



Announcement Summary

Entity name

CARDIEX LIMITED

Date of this announcement

Monday July 24, 2023

The +securities the subject of this notification are:

☒ +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

Total number of +securities to be issued/transferred

ASX +security code	Security description	Total number of +securities to be issued/transferred	Issue date
New class - code to be confirmed	ESOP Options exp 30 June 2028 ex \$0.50 subject to various vesting conditions	1,825,000	30/06/2023

Refer to next page for full details of the announcement



Part 1 - Entity and announcement details

1.1 Name of entity

CARDIEX LIMITED

We (the entity named above) give notice of the issue, conversion or payment up of the following unquoted +securities.

1.2 Registered number type

ABN

Registration number

81113252234

1.3 ASX issuer code

CDX

1.4 The announcement is

☒ New announcement

1.5 Date of this announcement

24/7/2023



Part 2 - Issue details

2.1 The +securities the subject of this notification are:

☒ +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

2.2a This notification is given in relation to an issue of +securities in a class which is not quoted on ASX and which:

☒ does not have an existing ASX security code ("new class")



Part 3C - number and type of +securities the subject of this notification (new class) where issue has not previously been notified to ASX in an Appendix 3B

ASX +security code

New class - code to be confirmed

+Security description

ESOP Options exp 30 June 2028 ex \$0.50 subject to various vesting conditions

+Security type

Options

ISIN code**Date the +securities the subject of this notification were issued**

30/6/2023

Will all the +securities issued in this class rank equally in all respects from their issue date?

☒ Yes

Were any of the +securities issued to +key management personnel (KMP) or an +associate?

☒ No

Have you received confirmation from ASX that the terms of the +securities are appropriate and equitable under listing rule 6.1?

☒ No

Please provide a URL link for a document lodged with ASX setting out the material terms of the +securities being issued.

The Terms of the Employee Performance Rights and Share Option Plan are contained at Schedule 1 of: https://cdn-api.markitdigital.com/apiman-gateway/ASX/asx-research/1.0/file/2924-02592077-2A1410403?access_token=83ff96335c2d45a094df02a206a39ff4

Options Details

+Security currency

AUD - Australian Dollar

Exercise price

AUD 0.50000000

Expiry date

30/6/2028

Details of the existing class of +security that will be issued upon exercise or conversion of this new class of company option

Other

Description

On exercise, each option converts into one fully paid ordinary share in the Company.



Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms

The Terms of the Employee Performance Rights and Share Option Plan are contained at Schedule 1 of: https://cdn-api.markitdigital.com/apiman-gateway/ASX/asx-research/1.0/file/2924-02592077-2A1410403?access_token=83ff96335c2d45a094df02a206a39ff4

Any other information the entity wishes to provide about the +securities the subject of this notification

No further information.

Issue details

Number of +securities

1,825,000





Part 4 - +Securities on issue

Following the issue, conversion or payment up of the +securities the subject of this application, the +securities of the entity will comprise:

(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)

4.1 Quoted +Securities (Total number of each +class of +securities quoted)

ASX +security code and description	Total number of +securities on issue
CDX : ORDINARY FULLY PAID	143,465,521

4.2 Unquoted +Securities (Total number of each +class of +securities issued but not quoted on ASX)

ASX +security code and description	Total number of +securities on issue
CDXAV : OPTION EXPIRING 17-FEB-2024 EX \$0.50	6,740,689
CDXAW : OPTION EXPIRING 16-FEB-2026 EX \$0.45	1,415,318
CDXAJ : PERFORMANCE RIGHTS	16,050,000
CDXAR : OPTION EXPIRING 30-JUN-2027 EX \$0.80	1,300,000
CDXAQ : OPTION EXPIRING 11-JUN-2026 EX \$0.80	125,000
CDXAN : OPTION EXPIRING 26-FEB-2024 EX \$0.50	300,000
CDXAM : OPTION EXPIRING 15-JAN-2024 EX \$0.50	1,530,000
CDXAO : OPTION EXPIRING 15-FEB-2026 EX \$0.50	400,000
CDXAP : OPTION EXPIRING 15-FEB-2026 EX \$0.80	2,925,000
CDXAT : OPTION EXPIRING 16-DEC-2023 EX \$0.45	1,111,444
CDXAU : OPTION EXPIRING 16-DEC-2025 EX \$0.45	1,244,370
CDXAS : OPTION EXPIRING 29-AUG-2023 EX \$0.45	3,699,678
New class - code to be confirmed : ESOP Options exp 30 June 2028 ex \$0.50 subject to various vesting conditions	1,825,000





Part 5 - Other Listing Rule requirements

5.1 Were the +securities issued under an exception in Listing Rule 7.2 and therefore the issue did not need any security holder approval under Listing Rule 7.1?

☒ Yes

5.1a Select the number of the applicable exception in Listing Rule 7.2

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