

14 September 2023

Mr Alan Zhao
Advisor, Listings Compliance
ASX Compliance Pty Ltd
20 Bridge Street,
Sydney NSW 2000

Via email: ListingsComplianceSydney@asx.com.au.

Dear Alan

Price Query

We refer to your enquiry letter dated 14 September 2023 in relation to a decrease in Tempest Minerals Limited's ("**TEM**" or "**Company**") share price from \$0.012 today to a low of \$0.008 as well as an increase in the volume of TEM's securities traded. Our responses are noted below.

1. *Is TEM aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?*

The Company is not aware of any information concerning it, that has not been announced and which, if known, may reasonably be regarded as an explanation for recent trading in the Company's securities.

2. *If the answer to question 1 is "yes".*

(a) Is TEM relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in TEM's securities would suggest to ASX that such information may have ceased to be confidential and therefore TEM may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.

N/A.

(b) Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).

N/A.

(c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?

N/A.

3. *If the answer to question 1 is "no", is there any other explanation that TEM may have for the recent trading in its securities?*

No.

4. *Please confirm that TEM is complying with the Listing Rules and, in particular, Listing Rule 3.1.*

Yes, the Company confirms that it is in compliance with ASX Listing Rules and, in particular, Listing Rule 3.1.

5. *Please confirm that TEM's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of TEM with delegated authority from the board to respond to ASX on disclosure matters.*

The Company confirms that the responses to the questions above have been authorised and approved by the Board.

The Board of the Company has authorised the release of this announcement to the market.

Yours faithfully



Paul Jurman
Company Secretary



14 September 2023

Reference: 80181

Mr Paul Jurman
Company Secretary
Tempest Minerals Limited

By email: jurmanp@crcpl.com.au

Dear Mr Jurman

Tempest Minerals Limited ('TEM'): Price - Query

ASX refers to the following:

- A. The change in the price of TEM's securities from \$0.012 to a low of \$0.008 today.
- B. The significant increase in the volume of TEM's securities traded today.

Request for information

In light of this, ASX asks TEM to respond separately to each of the following questions and requests for information:

1. Is TEM aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?
2. If the answer to question 1 is "yes".
 - (a) Is TEM relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in TEM's securities would suggest to ASX that such information may have ceased to be confidential and therefore TEM may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
 - (b) Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
 - (c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?
3. If the answer to question 1 is "no", is there any other explanation that TEM may have for the recent trading in its securities?
4. Please confirm that TEM is complying with the Listing Rules and, in particular, Listing Rule 3.1.
5. Please confirm that TEM's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of TEM with delegated authority from the board to respond to ASX on disclosure matters.

When and where to send your response

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **3:30 PM AEST Thursday, 14 September 2023**. You should note that if the information requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it

does not fall within the exceptions mentioned in Listing Rule 3.1A, TEM's obligation is to disclose the information 'immediately'. This may require the information to be disclosed before the deadline set out in the previous paragraph and may require TEM to request a trading halt immediately.

Your response should be sent to me by e-mail at **ListingsComplianceSydney@asx.com.au**. It should not be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

Trading halt

If you are unable to respond to this letter by the time specified above, or if the answer to question 1 is "yes" and an announcement cannot be made immediately, you should discuss with us whether it is appropriate to request a trading halt in TEM's securities under Listing Rule 17.1. If you wish a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted. You can find further information about trading halts in Guidance Note 16 *Trading Halts & Voluntary Suspensions*.

Suspension

If you are unable to respond to this letter by the time specified above, ASX will likely suspend trading in TEM's securities under Listing Rule 17.3.

Listing Rules 3.1 and 3.1A

In responding to this letter, you should have regard to TEM's obligations under Listing Rules 3.1 and 3.1A and also to Guidance Note 8 *Continuous Disclosure*: Listing Rules 3.1 – 3.1B. It should be noted that TEM's obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

Release of correspondence between ASX and entity

ASX reserves the right to release all or any part of this letter, your reply and any other related correspondence between us to the market under Listing Rule 18.7A.

Questions

If you have any questions in relation to the above, please do not hesitate to contact me.

Yours sincerely

Alan Zhao

Adviser, Listings Compliance