# **ASX** Release

3 July 2023



## **Late Lodgement of Directors Interest Notices**

FirstWave Cloud Technology Limited (ASX: FCT) ("FirstWave" or the "Company"), attaches two Appendix 3Ys for Change of Directors' Interest Notices for Mr John Grant and Mr Paul MacRae (the "Directors"). The Company notes that these notices were not lodged within the requisite time frame in March 2022 due to an administrative oversight. The Company took immediate steps to complete and lodge these Appendix 3Ys as soon as the Company became aware of the oversight.

In relation to the late lodgement of the attached Appendix 3Ys, the Company advises the following:

- The market was informed of the issue of securities (being Rights) to the Directors, on 7 March 2022, in an Appendix 3G (Notification of Issue, Conversion or Payment up of Unquoted Equity Securities);
- The issue of Rights to the Directors was stated in Part 2, "Nature of interest" of Appendix 3Ys lodged for the Directors on 25 August 2020;
- The issue of these Rights to the Directors was stated in the Company's 2022 Annual Report, lodged on 24 October 2022; and
- The issue of these Rights were approved by the Shareholders at the Company's 2020 Extraordinary General Meeting held on 29 July 2020.

The Company and the directors are aware of the requirements under ASX Listing Rules 3.19A and 3.19B. The administrative oversight has not affected the Company's current arrangement for ensuring timely notification in accordance with the Listing Rules, and the Company is confident that the arrangements it currently has in place are adequate and does not believe that any additional steps are required to ensure ongoing compliance with Listing Rule 3.19B. The Company considers the late lodgement of the Appendix 3Ys to be an isolated incident.

This announcement was authorised for release to the ASX by the Company Secretary of Firstwave.

#### **ENDS**

#### For media inquiries, please contact:

Ben Ready RGC Media & Mktng 0415 743 838 ben@rgcmm.com.au

#### About FirstWave:

FirstWave is a global cybersecurity and network monitoring technology company formed in 2004. FirstWave's globally unique CyberCision™ platform provides best-in-class cybersecurity technologies, enabling FirstWave's Partners, including some of the world's largest telcos and managed service providers (MSPs), to protect their customers from cyber-attack, while rapidly growing cybersecurity services revenues at scale. In January 2022, FirstWave acquired Opmantek Ltd (Opmantek), a leading provider of enterprise-grade network management, automation, and IT audit software, with 150,000 organisations using their software across 178 countries and enterprise clients, including Microsoft, Telmex, Claro, NextLink and NASA. Integrating CyberCision™ with Opmantek's flagship Network Management Information System (NMIS) and Open-AudIT product enables FirstWave to provide a comprehensive end-to-end solution for network discovery, management, and cybersecurity for its Partners globally.



Rule 3.19A.2

# **Appendix 3Y**

# **Change of Director's Interest Notice**

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

Name of entity	FirstWave Cloud Technology Limited
ABN	35 144 733 595

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Paul MacRae
Date of last notice	25 August 2020

#### Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct	
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.		
Date of change	7 March 2022	
No. of securities held prior to change	Paul MacRae 2,040,740 Restricted Rights  Mazoongoo Pty Ltd ATF Mazoongoo Superannuation Fund A/C 3,682,084 fully paid ordinary shares  Push Principles Pty Ltd ATF The Piper Pup Pup Trust 1,200,000 unlisted options exercisable at \$0.25 each and expiring on 12 May 2022	
Class	Restricted rights	

<sup>+</sup> See chapter 19 for defined terms.

Number acquired	484,950	
Number disposed	Nil	
Value/Consideration  Note: If consideration is non-cash, provide details and estimated valuation	Nil	
No. of securities held after change	Paul MacRae 2,525,690 Restricted Rights  Mazoongoo Pty Ltd ATF Mazoongoo Superannuation Fund A/C 3,682,084 fully paid ordinary shares	
	Push Principles Pty Ltd ATF The Piper Pup Pup  Trust  1,200,000 unlisted options exercisable at \$0.25 each and expiring on 12 May 2022	
Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buyback	Issue of Service the Company's Rights Plan as approved by the shareholders at the 2020 Extraordinary General Meeting.	

### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	N/A
Nature of interest	N/A
Name of registered holder (if issued securities)	N/A
Date of change	N/A
No. and class of securities to which interest related prior to change  Note: Details are only required for a contract in relation to which the interest has changed	N/A
Interest acquired	N/A
Interest disposed	N/A
Value/Consideration  Note: If consideration is non-cash, provide details and an estimated valuation	N/A
Interest after change	N/A

## Part 3 - +Closed period

Were the interests in the securities or contracts detailed above	No
traded during a +closed period where prior written clearance was required?	
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/A

If prior written clearance was provided, on what date was this provided?	N/A

Appendix 3Y Page 2 01/01/2011

<sup>+</sup> See chapter 19 for defined terms.

Rule 3.19A.2

# **Appendix 3Y**

# **Change of Director's Interest Notice**

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

Name of entity	FirstWave Cloud Technology Limited
ABN	35 144 733 595

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	John Edwin Grant
Date of last notice	25 August 2020

#### Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	
Date of change	7 March 2022
No. of securities held prior to change	JHG Super Pty Ltd 3,995,400 Fully Paid Ordinary Shares  Direct 4,200,000 Unlisted Options 6,766,638 Service Rights
Class	Service Rights
Number acquired	1,003,345
Number disposed	Nil
Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	Nil

<sup>+</sup> See chapter 19 for defined terms.

No. of securities held after change	JHG Super Pty Ltd 3,995,400 Fully Paid Ordinary Shares	
	<u>Direct</u> 4,200,000 Unlisted Options	
	7,769,983 Service Rights	
Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buyback	Issue of Service the Company's Rights Plan as approved by the shareholders at the 2020 Extraordinary General Meeting.	

### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	N/A
Nature of interest	N/A
Name of registered holder (if issued securities)	N/A
Date of change	N/A
No. and class of securities to which interest related prior to change  Note: Details are only required for a contract in relation to which the interest has changed	N/A
Interest acquired	N/A
Interest disposed	N/A
Value/Consideration  Note: If consideration is non-cash, provide details and an estimated valuation	N/A
Interest after change	N/A

## Part 3 - +Closed period

Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/A
If prior written clearance was provided, on what date was this provided?	N/A

Appendix 3Y Page 2 01/01/2011

<sup>+</sup> See chapter 19 for defined terms.