

Announcement Summary

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**Entity name**

ALEXIUM INTERNATIONAL GROUP LIMITED

**Date of this announcement**

Friday March 31, 2023

**The +securities the subject of this notification are:**☒ +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX**Total number of +securities to be issued/transferred**

ASX +security code	Security description	Total number of +securities to be issued/transferred	Issue date
New class - code to be confirmed	Share Appreciation Rights (FY22 Plan)	15,312,486	31/03/2023
New class - code to be confirmed	Share Appreciation Rights (FY23 Plan)	23,040,030	31/03/2023
New class - code to be confirmed	Share Appreciation Rights (CEO Joining Award)	16,131,415	31/03/2023

Refer to next page for full details of the announcement



## Part 1 - Entity and announcement details

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### 1.1 Name of entity

ALEXIUM INTERNATIONAL GROUP LIMITED

We (the entity named above) give notice of the issue, conversion or payment up of the following unquoted +securities.

### 1.2 Registered number type

ACN

### Registration number

064820408

### 1.3 ASX issuer code

AJX

### 1.4 The announcement is

☒ New announcement

### 1.5 Date of this announcement

31/3/2023



## Part 2 - Issue details

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### **2.1 The +securities the subject of this notification are:**

☒ +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

### **2.2a This notification is given in relation to an issue of +securities in a class which is not quoted on ASX and which:**

☒ does not have an existing ASX security code ("new class")



Part 3C - number and type of +securities the subject of this notification (new class) where issue has not previously been notified to ASX in an Appendix 3B

**ASX +security code**

New class - code to be confirmed

**+Security description**

Share Appreciation Rights (FY22 Plan)

**+Security type**

Other

**ISIN code****Date the +securities the subject of this notification were issued**

31/3/2023

**Will all the +securities issued in this class rank equally in all respects from their issue date?**☒ Yes**Were any of the +securities issued to +key management personnel (KMP) or an +associate?**☒ Yes**Provide details of the KMP or +associates being issued +securities.**

Name of KMP	Name of registered holder	Number of +securities
Dr Robert Brookins	Robert Brookins	6,871,445
Jason Lewis	Jason Lewis	5,780,740

**Please provide a URL link for a document lodged with ASX setting out the material terms of the +securities being issued.**

Refer to Resolution 4 and Schedule 1 of the 2022 AGM Notice of Meeting.

URL: <https://www.asx.com.au/asxpdf/20221014/pdf/45g9nl6t78rpl7.pdf>**Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms**A copy of the Plan is available on the Company website at [https://www.alexiuminternational.com/wp-content/uploads/2021/10/Alexium\\_SAR\\_Plan\\_2021.pdf](https://www.alexiuminternational.com/wp-content/uploads/2021/10/Alexium_SAR_Plan_2021.pdf)**Any other information the entity wishes to provide about the +securities the subject of this notification**

Issue of 6,871,445 Share Appreciation Rights (SAR) to Dr Bob Brookins, a Director of the Company, 5,780,740 SARs to the Company's CFO and 6,647,173 SARs to other eligible employees of the Company.



## Issue details

## Number of +securities

15,312,486

## ASX +security code

New class - code to be confirmed

## +Security description

Share Appreciation Rights (FY23 Plan)

## +Security type

Other

## ISIN code

## Date the +securities the subject of this notification were issued

31/3/2023

## Will all the +securities issued in this class rank equally in all respects from their issue date?

☒ Yes

## Were any of the +securities issued to +key management personnel (KMP) or an +associate?

☒ Yes

## Provide details of the KMP or +associates being issued +securities.

Name of KMP	Name of registered holder	Number of +securities
Dr Robert Brookins	Robert Brookins	6,505,703
William Timothy Blackburn	William Timothy Blackburn	6,712,233
Jason Lewis	Jason Lewis	5,473,052

## Please provide a URL link for a document lodged with ASX setting out the material terms of the +securities being issued.

Refer to Resolutions 5, 6 and Schedule 1 of the 2022 AGM Notice of Meeting.

URL: <https://www.asx.com.au/asxpdf/20221014/pdf/45g9nl6t78rpl7.pdf>

## Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms

A copy of the Plan is available on the Company website at [https://www.alexiuminternational.com/wp-content/uploads/2021/10/Alexium\\_SAR\\_Plan\\_2021.pdf](https://www.alexiuminternational.com/wp-content/uploads/2021/10/Alexium_SAR_Plan_2021.pdf)

**Any other information the entity wishes to provide about the +securities the subject of this notification**

Issue of 13,217,936 Share Appreciation Rights (SAR) to Directors of the Company as per Resolutions 5 and 6 of the 2022 AGM Notice of Meeting and issue of 5,473,052 SARs to CFO and 4,349,042 SARs other eligible employees of the Company.

## Issue details

**Number of +securities**

23,040,030

**ASX +security code**

New class - code to be confirmed

**+Security description**

Share Appreciation Rights (CEO Joining Award)

**+Security type**

Other

**ISIN code****Date the +securities the subject of this notification were issued**

31/3/2023

**Will all the +securities issued in this class rank equally in all respects from their issue date?**☒ Yes**Were any of the +securities issued to +key management personnel (KMP) or an +associate?**☒ Yes**Provide details of the KMP or +associates being issued +securities.****Name of KMP****Name of registered holder****Number of +securities**

William Timothy Blackburn

William Timothy Blackburn

16,131,415

**Please provide a URL link for a document lodged with ASX setting out the material terms of the +securities being issued.**

Refer to Resolution 7 Schedule 1 of the 2022 AGM Notice of Meeting.

URL: <https://www.asx.com.au/asxpdf/20221014/pdf/45g9nl6t78rpl7.pdf>



**Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms**

A copy of the Plan is available on the Company website at [https://www.alexiuminternational.com/wp-content/uploads/2021/10/Alexium\\_SAR\\_Plan\\_2021.pdf](https://www.alexiuminternational.com/wp-content/uploads/2021/10/Alexium_SAR_Plan_2021.pdf)

**Any other information the entity wishes to provide about the +securities the subject of this notification**

Issue of 16,131,415 Share Appreciation Rights (SAR) to Mr Blackburn as a one-off grant as part of Mr Blackburn's initial terms for becoming the CEO of the Company (CEO joining award).

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#### Issue details

**Number of +securities**

16,131,415

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Part 4 - +Securities on issue

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Following the issue, conversion or payment up of the +securities the subject of this application, the +securities of the entity will comprise:  
(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)

**4.1 Quoted +Securities (Total number of each +class of +securities quoted)**

ASX +security code and description	Total number of +securities on issue
AJX : ORDINARY FULLY PAID	645,527,072

**4.2 Unquoted +Securities (Total number of each +class of +securities issued but not quoted on ASX)**

ASX +security code and description	Total number of +securities on issue
AJXAE : PERFORMANCE RIGHTS	15,584
AJXAA : WARRANTS	3,829,788
New class - code to be confirmed : Share Appreciation Rights (FY22 Plan)	15,312,486
New class - code to be confirmed : Share Appreciation Rights (FY23 Plan)	23,040,030
New class - code to be confirmed : Share Appreciation Rights (CEO Joining Award)	16,131,415





## Part 5 - Other Listing Rule requirements

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**5.1 Were the +securities issued under an exception in Listing Rule 7.2 and therefore the issue did not need any security holder approval under Listing Rule 7.1?**

☒ Yes

**5.1a Select the number of the applicable exception in Listing Rule 7.2**

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