



Announcement Summary

Entity name

PENDAL GROUP LIMITED

Announcement Type

New announcement

Date of this announcement

Wednesday October 12, 2022

Details of +securities that have ceased

| ASX +security code | Security description | Number of +securities that have ceased | The +securities have ceased due to | Date of cessation |
|---------------------------|-----------------------------|---|---|--------------------------|
| PDLAL | PERFORMANCE SHARE RIGHTS | 1,400 | Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied | 26/07/2022 |
| PDLAL | PERFORMANCE SHARE RIGHTS | 5,230 | Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied | 17/08/2022 |
| PDLAL | PERFORMANCE SHARE RIGHTS | 21,636 | Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied | 15/08/2022 |
| PDLAL | PERFORMANCE SHARE RIGHTS | 6,279 | Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied | 01/08/2022 |
| PDLAL | PERFORMANCE SHARE RIGHTS | 165,859 | Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied | 22/09/2022 |
| PDLAL | PERFORMANCE SHARE RIGHTS | 6,661 | Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied | 26/09/2022 |
| PDLAL | PERFORMANCE SHARE RIGHTS | 30,975 | | 15/09/2022 |



| | | | | |
|-------|--------------------------|-------|---|------------|
| | | | Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied | |
| PDLAL | PERFORMANCE SHARE RIGHTS | 9,463 | Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied | 16/09/2022 |
| PDLAL | PERFORMANCE SHARE RIGHTS | 2,508 | Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied | 17/06/2022 |
| PDLAL | PERFORMANCE SHARE RIGHTS | 9,132 | Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied | 27/09/2022 |
| PDLAL | PERFORMANCE SHARE RIGHTS | 4,492 | Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied | 30/09/2022 |

Refer to next page for full details of the announcement



Part 1 - Announcement Details

1.1 Name of +Entity

PENDAL GROUP LIMITED

We (the entity named above) provide the following information about our issued capital.

1.2 Registered Number Type

ABN

Registration Number

28126385822

1.3 ASX issuer code

PDL

1.4 The announcement is

New announcement

1.5 Date of this announcement

12/10/2022



Part 2 - Details of +equity securities or +debt securities that have ceased

ASX +Security Code and Description

PDLAL : PERFORMANCE SHARE RIGHTS

Unquoted +equity securities that have ceased

Number of securities that have ceased

1,400

Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Date of cessation

26/7/2022

Is the entity paying any consideration for the cessation?

No

Any other information the entity wishes to notify to ASX about the cessation?

ASX +Security Code and Description

PDLAL : PERFORMANCE SHARE RIGHTS

Unquoted +equity securities that have ceased

Number of securities that have ceased

5,230

Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Date of cessation

17/8/2022

Is the entity paying any consideration for the cessation?

No

Any other information the entity wishes to notify to ASX about the cessation?

ASX +Security Code and Description

PDLAL : PERFORMANCE SHARE RIGHTS

Unquoted +equity securities that have ceased



Number of securities that have ceased

21,636

Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Date of cessation

15/8/2022

Is the entity paying any consideration for the cessation?

No

Any other information the entity wishes to notify to ASX about the cessation?

ASX +Security Code and Description

PDLAL : PERFORMANCE SHARE RIGHTS

Unquoted +equity securities that have ceased

Number of securities that have ceased

6,279

Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Date of cessation

1/8/2022

Is the entity paying any consideration for the cessation?

No

Any other information the entity wishes to notify to ASX about the cessation?

ASX +Security Code and Description

PDLAL : PERFORMANCE SHARE RIGHTS

Unquoted +equity securities that have ceased

Number of securities that have ceased

165,859

Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Date of cessation

22/9/2022

Is the entity paying any consideration for the cessation?

No



Any other information the entity wishes to notify to ASX about the cessation?

ASX +Security Code and Description

PDLAL : PERFORMANCE SHARE RIGHTS

Unquoted +equity securities that have ceased

Number of securities that have ceased

6,661

Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Date of cessation

26/9/2022

Is the entity paying any consideration for the cessation?

No

Any other information the entity wishes to notify to ASX about the cessation?

ASX +Security Code and Description

PDLAL : PERFORMANCE SHARE RIGHTS

Unquoted +equity securities that have ceased

Number of securities that have ceased

30,975

Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Date of cessation

15/9/2022

Is the entity paying any consideration for the cessation?

No

Any other information the entity wishes to notify to ASX about the cessation?

ASX +Security Code and Description

PDLAL : PERFORMANCE SHARE RIGHTS



Unquoted +equity securities that have ceased

Number of securities that have ceased

1,547

Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Date of cessation

16/9/2022

Is the entity paying any consideration for the cessation?

No

Any other information the entity wishes to notify to ASX about the cessation?

ASX +Security Code and Description

PDLAL : PERFORMANCE SHARE RIGHTS

Unquoted +equity securities that have ceased

Number of securities that have ceased

2,508

Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Date of cessation

17/6/2022

Is the entity paying any consideration for the cessation?

No

Any other information the entity wishes to notify to ASX about the cessation?

ASX +Security Code and Description

PDLAL : PERFORMANCE SHARE RIGHTS

Unquoted +equity securities that have ceased

Number of securities that have ceased

7,916

Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Is the entity paying any consideration for the cessation?



Date of cessation

No

16/9/2022

Any other information the entity wishes to notify to ASX about the cessation?

ASX +Security Code and Description

PDLAL : PERFORMANCE SHARE RIGHTS

Unquoted +equity securities that have ceased

Number of securities that have ceased

9,132

Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Date of cessation

27/9/2022

Is the entity paying any consideration for the cessation?

No

Any other information the entity wishes to notify to ASX about the cessation?

ASX +Security Code and Description

PDLAL : PERFORMANCE SHARE RIGHTS

Unquoted +equity securities that have ceased

Number of securities that have ceased

4,492

Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Date of cessation

30/9/2022

Is the entity paying any consideration for the cessation?

No

Any other information the entity wishes to notify to ASX about the cessation?

Part 3 - Issued capital following changes

Following the cessation of the +securities the subject of this notification, the issued capital of the entity will comprise:

3.1 Quoted +equity securities and +debt securities (total number of each +class of +securities quoted on ASX)

| ASX +security code and description | Total number of +securities on issue |
|---|---|
| PDL : ORDINARY FULLY PAID | 383,149,490 |

3.2 Unquoted +equity securities (total number of each +class of +equity securities issued but not quoted on ASX)

| ASX +security code and description | Total number of +securities on issue |
|--|---|
| PDLAK : OPTION EXPIRING VARIOUS DATES EX NIL PRICE | 5,921,856 |
| PDLAL : PERFORMANCE SHARE RIGHTS | 3,159,430 |

Note: the figures stated in the tables above are used to calculate the total market capitalisation of the entity published by ASX from time to time. The table will not include those classes of +securities that have ceased or lapsed in their entirety in ASX records before the announcement date described in Q1.5, even if the entity has advised ASX of a change to that class of +security in Part 2 of this form.