



**PETRATHERM LIMITED**

ACN 106 806 884

**ASX: PTR**

[www.petratherm.com.au](http://www.petratherm.com.au)

[admin@petratherm.com.au](mailto:admin@petratherm.com.au)

6 December 2022

**Yulia Gurdina**

Senior Compliance Adviser

Listings Compliance (Sydney)

ASX

20 Bridge Street

Sydney NSW 2000

Dear Yulia,

Re: Petratherm Limited (PTR): Appendix 3Y – Change of Directors Interest Notice Query

I refer to your email dated 29 November 2022, regarding the Appendix 3Y lodged for Petratherm Director Simon O'Loughlin on 24 November 2022. An Appendix 3Y is attached to account for the discrepancy of 7,500 shares between the closing balance of the Appendix 3Y dated 15 February 2021 and the Appendix 3Y dated 24 November 2022. On behalf of Petratherm, I advise the following in response to your specific queries:

- a) Clearly state that the missing Appendix 3Y should have been lodged between 15 February 2021 and 24 November 2022.**

The attached Appendix 3Y should have been lodged before 10 June 2021.

- b) Please explain why the Appendix 3Y was lodged late.**

The attached Appendix 3Y was lodged late due to an inadvertent administrative oversight, when an off-market transfer of 7,500 shares took place between a family member of Mr O'Loughlin's to his Superannuation Fund.

- c) What arrangements does PTR have in place under Listing Rule 3.19B with its directors to ensure that it is able to meet its disclosure obligations under Listing Rule 3.19A?**

Agreements into which Petratherm has entered with its Directors require Directors to adhere to Petratherm's Continuous Disclosure Policy in relation to such items as share trading. They require Directors to notify the Company Secretary prior to trading in Petratherm shares in accordance with the company's Securities Trading Policy. Petratherm has also made arrangements with its share registry to be notified of changes in certain holdings, including Director's holdings.

Level 1, 169 Fullarton Road

Dulwich SA 5065

T: +61 8 8133 5000



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**d. If the current arrangements are inadequate or not being enforced, what additional steps does PTR intend to take to ensure compliance with Listing Rule 3.19B?**

Petratherm considers that its arrangements are adequate and enforced. Petratherm considers this to be an isolated and inadvertent administrative oversight due to the out of the ordinary nature of the transfer of shares.

Yours sincerely,

Katelyn Adams  
Company Secretary

*This ASX announcement has been approved by Petratherm's Company Secretary Katelyn Adams.*

***For further information, please contact;***

Katelyn Adams, Company Secretary, Tel: (08) 8133 5000

Level 1, 169 Fullarton Road

Dulwich SA 5065

T: +61 8 8133 5000

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	<b>Petratherm Limited</b>
<b>ABN</b>	<b>17 106 806 884</b>

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Simon O'Loughlin
<b>Date of last notice</b>	15 February 2021

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct and Indirect
<b>Nature of indirect interest (including registered holder)</b> <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	Indirect i) Yoix Pty Ltd <ST O'Loughlin Family A/C> ii) Mr Simon Thomas O'Loughlin & Mr Stephen Peter White <Simon O'Loughlin Super A/C> iii) D-Tech Investors Pty Ltd
<b>Date of change</b>	3 June 2021
<b>No. of securities held prior to change</b>	<u>Direct</u> 225,063 Fully Paid Ordinary Shares <u>Indirect</u> i) 1,959,400 Fully Paid Ordinary Shares ii) 864,643 Fully Paid Ordinary Shares iii) 500,000 Fully Paid Ordinary Shares
<b>Class</b>	Fully Paid Ordinary Shares

+ See chapter 19 for defined terms.

## Appendix 3Y

### Change of Director's Interest Notice

<b>Number acquired</b>	<u>Indirect ii)</u> 7,500
<b>Number disposed</b>	N/A
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and estimated valuation</small>	\$375 (\$0.05 per share, close price of ASX on 3 June 2021)
<b>No. of securities held after change</b>	<u>Direct</u> 225,063 Fully Paid Ordinary Shares <u>Indirect</u> i) 1,959,400 Fully Paid Ordinary Shares ii) 872,143 Fully Paid Ordinary Shares iii) 500,000 Fully Paid Ordinary Shares
<b>Nature of change</b> <small>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</small>	Off-market trade

## Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> <small>Note: Details are only required for a contract in relation to which the interest has changed</small>	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and an estimated valuation</small>	N/A

+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

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Interest after change	N/A
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**Part 3 – <sup>+</sup>Closed period**

Were the interests in the securities or contracts detailed above traded during a <sup>+</sup> closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/A
If prior written clearance was provided, on what date was this provided?	N/A

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<sup>+</sup> See chapter 19 for defined terms.