	Pendal Institutional Limited		159,919	922,898.57		