



Announcement Summary

Entity name

AUB GROUP LIMITED

Date of this announcement

Friday September 01, 2023

The +securities the subject of this notification are:

☒ +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

Total number of +securities to be issued/transferred

ASX +security code	Security description	Total number of +securities to be issued/transferred	Issue date
AUBAA	PERFORMANCE SHARE RIGHTS	1,812,000	01/09/2023

Refer to next page for full details of the announcement



## Part 1 - Entity and announcement details

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### 1.1 Name of entity

AUB GROUP LIMITED

We (the entity named above) give notice of the issue, conversion or payment up of the following unquoted +securities.

### 1.2 Registered number type

ACN

### Registration number

000000715

### 1.3 ASX issuer code

AUB

### 1.4 The announcement is

☒ New announcement

### 1.5 Date of this announcement

1/9/2023



## Part 2 - Issue details

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### **2.1 The +securities the subject of this notification are:**

☒ +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

### **2.2a This notification is given in relation to an issue of +securities in a class which is not quoted on ASX and which:**

☒ has an existing ASX security code ("existing class")



Part 3B - number and type of +securities the subject of this notification (existing class) where issue has not previously been notified to ASX in an Appendix 3B

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**ASX +security code and description**

AUBAA : PERFORMANCE SHARE RIGHTS

**Date the +securities the subject of this notification were issued**

1/9/2023

**Will these +securities rank equally in all respects from their issue date with the existing issued +securities in that class**

☒ Yes

**Were any of the +securities issued to +key management personnel (KMP) or an +associate?**

☒ No

**Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms**

[https://www.aubgroup.com.au/wp-content/uploads/2023/08/AUB-equity-incentive-plan-key-terms-ASX-310823\\_joNqLoA4ORYhe7f63HGZs.pdf](https://www.aubgroup.com.au/wp-content/uploads/2023/08/AUB-equity-incentive-plan-key-terms-ASX-310823_joNqLoA4ORYhe7f63HGZs.pdf)

**Any other information the entity wishes to provide about the +securities the subject of this notification**

The securities were issued to brokers employed by Tysers Insurance Brokers Limited, which is a UK based wholly owned subsidiary of AUB Group.

Issue details

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**Number of +securities**

1,812,000

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Part 4 - +Securities on issue

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Following the issue, conversion or payment up of the +securities the subject of this application, the +securities of the entity will comprise:  
(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)

**4.1 Quoted +Securities (Total number of each +class of +securities quoted)**

ASX +security code and description	Total number of +securities on issue
AUB : ORDINARY FULLY PAID	108,405,620

**4.2 Unquoted +Securities (Total number of each +class of +securities issued but not quoted on ASX)**

ASX +security code and description	Total number of +securities on issue
AUBAAA : SHARE APPRECIATION RIGHTS	1,016,776
AUBAA : PERFORMANCE SHARE RIGHTS	2,510,630



Part 5 - Other Listing Rule requirements

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**5.1 Were the +securities issued under an exception in Listing Rule 7.2 and therefore the issue did not need any security holder approval under Listing Rule 7.1?**

☒ No

**5.2 Has the entity obtained, or is it obtaining, +security holder approval for the issue under listing rule 7.1?**

☒ No

**5.2b Are any of the +securities being issued without +security holder approval using the entity's 15% placement capacity under listing rule 7.1?**

☒ Yes

**5.2b.1 How many +securities are being issued without +security holder approval using the entity's 15% placement capacity under listing rule 7.1?**

1,812,000

**5.2c Are any of the +securities being issued without +security holder approval using the entity's additional 10% placement capacity under listing rule 7.1A (if applicable)?**

☒ N/A