

# Appendix 4G

## Key to Disclosures

### Corporate Governance Council Principles and Recommendations

Name of entity

East 33 Limited

ABN/ARBN

70 636 173 281

Financial year ended:

30 June 2022

Our corporate governance statement<sup>1</sup> for the period above can be found at:<sup>2</sup>

- ☐ These pages of our annual report:
- ☒ This URL on our website: [INVESTORS – east33sydney](#)

The Corporate Governance Statement is accurate and up to date as at 29 October 2022 and has been approved by the board.

The annexure includes a key to where our corporate governance disclosures can be located.<sup>3</sup>

Date: 28 October 2022

Name of authorised officer  
authorising lodgement:

Guy Burnett, Company  
Secretary

<sup>1</sup> "Corporate governance statement" is defined in Listing Rule 19.12 to mean the statement referred to in Listing Rule 4.10.3 which discloses the extent to which an entity has followed the recommendations set by the ASX Corporate Governance Council during a particular reporting period.

Listing Rule 4.10.3 requires an entity that is included in the official list as an ASX Listing to include in its annual report either a corporate governance statement that meets the requirements of that rule or the URL of the page on its website where such a statement is located. The corporate governance statement must disclose the extent to which the entity has followed the recommendations set by the ASX Corporate Governance Council during the reporting period. If the entity has not followed a recommendation for any part of the reporting period, its corporate governance statement must separately identify that recommendation and the period during which it was not followed and state its reasons for not following the recommendation and what (if any) alternative governance practices it adopted in lieu of the recommendation during that period.

Under Listing Rule 4.7.4, if an entity chooses to include its corporate governance statement on its website rather than in its annual report, it must lodge a copy of the corporate governance statement with ASX at the same time as it lodges its annual report with ASX. The corporate governance statement must be current as at the effective date specified in that statement for the purposes of Listing Rule 4.10.3.

Under Listing Rule 4.7.3, an entity must also lodge with ASX a completed Appendix 4G at the same time as it lodges its annual report with ASX. The Appendix 4G serves a dual purpose. It acts as a key designed to assist readers to locate the governance disclosures made by a listed entity under Listing Rule 4.10.3 and under the ASX Corporate Governance Council's recommendations. It also acts as a verification tool for listed entities to confirm that they have met the disclosure requirements of Listing Rule 4.10.3.

The Appendix 4G is not a substitute for, and is not to be confused with, the entity's corporate governance statement. They serve different purposes and an entity must produce each of them separately.

<sup>2</sup> Tick whichever option is correct and then complete the page number(s) of the annual report, or the URL of the web page, where your corporate governance statement can be found. You can, if you wish, delete the option which is not applicable.

<sup>3</sup> Throughout this form, where you are given two or more options to select, you can, if you wish, delete any option which is not applicable and just retain the option that is applicable. If you select an option that includes "OR" at the end of the selection and you delete the other options, you can also, if you wish, delete the "OR" at the end of the selection. See notes 4 and 5 below for further instructions on how to complete this form.

## ANNEXURE – KEY TO CORPORATE GOVERNANCE DISCLOSURES

Corporate Governance Council recommendation		Where a box below is ticked, <sup>4</sup> we have followed the recommendation <u>in full</u> for the <u>whole</u> of the period above. We have disclosed this in our Corporate Governance Statement:	Where a box below is ticked, we have NOT followed the recommendation in full for the whole of the period above. Our reasons for not doing so are: <sup>5</sup>
<b>PRINCIPLE 1 – LAY SOLID FOUNDATIONS FOR MANAGEMENT AND OVERSIGHT</b>			
1.1	A listed entity should have and disclose a board charter setting out: (a) the respective roles and responsibilities of its board and management; and (b) those matters expressly reserved to the board and those delegated to management.	<input checked="" type="checkbox"/> and we have disclosed a copy of our board charter at: ...Corporate website under Investor page	<input type="checkbox"/> set out in our Corporate Governance Statement <u>OR</u> <input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable
1.2	A listed entity should: (a) undertake appropriate checks before appointing a director or senior executive or putting someone forward for election as a director; and (b) provide security holders with all material information in its possession relevant to a decision on whether or not to elect or re-elect a director.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement <u>OR</u> <input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable
1.3	A listed entity should have a written agreement with each director and senior executive setting out the terms of their appointment.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement <u>OR</u> <input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable
1.4	The company secretary of a listed entity should be accountable directly to the board, through the chair, on all matters to do with the proper functioning of the board.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement <u>OR</u> <input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable

<sup>4</sup> Tick the box in this column only if you have followed the relevant recommendation in full for the whole of the period above. Where the recommendation has a disclosure obligation attached, you must insert the location where that disclosure has been made, where indicated by the line with “*insert location*” underneath. If the disclosure in question has been made in your corporate governance statement, you need only insert “our corporate governance statement”. If the disclosure has been made in your annual report, you should insert the page number(s) of your annual report (eg “pages 10-12 of our annual report”). If the disclosure has been made on your website, you should insert the URL of the web page where the disclosure has been made or can be accessed (eg “www.entityname.com.au/corporate governance/charters/”).

<sup>5</sup> If you have followed all of the Council’s recommendations in full for the whole of the period above, you can, if you wish, delete this column from the form and re-format it.

## Key to Disclosures Corporate Governance Council Principles and Recommendations

Corporate Governance Council recommendation	Where a box below is ticked, <sup>4</sup> we have followed the recommendation <u>in full</u> for the <u>whole</u> of the period above. We have disclosed this in our Corporate Governance Statement:	Where a box below is ticked, we have NOT followed the recommendation in full for the whole of the period above. Our reasons for not doing so are: <sup>5</sup>
<p>1.5 A listed entity should:</p> <p>(a) have and disclose a diversity policy;</p> <p>(b) through its board or a committee of the board set measurable objectives for achieving gender diversity in the composition of its board, senior executives and workforce generally; and</p> <p>(c) disclose in relation to each reporting period:</p> <p>(1) the measurable objectives set for that period to achieve gender diversity;</p> <p>(2) the entity's progress towards achieving those objectives; and</p> <p>(3) either:</p> <p>(A) the respective proportions of men and women on the board, in senior executive positions and across the whole workforce (including how the entity has defined "senior executive" for these purposes); or</p> <p>(B) if the entity is a "relevant employer" under the Workplace Gender Equality Act, the entity's most recent "Gender Equality Indicators", as defined in and published under that Act.</p> <p>If the entity was in the S&amp;P / ASX 300 Index at the commencement of the reporting period, the measurable objective for achieving gender diversity in the composition of its board should be to have not less than 30% of its directors of each gender within a specified period.</p>	<p><input checked="" type="checkbox"/> and we have disclosed a copy of our diversity policy at: Corporate website under Investor page .....</p> <p>and we have disclosed the information referred to in paragraph (c) at: not disclosed..... .....</p> <p>and if we were included in the S&amp;P / ASX 300 Index at the commencement of the reporting period our measurable objective for achieving gender diversity in the composition of its board of not less than 30% of its directors of each gender within a specified period.</p>	<p><input checked="" type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b></p> <p><input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable</p>

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Corporate Governance Council recommendation		Where a box below is ticked, <sup>4</sup> we have followed the recommendation <u>in full</u> for the <u>whole</u> of the period above. We have disclosed this in our Corporate Governance Statement:	Where a box below is ticked, we have NOT followed the recommendation in full for the whole of the period above. Our reasons for not doing so are: <sup>5</sup>
1.6	<p>A listed entity should:</p> <p>(a) have and disclose a process for periodically evaluating the performance of the board, its committees and individual directors; and</p> <p>(b) disclose for each reporting period whether a performance evaluation has been undertaken in accordance with that process during or in respect of that period.</p>	<p><input checked="" type="checkbox"/> and we have disclosed the evaluation process referred to in paragraph (a) at: Section 7 of the Board Charter located at Corporate website – Investor page .....</p> <p>and whether a performance evaluation was undertaken for the reporting period in accordance with that process at: ..... [insert location]</p>	<p><input checked="" type="checkbox"/> set out in our Corporate Governance Statement <u>OR</u></p> <p><input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable</p>
1.7	<p>A listed entity should:</p> <p>(a) have and disclose a process for evaluating the performance of its senior executives at least once every reporting period; and</p> <p>(b) disclose for each reporting period whether a performance evaluation has been undertaken in accordance with that process during or in respect of that period.</p>	<p><input type="checkbox"/> and we have disclosed the evaluation process referred to in paragraph (a) at: ..... [insert location]</p> <p>and whether a performance evaluation was undertaken for the reporting period in accordance with that process at: ..... [insert location]</p>	<p><input checked="" type="checkbox"/> set out in our Corporate Governance Statement <u>OR</u></p> <p><input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable</p>

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<b>PRINCIPLE 2 - STRUCTURE THE BOARD TO BE EFFECTIVE AND ADD VALUE</b>			
2.1	<p>The board of a listed entity should:</p> <p>(a) have a nomination committee which:</p> <p>(1) has at least three members, a majority of whom are independent directors; and</p> <p>(2) is chaired by an independent director, and disclose:</p> <p>(3) the charter of the committee;</p> <p>(4) the members of the committee; and</p> <p>(5) as at the end of each reporting period, the number of times the committee met throughout the period and the individual attendances of the members at those meetings; or</p> <p>(b) if it does not have a nomination committee, disclose that fact and the processes it employs to address board succession issues and to ensure that the board has the appropriate balance of skills, knowledge, experience, independence and diversity to enable it to discharge its duties and responsibilities effectively.</p>	<p><input type="checkbox"/></p> <p><i>[If the entity complies with paragraph (a):]</i></p> <p>and we have disclosed a copy of the charter of the committee at:</p> <p>.....</p> <p><i>[insert location]</i></p> <p>and the information referred to in paragraphs (4) and (5) at:</p> <p>.....</p> <p><i>[insert location]</i></p> <p><i>[If the entity complies with paragraph (b):]</i></p> <p>and we have disclosed the fact that we do not have a nomination committee and the processes we employ to address board succession issues and to ensure that the board has the appropriate balance of skills, knowledge, experience, independence and diversity to enable it to discharge its duties and responsibilities effectively at:</p> <p>.....</p> <p><i>[insert location]</i></p>	<p><input checked="" type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b></p> <p><input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable</p>
2.2	A listed entity should have and disclose a board skills matrix setting out the mix of skills that the board currently has or is looking to achieve in its membership.	<p><input type="checkbox"/></p> <p>and we have disclosed our board skills matrix at:</p> <p>.....</p> <p><i>[insert location]</i></p>	<p><input checked="" type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b></p> <p><input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable</p>

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Corporate Governance Council recommendation		Where a box below is ticked, <sup>4</sup> we have followed the recommendation <u>in full</u> for the <u>whole</u> of the period above. We have disclosed this in our Corporate Governance Statement:	Where a box below is ticked, we have NOT followed the recommendation in full for the whole of the period above. Our reasons for not doing so are: <sup>5</sup>
2.3	A listed entity should disclose: (a) the names of the directors considered by the board to be independent directors; (b) if a director has an interest, position, affiliation or relationship of the type described in Box 2.3 but the board is of the opinion that it does not compromise the independence of the director, the nature of the interest, position or relationship in question and an explanation of why the board is of that opinion; and (c) the length of service of each director.	<input checked="" type="checkbox"/> and we have disclosed the names of the directors considered by the board to be independent directors at: the Director Report section of the Full Year Statutory Statements ..... [insert location] and, where applicable, the information referred to in paragraph (b) at: the Director Report section of the Full Year Statutory Statements ..... [insert location] and the length of service of each director at: the Director Report section of the Full Year Statutory Statements ..... [insert location]	<input type="checkbox"/> set out in our Corporate Governance Statement
2.4	A majority of the board of a listed entity should be independent directors.	<input type="checkbox"/>	<input checked="" type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b> <input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable
2.5	The chair of the board of a listed entity should be an independent director and, in particular, should not be the same person as the CEO of the entity.	<input type="checkbox"/>	<input checked="" type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b> <input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable
2.6	A listed entity should have a program for inducting new directors and for periodically reviewing whether there is a need for existing directors to undertake professional development to maintain the skills and knowledge needed to perform their role as directors effectively.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b> <input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable

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<b>PRINCIPLE 3 – INSTIL A CULTURE OF ACTING LAWFULLY, ETHICALLY AND RESPONSIBLY</b>			
3.1	A listed entity should articulate and disclose its values.	<input checked="" type="checkbox"/> and we have disclosed our values at: Corporate website – Company Information..... ..... <i>[insert location]</i>	<input type="checkbox"/> set out in our Corporate Governance Statement
3.2	A listed entity should: (a) have and disclose a code of conduct for its directors, senior executives and employees; and (b) ensure that the board or a committee of the board is informed of any material breaches of that code.	<input checked="" type="checkbox"/> and we have disclosed our code of conduct at: Corporate website – Investor page..... ..... <i>[insert location]</i>	<input type="checkbox"/> set out in our Corporate Governance Statement
3.3	A listed entity should: (a) have and disclose a whistleblower policy; and (b) ensure that the board or a committee of the board is informed of any material incidents reported under that policy.	<input checked="" type="checkbox"/> and we have disclosed our whistleblower policy at: Corporate website – Investor page..... ..... <i>[insert location]</i>	<input type="checkbox"/> set out in our Corporate Governance Statement
3.4	A listed entity should: (a) have and disclose an anti-bribery and corruption policy; and (b) ensure that the board or committee of the board is informed of any material breaches of that policy.	<input checked="" type="checkbox"/> and we have disclosed our anti-bribery and corruption policy at: Corporate website – Investor page..... ..... <i>[insert location]</i>	<input type="checkbox"/> set out in our Corporate Governance Statement

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<b>PRINCIPLE 4 – SAFEGUARD THE INTEGRITY OF CORPORATE REPORTS</b>			
4.1	<p>The board of a listed entity should:</p> <p>(a) have an audit committee which:</p> <p>(1) has at least three members, all of whom are non-executive directors and a majority of whom are independent directors; and</p> <p>(2) is chaired by an independent director, who is not the chair of the board,</p> <p>and disclose:</p> <p>(3) the charter of the committee;</p> <p>(4) the relevant qualifications and experience of the members of the committee; and</p> <p>(5) in relation to each reporting period, the number of times the committee met throughout the period and the individual attendances of the members at those meetings; or</p> <p>(b) if it does not have an audit committee, disclose that fact and the processes it employs that independently verify and safeguard the integrity of its corporate reporting, including the processes for the appointment and removal of the external auditor and the rotation of the audit engagement partner.</p>	<p><input type="checkbox"/></p> <p><i>[If the entity complies with paragraph (a):]</i></p> <p>and we have disclosed a copy of the charter of the committee at:</p> <p>.....</p> <p><i>[insert location]</i></p> <p>and the information referred to in paragraphs (4) and (5) at:</p> <p>.....</p> <p><i>[insert location]</i></p> <p><i>[If the entity complies with paragraph (b):]</i></p> <p>and we have disclosed the fact that we do not have an audit committee and the processes we employ that independently verify and safeguard the integrity of our corporate reporting, including the processes for the appointment and removal of the external auditor and the rotation of the audit engagement partner at:</p> <p>.....</p> <p><i>[insert location]</i></p>	<p><input checked="" type="checkbox"/> set out in our Corporate Governance Statement</p>
4.2	The board of a listed entity should, before it approves the entity's financial statements for a financial period, receive from its CEO and CFO a declaration that, in their opinion, the financial records of the entity have been properly maintained and that the financial statements comply with the appropriate accounting standards and give a true and fair view of the financial position and performance of the entity and that the opinion has been formed on the basis of a sound system of risk management and internal control which is operating effectively.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement
4.3	A listed entity should disclose its process to verify the integrity of any periodic corporate report it releases to the market that is not audited or reviewed by an external auditor.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement



## Key to Disclosures Corporate Governance Council Principles and Recommendations

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<b>PRINCIPLE 5 – MAKE TIMELY AND BALANCED DISCLOSURE</b>			
5.1	A listed entity should have and disclose a written policy for complying with its continuous disclosure obligations under listing rule 3.1.	<input checked="" type="checkbox"/> and we have disclosed our continuous disclosure compliance policy at: Corporate website – Investor page ..... <i>[insert location]</i>	<input type="checkbox"/> set out in our Corporate Governance Statement
5.2	A listed entity should ensure that its board receives copies of all material market announcements promptly after they have been made.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement
5.3	A listed entity that gives a new and substantive investor or analyst presentation should release a copy of the presentation materials on the ASX Market Announcements Platform ahead of the presentation.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement
<b>PRINCIPLE 6 – RESPECT THE RIGHTS OF SECURITY HOLDERS</b>			
6.1	A listed entity should provide information about itself and its governance to investors via its website.	<input checked="" type="checkbox"/> and we have disclosed information about us and our governance on our website at: Corporate website – Investor page ..... <i>[insert location]</i>	<input type="checkbox"/> set out in our Corporate Governance Statement
6.2	A listed entity should have an investor relations program that facilitates effective two-way communication with investors.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement
6.3	A listed entity should disclose how it facilitates and encourages participation at meetings of security holders.	<input checked="" type="checkbox"/> and we have disclosed how we facilitate and encourage participation at meetings of security holders at: Any Notice of meetings distributed to shareholders..... ..... <i>[insert location]</i>	<input type="checkbox"/> set out in our Corporate Governance Statement

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6.4	A listed entity should ensure that all substantive resolutions at a meeting of security holders are decided by a poll rather than by a show of hands.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement
6.5	A listed entity should give security holders the option to receive communications from, and send communications to, the entity and its security registry electronically.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement
<b>PRINCIPLE 7 – RECOGNISE AND MANAGE RISK</b>			
7.1	<p>The board of a listed entity should:</p> <p>(a) have a committee or committees to oversee risk, each of which:</p> <p>(1) has at least three members, a majority of whom are independent directors; and</p> <p>(2) is chaired by an independent director, and disclose:</p> <p>(3) the charter of the committee;</p> <p>(4) the members of the committee; and</p> <p>(5) as at the end of each reporting period, the number of times the committee met throughout the period and the individual attendances of the members at those meetings; or</p> <p>(b) if it does not have a risk committee or committees that satisfy (a) above, disclose that fact and the processes it employs for overseeing the entity's risk management framework.</p>	<p><input type="checkbox"/></p> <p><i>[If the entity complies with paragraph (a):]</i></p> <p>and we have disclosed a copy of the charter of the committee at:</p> <p>.....</p> <p><i>[insert location]</i></p> <p>and the information referred to in paragraphs (4) and (5) at:</p> <p>.....</p> <p><i>[insert location]</i></p> <p><i>[If the entity complies with paragraph (b):]</i></p> <p>and we have disclosed the fact that we do not have a risk committee or committees that satisfy (a) and the processes we employ for overseeing our risk management framework at:</p> <p>.....</p> <p><i>[insert location]</i></p>	<input checked="" type="checkbox"/> set out in our Corporate Governance Statement
7.2	<p>The board or a committee of the board should:</p> <p>(a) review the entity's risk management framework at least annually to satisfy itself that it continues to be sound and that the entity is operating with due regard to the risk appetite set by the board; and</p> <p>(b) disclose, in relation to each reporting period, whether such a review has taken place.</p>	<p><input type="checkbox"/></p> <p>and we have disclosed whether a review of the entity's risk management framework was undertaken during the reporting period at:</p> <p>.....</p> <p><i>[insert location]</i></p>	<input checked="" type="checkbox"/> set out in our Corporate Governance Statement

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7.3	A listed entity should disclose: (a) if it has an internal audit function, how the function is structured and what role it performs; or (b) if it does not have an internal audit function, that fact and the processes it employs for evaluating and continually improving the effectiveness of its governance, risk management and internal control processes.	<input checked="" type="checkbox"/> <p><i>[If the entity complies with paragraph (a):]</i> and we have disclosed how our internal audit function is structured and what role it performs at: N/A..... ..... <i>[insert location]</i></p> <p><i>[If the entity complies with paragraph (b):]</i> and we have disclosed the fact that we do not have an internal audit function and the processes we employ for evaluating and continually improving the effectiveness of our risk management and internal control processes at: Section 7.3 of the Corporate Governance Statement ..... <i>[insert location]</i></p>	<input type="checkbox"/> set out in our Corporate Governance Statement
7.4	A listed entity should disclose whether it has any material exposure to environmental or social risks and, if it does, how it manages or intends to manage those risks.	<input checked="" type="checkbox"/> <p>and we have disclosed whether we have any material exposure to environmental and social risks at: Annual financial statements -Director's report..... ..... <i>[insert location]</i></p> <p>and, if we do, how we manage or intend to manage those risks at: ..... <i>[insert location]</i></p>	<input type="checkbox"/> set out in our Corporate Governance Statement

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<b>PRINCIPLE 8 – REMUNERATE FAIRLY AND RESPONSIBLY</b>			
8.1	<p>The board of a listed entity should:</p> <p>(a) have a remuneration committee which:</p> <p>(1) has at least three members, a majority of whom are independent directors; and</p> <p>(2) is chaired by an independent director, and disclose:</p> <p>(3) the charter of the committee;</p> <p>(4) the members of the committee; and</p> <p>(5) as at the end of each reporting period, the number of times the committee met throughout the period and the individual attendances of the members at those meetings; or</p> <p>(b) if it does not have a remuneration committee, disclose that fact and the processes it employs for setting the level and composition of remuneration for directors and senior executives and ensuring that such remuneration is appropriate and not excessive.</p>	<p><input type="checkbox"/></p> <p><i>[If the entity complies with paragraph (a):]</i></p> <p>and we have disclosed a copy of the charter of the committee at:</p> <p>.....</p> <p><i>[insert location]</i></p> <p>and the information referred to in paragraphs (4) and (5) at:</p> <p>.....</p> <p><i>[insert location]</i></p> <p><i>[If the entity complies with paragraph (b):]</i></p> <p>and we have disclosed the fact that we do not have a remuneration committee and the processes we employ for setting the level and composition of remuneration for directors and senior executives and ensuring that such remuneration is appropriate and not excessive:</p> <p>.....</p> <p><i>[insert location]</i></p>	<p><input checked="" type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b></p> <p><input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable</p>
8.2	A listed entity should separately disclose its policies and practices regarding the remuneration of non-executive directors and the remuneration of executive directors and other senior executives.	<p><input checked="" type="checkbox"/></p> <p>and we have disclosed separately our remuneration policies and practices regarding the remuneration of non-executive directors and the remuneration of executive directors and other senior executives at:</p> <p>the remuneration report section of the Director Report of the Full Year Statutory Statements</p> <p>.....</p> <p><i>[insert location]</i></p>	<p><input type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b></p> <p><input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable</p>
8.3	<p>A listed entity which has an equity-based remuneration scheme should:</p> <p>(a) have a policy on whether participants are permitted to enter into transactions (whether through the use of derivatives or otherwise) which limit the economic risk of participating in the scheme; and</p> <p>(b) disclose that policy or a summary of it.</p>	<p><input checked="" type="checkbox"/></p> <p>and we have disclosed our policy on this issue or a summary of it at:</p> <p>.....</p> <p><i>[insert location]</i></p>	<p><input type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b></p> <p><input type="checkbox"/> we do not have an equity-based remuneration scheme and this recommendation is therefore not applicable <b>OR</b></p> <p><input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable</p>

## Key to Disclosures Corporate Governance Council Principles and Recommendations

Corporate Governance Council recommendation		Where a box below is ticked, <sup>4</sup> we have followed the recommendation <u>in full</u> for the <u>whole</u> of the period above. We have disclosed this in our Corporate Governance Statement:	Where a box below is ticked, we have NOT followed the recommendation in full for the whole of the period above. Our reasons for not doing so are: <sup>5</sup>
<b>ADDITIONAL RECOMMENDATIONS THAT APPLY ONLY IN CERTAIN CASES</b>			
9.1	A listed entity with a director who does not speak the language in which board or security holder meetings are held or key corporate documents are written should disclose the processes it has in place to ensure the director understands and can contribute to the discussions at those meetings and understands and can discharge their obligations in relation to those documents.	<input checked="" type="checkbox"/> and we have disclosed information about the processes in place at: The Board Charter in Corporate website – Investor page ..... [insert location]	<input type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b> <input type="checkbox"/> we do not have a director in this position and this recommendation is therefore not applicable <b>OR</b> <input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable
9.2	A listed entity established outside Australia should ensure that meetings of security holders are held at a reasonable place and time.	<input type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b> <input checked="" type="checkbox"/> we are established in Australia and this recommendation is therefore not applicable <b>OR</b> <input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable
9.3	A listed entity established outside Australia, and an externally managed listed entity that has an AGM, should ensure that its external auditor attends its AGM and is available to answer questions from security holders relevant to the audit.	<input type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b> <input checked="" type="checkbox"/> we are established in Australia and not an externally managed listed entity and this recommendation is therefore not applicable <input type="checkbox"/> we are an externally managed entity that does not hold an AGM and this recommendation is therefore not applicable
<b>ADDITIONAL DISCLOSURES APPLICABLE TO EXTERNALLY MANAGED LISTED ENTITIES</b>			
-	<i>Alternative to Recommendation 1.1 for externally managed listed entities:</i> The responsible entity of an externally managed listed entity should disclose: (a) the arrangements between the responsible entity and the listed entity for managing the affairs of the listed entity; and (b) the role and responsibility of the board of the responsible entity for overseeing those arrangements.	<input type="checkbox"/> and we have disclosed the information referred to in paragraphs (a) and (b) at: ..... [insert location]	<input type="checkbox"/> set out in our Corporate Governance Statement

## Key to Disclosures Corporate Governance Council Principles and Recommendations

Corporate Governance Council recommendation		Where a box below is ticked, <sup>4</sup> we have followed the recommendation <u>in full</u> for the <u>whole</u> of the period above. We have disclosed this in our Corporate Governance Statement:	Where a box below is ticked, we have NOT followed the recommendation in full for the whole of the period above. Our reasons for not doing so are: <sup>5</sup>
-	<p><i>Alternative to Recommendations 8.1, 8.2 and 8.3 for externally managed listed entities:</i></p> <p>An externally managed listed entity should clearly disclose the terms governing the remuneration of the manager.</p>	<p><input type="checkbox"/></p> <p>and we have disclosed the terms governing our remuneration as manager of the entity at:</p> <p>.....</p> <p><i>[insert location]</i></p>	<p><input type="checkbox"/> set out in our Corporate Governance Statement</p>

ASX Recommendations	Compliance (Yes/No)	Compliance by East 33
<b>Principle 1 – Lay solid foundations for management and oversight</b> A listed entity should clearly delineate the respective roles and responsibilities of its board and management and regularly review their performance		
<b>Recommendation 1.1</b> A listed entity should have and disclose a board charter setting out: <ul style="list-style-type: none"> <li>the respective roles and responsibilities of its board and management; and</li> <li>those matters expressly reserved to the board and those delegated to management.</li> </ul>	Yes	East 33 has adopted a formal charter ( <b>Board Charter</b> ) clearly setting out the respective roles and responsibilities of the Board, the Chairman and Company Secretary. Responsibilities reserved to the Board include: <ul style="list-style-type: none"> <li>demonstrating leadership and setting the strategic objectives of East 33;</li> <li>approving the Company's Code of Conduct and the Statement of Values;</li> <li>appointing the chairperson;</li> <li>appointing and when necessary replacing the CEO;</li> <li>approving the appointment and when necessary replacement of other senior executives of East 33;</li> <li>overseeing management's implementation of East 33's strategic objectives and its performance generally;</li> <li>through the chairperson, overseeing the role of the Company Secretary;</li> <li>approving operating budgets and major capital expenditure;</li> <li>overseeing the integrity of East 33's accounting and corporate reporting systems, including the external Audit;</li> <li>overseeing East 33's process for making timely and balanced disclosure of all material information concerning it that a reasonable person would expect to have a material effect on the price or value of East 33's securities;</li> <li>satisfying itself that East 33 has in place an appropriate risk management framework and setting the risk appetite within which the Board expects management to operate;</li> <li>satisfying itself that an appropriate framework exists for relevant information to be reported by management to the board;</li> <li>challenging management and holding it to account;</li> <li>regularly reviewing its skills matrix to ensure that it covers the skills needed to address existing and emerging business and governance issues relevant to East 33;</li> <li>responding to notices of bribery or corruption;</li> <li>detecting and preventing bribery or corruption within East 33;</li> <li>satisfying itself that East 33's remuneration policies are aligned with East 33's purpose, values, strategic objectives and risk appetite; and</li> <li>monitoring the effectiveness of East 33's governance practices.</li> </ul> A copy of the Board Charter is available on East 33's website.
<b>Recommendation 1.2</b> A listed entity should: <ul style="list-style-type: none"> <li>undertake appropriate checks before appointing a person, or putting forward to security holders a</li> </ul>	Yes	The Board undertakes appropriate checks relating to each individual's character, experience, education, criminal record, and bankruptcy history before appointing or nominating Board candidates. All information relevant to a decision to elect or re-elect a Director will be provided to Shareholders in any notice of meeting pursuant to which a resolution to elect or re-elect a Director will be voted upon.

ASX Recommendations	Compliance (Yes/No)	Compliance by East 33
<p>candidate for election as a director; and</p> <ul style="list-style-type: none"> <li>provide security holders with all material information in its possession relevant to a decision on whether or not to elect or re-elect a director.</li> </ul>		<p>In addition, East 33 has established a Nomination and Remuneration Committee to identify and make recommendations to the Board for the appointment of new Board candidates, having regard to their skills, experience and expertise and the results of appropriate checks.</p>
<p><b>Recommendation 1.3</b></p> <p>A listed entity should have a written agreement with each director and senior executive setting out the terms of their appointment.</p>	Yes	<p>The Company's Board Charter requires that the terms and conditions of appointment of a Director be confirmed in a formal letter of appointment or a service contract.</p> <p>Specifically:</p> <ul style="list-style-type: none"> <li>the non-executive Directors have each executed a letter of appointment setting out the terms and conditions of their appointment; and</li> <li>the executive Directors and senior executives of East 33 have entered into service contracts, setting out the terms and conditions of their employment.</li> </ul>
<p><b>Recommendation 1.4</b></p> <p>The company secretary of a listed entity should be accountable directly to the board, through the chair, on all matters to do with the proper functioning of the board.</p>	Yes	<p>The Company Secretary is accountable directly to the Board, through the chairperson, on all matters to do with the proper functioning of the Board.</p> <p>East 33's Board Charter sets out the Company Secretary's responsibilities.</p> <p>Under the Board Charter, the Company Secretary is responsible for:</p> <ul style="list-style-type: none"> <li>leading the Board in reviewing and discussing Board matters;</li> <li>chairing Board meetings and shareholder meetings, including, approving the agenda for Board meetings and ensuring that adequate time is available for discussion of all agenda items (including strategic issues);</li> <li>ensuring the efficient organisation and conduct of the Board's function;</li> <li>briefing all directors in relation to issues arising at Board meetings;</li> <li>facilitating effective contribution by all directors and monitoring Board performance;</li> <li>overseeing that membership of the Board is skilled and appropriate for the Company's needs;</li> <li>promoting constructive and respectful relations between Board members and between the Board and management;</li> <li>ensuring that independent directors meet separately on a regular basis to consider, among other things, senior executive performance;</li> <li>overseeing the role of the company secretary, including, reviewing corporate governance matters with the company secretary and reporting on those matters to the Board; and</li> <li>establishing and overseeing the implementation of policies and systems for Board performance review and renewal.</li> </ul>
<p><b>Recommendation 1.5</b></p> <p>A listed entity should:</p> <ul style="list-style-type: none"> <li>have and disclose a diversity policy;</li> <li>through its board or a committee of the board set measurable objectives for achieving gender diversity</li> </ul>	Partial	<p>East 33 has a diversity policy (<b>Diversity Policy</b>) in place which promotes diversity and inclusiveness regardless of employees' experiences, perspectives, professional skills, gender, gender identity, age, sexual orientation, marital or family status, disabilities, ethnicity, religious beliefs, cultural and socioeconomic backgrounds.</p> <p>The Board considers that the Company is currently too small and new to incorporate specific gender diversity targets into its hiring process. However, East 33 values, recognises and respects diversity in all respects and our workforce is made up of individuals with</p>



ASX Recommendations	Compliance (Yes/No)	Compliance by East 33
<p>in the composition of its board, senior executives and workforce generally; and</p> <ul style="list-style-type: none"> <li>disclose in relation to each reporting period: <ul style="list-style-type: none"> <li>(i) the measurable objectives set for that period to achieve gender diversity;</li> <li>(ii) the entity's progress towards achieving those objectives; and</li> <li>(iii) either: <ul style="list-style-type: none"> <li>(A) the respective proportions of men and women on the board, in senior executive positions and across the whole organisation (including how the entity has defined "senior executive" for these purposes); or</li> <li>(B) if the entity is a "relevant employer" under the Workplace Gender Equality Act, the entity's most recent "Gender Equality Indicators", as defined in and published under that Act.</li> </ul> </li> </ul> </li> </ul> <p>If the entity was in the S&amp;P/ASX 300 Index at the commencement of the reporting period, the measurable objective for achieving gender diversity in the composition of its board should be to have not less than 30% of its directors of each gender within a specified period.</p>		<p>diverse skills, backgrounds, perspectives and experiences. The Board will continue to monitor East 33's growth and needs for specific gender diversity targets periodically.</p> <p>The Diversity Policy entrusts the Board with the responsibility for designing and overseeing the implementation of the Diversity Policy.</p> <p>Under the Diversity Policy, the Board is:</p> <ul style="list-style-type: none"> <li>required to develop initiatives that will promote and achieve diversity goals;</li> <li>responsible for reviewing this diversity policy and will assess the status of diversity within East 33 and the effectiveness of this policy in achieving the measurable objectives which have been set to achieve diversity;</li> <li>responsible for assessing the need for measurable gender diversity targets periodically, and if required, setting those targets; and</li> <li>responsible for assessing the effectiveness of East 33's gender diversity objectives each year.</li> </ul> <p>A copy of the Diversity Policy is available on East 33's website.</p>
<p><b>Recommendation 1.6</b></p> <p>A listed entity should:</p> <ul style="list-style-type: none"> <li>have and disclose a process for periodically evaluating the performance of the board, its committees and individual directors; and</li> <li>disclose, in relation to each reporting period, whether a performance evaluation was undertaken in accordance with that process during or in respect of that period.</li> </ul>	<p>Partial</p>	<p>Under the Board Charter, each Director's performance is assessed when standing for re-election. Before each annual general meeting, the Chairman of the Board assesses the performance of any Director standing for re-election and the Board will determine their recommendation to Shareholders on the re-election of the Director (in the absence of the Director involved). The Board (excluding the Chairman) will conduct the review of the Chairman.</p> <p><i>This requirement was undertaken before the Director up for re-election was nominated.</i></p> <p>In addition, the Nomination and Remuneration Committee (People, performance and Remuneration Committee) is responsible for the development and implementation of a process for evaluating the performance and professional development needs of the Board.</p> <p><i>This activity is yet to be undertaken.</i></p>

ASX Recommendations	Compliance (Yes/No)	Compliance by East 33
<b>Recommendation 1.7</b> A listed entity should: <ul style="list-style-type: none"> <li>have and disclose a process for evaluating the performance of its senior executives at least once every reporting period; and</li> <li>disclose for each reporting period whether a performance evaluation was undertaken in accordance with that process during or in respect of that period.</li> </ul>	Partial	<p>The people, performance and remuneration committee charter sets out the framework for evaluating senior executive performance.</p> <p><i>This activity is yet to be undertaken. The Company experienced significant operating and financial hardship in the 2<sup>nd</sup> half of the FY 22 and is now rebuilding the Board with non-executive Directors, which is expected to be in place post the 2022 Annual General Meeting.</i></p>
<b>Principle 2 – Structure the board to be effective and add value</b> <i>The board of a listed entity should be of an appropriate size and collectively have the skills, commitment and knowledge of the entity and the industry in which it operates, to enable it to discharge its duties effectively and to add value.</i>		
<b>Recommendation 2.1</b> The board of a listed entity should: <ul style="list-style-type: none"> <li>have a nomination committee which: <ul style="list-style-type: none"> <li>(i) has at least three members, a majority of whom are independent directors; and</li> <li>(ii) is chaired by an independent director, and disclose: <ul style="list-style-type: none"> <li>(iii) the charter of the committee;</li> <li>(iv) the members of the committee; and</li> <li>(v) as at the end of each reporting period, the number of times the committee met throughout the period and the individual attendances of the members at those meetings; or</li> </ul> </li> </ul> </li> <li>if it does not have a nomination committee, disclose that fact and the processes it employs to address board succession issues and to ensure that the board has the appropriate balance of skills, knowledge, experience, independence and diversity to enable it to discharge its duties and responsibilities effectively.</li> </ul>	Partial	<p>During the FY 22 the Board had appointed a dedicated Nomination and Remuneration Committee, which had the authority and power to exercise the roles and responsibilities granted to it under a nomination and remuneration committee charter (<b>People, Performance and Remuneration Committee Charter</b>), and any other resolutions of the Board from time to time.</p> <p>The committee was comprised of three Directors all of whom were non- executive Directors with the majority being independent.</p> <p>The chairperson was one of the independent, non-executive Directors.</p> <p>The Board is currently filling the vacant positions within the Nomination and Remuneration Committee.</p>
<b>Recommendation 2.2</b> A listed entity should have and disclose a board skills matrix	Yes	<p>After the expected addition of Non-Executive Directors to the Board, the Board will prepare a board skills matrix (<b>Matrix</b>) for adoption and public disclosure.</p>

ASX Recommendations	Compliance (Yes/No)	Compliance by East 33
setting out the mix of skills and diversity that the board currently has or is looking to achieve in its membership.		
<b>Recommendation 2.3</b> A listed entity should disclose: <ul style="list-style-type: none"> <li>the names of the directors considered by the board to be independent directors;</li> <li>if a director has an interest, position, association or relationship of the type described in Box 2.3 of the ASX CG Principles but the board is of the opinion that it does not compromise the independence of the director, the nature of the interest, position or relationship in question and an explanation of why the board is of that opinion; and</li> <li>the length of service of each director.</li> </ul>	Yes	All Directors' interests, position, association, relationships and length of service have been disclosed in the FY 22 Annual Financial Statements.
<b>Recommendation 2.4</b> A majority of the board of a listed entity should be independent directors.	No	<p>Given East 33's age and the significant operating and financial hardship in the 2<sup>nd</sup> half of the FY 22, the Board has been unable to be comprise a majority of independent directors.</p> <p>The Board is cognisant of the value of having a Board with a majority of independent directors and will strive to achieve this in the future as East 33 grows.</p> <p>Following director's resignations during the FY 22, East 33 has currently 3 non-independent Directors and no independent Directors. This is as a result of the Company experiencing significant operating and financial hardship in the 2<sup>nd</sup> half of the FY 22. The Board plans to rebuild the Board and add non-executive Directors, which is expected to be in place post the 2022 Annual General Meeting.</p>
<b>Recommendation 2.5</b> The chair of the board of a listed entity should be an independent director and, in particular, should not be the same person as the Chief Executive Officer of the entity.	No	<p>The Chairman of the Board is James Garton who is a non-independent Executive Director. The Board anticipates that as East 33 grows, it will appoint an independent non-executive Chairman. This will be considered at each Board Meeting. There is no Chief Executive Officer.</p>
<b>Recommendation 2.6</b> A listed entity should have a program for inducing new directors and for periodically reviewing whether there is a need for existing directors to undertake professional development to maintain the skills and knowledge needed to perform their role as directors effectively.	Yes	<p>Under the Board Charter, the Directors are expected to participate in induction or orientation programs on appointment, and any continuing education or training arranged for them.</p> <p>The Company Secretary is responsible for facilitating the induction and professional development of Directors.</p>

**Principle 3 – Instill a culture of acting lawfully, ethically and responsibly**

*A listed entity should instill and continually reinforce a culture across the organisation of acting lawfully, ethically and responsibly.*

ASX Recommendations	Compliance (Yes/No)	Compliance by East 33
<p><b>Recommendation 3.1</b> A listed entity should articulate and disclose its values.</p>	Yes	<p>East 33 bases its decision making on the following values:</p> <ul style="list-style-type: none"> <li>(a) <i>Integrity</i> – we act honestly and with integrity in all our dealings, both internally and externally. We commit to only dealing with business partners who demonstrate similar ethical and responsible business practices.</li> <li>(b) <i>Environment</i> – we are committed to acting responsibly towards the environment.</li> <li>(c) <i>Respect</i> – we respect all people, their ideas and cultures and our words and actions must reflect this respect.</li> <li>(d) <i>Safety</i> – we are committed to providing and maintaining a safe and non-discriminatory working environment to safeguard the health and safety of our employees, consultants, contractors, customers, suppliers and other persons who visit our workplace, or who we work with, as required by law.</li> <li>(e) <i>Shareholder Interests</i> – Dealing fairly, without prejudice and in the best interests of shareholders whilst having regard to other stakeholders.</li> <li>(f) <i>Community Standards</i> – we act in a manner consistent with reasonable expectations of our investors and the broader community that maintains confidence in our operations as a business.</li> </ul> <p>East 33's Statement of Values is contained in its code of conduct (<b>Code of Conduct</b>). The Code of Conduct is available on East 33's website.</p>
<p><b>Recommendation 3.2</b> A listed entity should:</p> <ul style="list-style-type: none"> <li>• have and disclose a code of conduct for its directors, senior executives and employees; and</li> <li>• ensure that the board or a committee of the board is informed of any material breaches of that code.</li> </ul>	Yes	<p>The Board has adopted a code of conduct (<b>Code of Conduct</b>) which sets out the values, commitments, ethical standards and policies of East 33 and outlines the standards of conduct expected of East 33's business and people, taking into account East 33's legal and other obligations to its stakeholders.</p> <p>The Code of Conduct applies to all Directors, as well as all officers, employees, contractors, consultants, other persons that act on behalf of East 33 and associates of East 33.</p> <p>The Code of Conduct covers the following:</p> <ul style="list-style-type: none"> <li>• East 33's core values;</li> <li>• conflicts of interest;</li> <li>• opportunities, benefits and ownership of work;</li> <li>• anti-bribery and gifts;</li> <li>• dealings with politicians and government officials;</li> <li>• confidentiality;</li> <li>• privacy;</li> <li>• fair dealing;</li> <li>• discrimination, bullying, harassment and vilification;</li> <li>• health and safety;</li> <li>• protection and use of East 33's assets and property;</li> <li>• compliance with laws;</li> <li>• responsibility to Shareholders and other stakeholders;</li> <li>• related party transactions;</li> <li>• insider trading; and</li> <li>• whistleblower protection.</li> </ul>

		The Code of Conduct is available on East 33's website.
<b>Recommendation 3.3</b> A listed entity should: <ul style="list-style-type: none"><li>• have and disclose a whistleblower policy; and ensure that the board or a committee of the board is informed of any material incidents reported under that policy.</li></ul>	Yes	<p>East 33 has adopted a Whistleblower Policy. One of the objectives of this policy is to encourage employees to disclose any malpractice, misconduct or conflicts of interest of which they become aware.</p> <p>The policy deals with (among other things):</p> <ul style="list-style-type: none"><li>• how employees can make reports about disclosable matters anonymously and/or confidentially, securely and outside of business hours;</li><li>• the procedures following disclosure by an employee;</li><li>• how investigations will be conducted by East 33;</li><li>• reporting of the outcome of the investigation; and</li><li>• communications to whistleblowers.</li></ul> <p>The Whistleblower Policy is available on East 33's website.</p>

ASX Recommendations	Compliance (Yes/No)	Compliance by East 33
<b>Recommendation 3.4</b> A listed entity should: <ul style="list-style-type: none"> <li>have and disclose an anti-bribery and corruption policy; and</li> <li>ensure that the board or a committee of the board is informed of any material breaches of that policy.</li> </ul>	Yes	<p>East 33 has adopted an anti-bribery and corruption policy (<b>Anti-Bribery and Corruption Policy</b>).</p> <p>This policy outlines East 33's stance in relation to bribes, corruption and other improper payments or benefits received or given by the Company and its personnel.</p> <p>The policy provides a framework under which gifts or benefits valued at AUD\$300 or more are either to be rejected by the recipient or recorded in East 33's gift and entertainment register that is maintained by the Chief Financial Officer.</p> <p>The Anti-Bribery and Corruption Policy is available on East 33's website.</p>
<b>Principle 4 – Safeguard integrity in corporate reports</b> <i>A listed entity should have appropriate processes to verify the integrity of its corporate reports.</i>		
<b>Recommendation 4.1</b> The Board of a listed entity should: <ul style="list-style-type: none"> <li>have an Audit committee which: <ul style="list-style-type: none"> <li>(i) has at least three members, all of whom are non-executive directors and a majority of whom are independent directors; and</li> <li>(ii) is chaired by an independent director, who is not the chair of the board,</li> </ul> and disclose: <ul style="list-style-type: none"> <li>(iii) the charter of the committee;</li> <li>(iv) the relevant qualifications and experience of the members of the committee; and</li> <li>(v) in relation to each reporting period, the number of times the committee met throughout the period and the individual attendances of the members at those meetings; or</li> </ul> </li> <li>if it does not have an Audit committee, disclose that fact and the processes it</li> </ul>	Partial	<p>During the FY 22, the Board had established an Audit and Risk Committee. This committee was responsible for, amongst other things, appointing East 33's external Auditors and overseeing the integrity of East 33's financial reporting systems and financial statements.</p> <p>The committee was comprised of three Directors all of whom were non- executive Directors with the majority being independent.</p> <p>The Board is currently recruiting the vacant positions left by independent directors' resignation.</p> <p>East 33 has also adopted an Audit and Risk Committee Charter, which governs the responsibilities and powers of the Audit and Risk Committee and is available on East 33's website.</p> <p>East 33 has disclosed in the Annual Financial Statements, the number of times the Audit and Risk Committee has met, and the attendance at those meetings.</p>

ASX Recommendations	Compliance (Yes/No)	Compliance by East 33
employs that independently verify and safeguard the integrity of its corporate reporting, including the processes for the appointment and removal of the external Auditor and the rotation of the Audit engagement partner.		
<b>Recommendation 4.2</b> The board of a listed entity should, before it approves the entity's financial statements for a financial period, receive from its CEO and CFO a declaration that, in their opinion, the financial records of the entity have been properly maintained and that the financial statements comply with the appropriate accounting standards and give a true and fair view of the financial position and performance of the entity and that the opinion has been formed on the basis of a sound system of risk management and internal control which is operating effectively.	Yes	<p>The Board has implemented a process to receive written assurances from its Chief Executive Officer and Chief Financial Officer that the declarations that will be provided under section 295A of the Corporations Act are founded on a system of risk management and internal control and that the system is operating in all material respects in relation to financial reporting risks.</p> <p>The Board seeks these assurances prior to approving the annual financial statements for all half year and full year results that follow.</p>
<b>Recommendation 4.3</b> A listed entity should disclose its process to verify the integrity of any periodic corporate report it releases to the market that is not Audited or reviewed by an external Auditor.	Yes	<p>In addition to reviewing regulatory filings and decisions as they relate to East 33's financial statements, the Audit and Risk Committee reviews any reports that are to be released to the market that are not Audited or reviewed by an external Auditor. In doing so, the Audit and Risk Committee also discloses its process for verifying the integrity of any such report.</p>
<b>Principle 5 – Make timely and balanced disclosure</b> <i>A listed entity should make timely and balanced disclosure of all matters concerning it that a reasonable person would expect to have a material effect on the price or value of its securities.</i>		
<b>Recommendation 5.1</b> A listed entity should have and disclose a written policy for complying with its continuous disclosure obligations under listing rule 3.1.	Yes	<p>Consistent with the Board's commitment to improving its disclosure policy, the Board has adopted a Disclosure and Communication Policy, which sets out East 33's commitment to the objective of promoting investor confidence and the rights of Shareholders by:</p> <ul style="list-style-type: none"> <li>• complying with the continuous disclosure obligations imposed by law;</li> <li>• ensuring that company announcements are presented in a factual, clear and balanced way;</li> <li>• ensuring that all Shareholders have equal and timely access to material information concerning East 33; and</li> <li>• communicating effectively with Shareholders and making it easy for them to participate in general meetings.</li> </ul> <p>The Disclosure and Communication Policy is available on East 33's website.</p>
<b>Recommendation 5.2</b> A listed entity should ensure that its board receives copies of all material market	Yes	<p>East 33 has adopted a Disclosure and Communication Policy which specifically requires that all material market announcements be provided to the Board promptly after release to the market.</p> <p>The Disclosure and Communication Policy is available on East 33's website.</p>



ASX Recommendations	Compliance (Yes/No)	Compliance by East 33
announcements promptly after they have been made.		
<b>Recommendation 5.3</b> A listed entity that gives a new and substantive investor or analyst presentation should release a copy of the presentation materials on the ASX Market Announcements Platform ahead of the presentation.	Yes	East 33 has adopted a Disclosure and Communication Policy which specifically requires that any new and substantive investor or analyst presentations be released on the ASX Market Announcement Platform prior to the relevant presentation.  The Disclosure and Communication Policy is available on East 33's website.
<b>Principle 6 – Respect the rights of security holders</b> <i>A listed entity should provide its security holders with appropriate information and facilities to allow them to exercise their rights as security holders effectively</i>		
<b>Recommendation 6.1</b> A listed entity should provide information about itself and its governance to investors via its website.	Yes	East 33 recognises the rights of its Shareholders and other interested stakeholders to have easy access to balanced, understandable and timely information concerning the operations of East 33.  Information concerning East 33 and its governance practices is available on its website.  Additionally, East 33 will strive to communicate with Shareholders and other stakeholders in a regular manner as outlined in Principle 5 of this statement.
<b>Recommendation 6.2</b> A listed entity should have an investor relations program that facilitates effective two-way communication with investors.	Yes	As mentioned above under Recommendation 5.1, the Board has adopted a Disclosure and Communication Policy, which supports its commitment to effective two-way communication with its Shareholders. In addition, East 33 intends to communicate with its Shareholders: <ul style="list-style-type: none"> <li>• by making timely market announcements;</li> <li>• by posting relevant information on its website;</li> <li>• by inviting Shareholders to make direct inquiries to East 33; and</li> <li>• through the use of general meetings.</li> </ul>
<b>Recommendation 6.3</b> A listed entity should disclose how it facilitates and encourages participation at meetings of security holders.	Yes	The Board encourages participation of Shareholders at the Annual General Meeting or any other shareholder meetings to ensure a high level of accountability and identification with East 33's strategy and goals.
<b>Recommendation 6.4</b> A listed entity should ensure that all substantive resolutions at a meeting of security holders are decided by a poll rather than by a show of hands.	Yes	East 33's Constitution provides that a poll must be demanded by the Chairperson where a poll is required to comply with the requirements of the Listing Rules.  Further, a poll may also be demanded by the chairperson, by five Shareholders entitled to vote on the resolution or by Shareholders with at least 5% of the votes that may be cast on the resolution on a poll.  East 33 will ensure that all substantive resolutions at a meeting of security holders are decided by a poll rather than by a show of hands.
<b>Recommendation 6.5</b> A listed entity should give security holders the option to receive communications from, and send communications to, the entity and its security	Yes	East 33's Shareholders may elect to receive information from East 33 and its registry electronically. Otherwise, East 33 and its registry will communicate by post with Shareholders who have not elected to receive information electronically.



ASX Recommendations	Compliance (Yes/No)	Compliance by East 33
registry electronically.		
<b>Principle 7 – Recognise and manage risk</b> <i>A listed entity should establish a sound risk management framework and periodically review the effectiveness of that framework.</i>		
<b>Recommendation 7.1</b> The board of a listed entity should: <ul style="list-style-type: none"> <li>have a committee or committees to oversee risk, each of which: <ul style="list-style-type: none"> <li>(i) has at least three members, a majority of whom are independent directors; and</li> <li>(ii) is chaired by an independent director; and disclose: <ul style="list-style-type: none"> <li>(i) the charter of the committee;</li> <li>(ii) the members of the committee; and</li> <li>(iii) as at the end of each reporting period, the number of times the committee met throughout the period and the individual attendances of the members at those meetings; or</li> </ul> </li> </ul> </li> <li>if it does not have a risk committee or committees that satisfy (a) above, disclose that fact and the processes it employs for overseeing the entity's risk management framework.</li> </ul>	Partial	<p>The Board has adopted a formal Audit and Risk Committee to, amongst other things, ensuring East 33 has an effective risk management system in place and to manage key risk areas.</p> <p>The Board is currently recruiting the vacant positions left by independent directors' resignation.</p> <p>During the FY 22 the members of the Audit and Risk Committee were:</p> <ul style="list-style-type: none"> <li>Chairperson Georgina Williams;</li> <li>Member Kara Hurry Walker; and</li> <li>Member Philip Corne,</li> <li>Member Geoffrey Erby</li> </ul> <p>all of whom were non-executive Directors and the majority of whom were independent. The chairperson was an independent, non- executive Director.</p> <p>The relevant qualifications and experience of the members of the Audit and Risk Committee are disclosed on East 33's website but will not be disclosed in the Audit and Risk Committee Charter.</p> <p>East 33 intends to disclose, at the relevant time, the number of times the committee has met, and the attendance at those meetings, at the end of each reporting period.</p> <p>The Audit and Risk Committee Charter is available on East 33's website.</p>
<b>Recommendation 7.2</b> The board or a committee of the board should: <ul style="list-style-type: none"> <li>review the entity's risk management framework at least annually to satisfy itself that it continues to be sound and that the entity is operating with due regard to the risk appetite set by the board; and</li> <li>disclose, in relation to each reporting period, whether such a review has taken place.</li> </ul>	Partial	<p>Under the Board Charter, the Board will satisfy itself that East 33 has in place an appropriate risk management framework and will set the risk appetite within which the Board expects management to operate.</p> <p>Further, it is intended that the Audit and Risk Committee will, among other things, regularly review and update the risk profile and ensure that East 33 has an effective risk management system.</p> <p>As part of this process, the Board will review, at least annually, East 33's risk management framework in order to satisfy itself that it continues to be sound.</p> <p><i>This activity is yet to be undertaken in accordance with the framework established by the Board Charter.</i></p>
<b>Recommendation 7.3</b> A listed entity should disclose: <ul style="list-style-type: none"> <li>if it has an internal Audit function, how the function is structured and what role</li> </ul>	Yes	<p>Due to the current size and scope of the East 33 Group's operations, the Board does not deem it necessary to have an internal audit function and relies on external Auditors to undertake this function in compliance with ASX requirements and relevant law. The Audit and Risk Committee is responsible for ensuring that East 33 has appropriate internal audit systems and controls in place.</p>

ASX Recommendations	Compliance (Yes/No)	Compliance by East 33
<p>it performs; or</p> <ul style="list-style-type: none"> <li>if it does not have an internal Audit function, that fact and the processes it employs for evaluating and continually improving the effectiveness of its governance, risk management and internal control processes.</li> </ul>		<p>In addition, the Audit and Risk Committee is responsible for maintaining a risk profile which describes the material risks facing East 33, regularly reviewing and updating this risk profile, and assessing and ensuring that there are internal controls in place for determining and managing key risk areas.</p>
<p><b>Recommendation 7.4</b></p> <p>A listed entity should disclose whether it has any material exposure to environmental or social risks and, if it does, how it manages or intends to manage those risks.</p>	Yes	<p>East 33 has disclosed all material risks facing East 33 and how it intends to manage those risks in Section 5 of the Prospectus, including exposure to economic, environmental and social sustainability risks. East 33 will continue to disclose these material risks in the future in its annual report or elsewhere as appropriate.</p>
<p><b>Principle 8 – Remunerate fairly and responsibly</b></p> <p><i>A listed entity should pay director remuneration sufficient to attract and retain high quality directors and design its executive remuneration to attract, retain and motivate high quality senior executives and to align their interests with the creation of value for security holders and with the entity's values and risk appetite.</i></p>		
<p><b>Recommendation 8.1</b></p> <p>The board of a listed entity should:</p> <ul style="list-style-type: none"> <li>have a remuneration committee which: <ul style="list-style-type: none"> <li>(i) has at least three members, a majority of whom are independent directors; and</li> <li>(ii) is chaired by an independent director;</li> </ul> and disclose: <ul style="list-style-type: none"> <li>(i) the charter of the committee;</li> <li>(ii) the members of the committee; and</li> <li>(iii) as at the end of each reporting period, the number of times the committee met throughout the period and the individual attendances of the members at those meetings; or</li> </ul> </li> <li>if it does not have a remuneration committee, disclose that fact and the processes it employs for setting the level and composition of remuneration for directors and senior executives and ensuring that such remuneration is appropriate and not excessive.</li> </ul>	Partial	<p>East 33 had established a Nomination and Remuneration Committee (People, Performance and Remuneration Committee). The committee was responsible for developing, reviewing and making recommendations to the Board on:</p> <ul style="list-style-type: none"> <li>the remuneration framework for Directors, including the process by which any pool of Directors' fees approved by security holders is allocated to Directors;</li> <li>the remuneration packages to be awarded to senior executives;</li> <li>equity-based remuneration plans for senior executives and other employees; and</li> <li>superannuation arrangements for Directors, senior executives and other employees.</li> </ul> <p>Prior to their resignation, the members of the Nomination and Remuneration Committee were:</p> <ul style="list-style-type: none"> <li>Chairperson Georgina Williams;</li> <li>Member Geoffrey Erby; and</li> <li>Member Philip Corne,</li> </ul> <p>all of whom were non-executive Directors and the majority of whom were independent. The Nomination and Remuneration Committee is chaired by an independent director.</p> <p>East 33 intends to disclose, at the relevant time, the number of times the committee has met, and the attendance at those meetings, at the end of each reporting period.</p> <p>The Nomination and Remuneration Committee Charter (People, Performance and Remuneration Committee) is available on East 33's website.</p>
<p><b>Recommendation 8.2</b></p> <p>A listed entity should</p>	Yes	<p>Each Director and senior executive has entered into a separate agreement with East 33. The remuneration of Directors and senior</p>

ASX Recommendations	Compliance (Yes/No)	Compliance by East 33
separately disclose its policies and practices regarding the remuneration of non-executive directors and the remuneration of executive directors and other senior executives.		executives is reviewed annually. As noted above, a Nomination and Remuneration Committee (People, Performance and Remuneration Committee) is in place, and this committee is responsible for reviewing remuneration and establishing a process for remuneration reviews and amending that process as it sees fit.
<b>Recommendation 8.3</b> A listed entity which has an equity-based remuneration scheme should: <ul style="list-style-type: none"> <li>have a policy on whether participants are permitted to enter into transactions (whether through the use of derivatives or otherwise) which limit the economic risk of participating in the scheme; and</li> <li>disclose that policy or a summary of it.</li> </ul>	Yes	East 33 has adopted a trading policy ( <b>Trading Policy</b> ), designed to minimise the potential for insider trading.  The Trading Policy explains when options or rights under an employee incentive scheme can be exercised and also outlines East 33's restrictions around margin lending, short-term or speculative trading in East 33 and hedging.  The Trading Policy is available on East 33's website.
<b>Principle 9 – Recommendations that apply only in certain cases</b>		
<b>Recommendation 9.1</b> A listed entity with a director who does not speak the language in which board or security holder meetings are held or key corporate documents are written should disclose the processes it has in place to ensure the director understands and can contribute to the discussions at those meetings and understands and can discharge their obligations in relation to those documents.	Yes	The Company currently has one Director that does not speak English, Mr Raymond Yu. As set out in the Company's Board Charter, for as long as the Company has a non-English speaking director/s on the Board, the Company will translate all key corporate documents for the benefit of that Director. Additionally, a translator will be present for all Board and Shareholder meetings, when Mr Yu is in attendance.
<b>Recommendation 9.2</b> A listed entity established outside of Australia should ensure that meetings of security holders are held at a reasonable place and time.	No	Not applicable.
<b>Recommendation 9.3</b> A listed entity established outside of Australia, and an externally managed listed entity that has an AGM, should ensure that its external Auditor attends its AGM and is available to answer questions from security holders relevant to the Audit.	No	Not applicable.