



GLENNON SMALL
COMPANIES

6 November 2023

Company Announcements
Australian Securities Exchange
Level 4, 20 Bridge Street
Sydney NSW 2000

APPENDIX 3Y - LATE LODGEMENT NOTICE

Glennon Small Companies Limited (GC1 or the Company) attaches an Appendix 3Y "Change of Director's Interest Notice" for Mr Michael Glennon.

This Appendix 3Y relates to securities issued resulting from the participation in GC1's dividend reinvestment plan (DRP) of one of Mr Glennon's related entities. Securities were issued under the DRP on 3 October 2023.

In relation to this late lodgement, the Company advises the following:

1. The late lodgement of this Appendix 3Y was inadvertently missed due to an administrative oversight. As soon as the oversight was identified, the attached document was prepared and is now being lodged with the ASX.
2. The Company and its Directors are aware of their obligations under ASX Listing Rules 3.19A and 3.19B. While this is addressed at each Board meeting, all Directors have been reminded of their obligations.
3. The Company further confirms that the late lodgement was an isolated incident. The Company has reviewed its processes and believes that its current arrangements are adequate. The late lodgement does not involve a material breach of the ASX Listing Rules.

By authority of the Board

Michael Glennon

Chairman / Company Secretary
Glennon Small Companies Limited

Appendix 3Y

Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

Name of entity Glennon Small Companies Limited
ABN 52 605 542 229

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Michael Glennon
Date of last notice	13/06/2023

Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	DIRECT & INDIRECT
Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest.	Glennon Capital Pty Ltd - beneficial owner Glennon Investments Pty Ltd - beneficial owner Towra Nominees Pty Ltd <Rhumb Super A/C> - beneficiary
Date of change	3 October 2023
No. of securities held prior to change	<u>DIRECT</u> 35,000 fully paid ordinary shares <u>INDIRECT</u> 3,196,339 fully paid ordinary shares 1,000 Resettable Redeemable Convertible Preference Shares
Class	Fully paid ordinary shares

+ See chapter 19 for defined terms.

Appendix 3Y

Change of Director's Interest Notice

Number acquired	<u>INDIRECT</u> 26,029 fully paid ordinary shares
Number disposed	-
Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	\$11,343
No. of securities held after change	<u>DIRECT</u> 35,000 fully paid ordinary shares <u>INDIRECT</u> 3,222,368 fully paid ordinary shares 1,000 Resettable Redeemable Convertible Preference Shares
Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Issue of securities under Dividend Reinvestment Plan

Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	N/A
Nature of interest	N/A
Name of registered holder (if issued securities)	N/A
Date of change	N/A
No. and class of securities to which interest related prior to change Note: Details are only required for a contract in relation to which the interest has changed	N/A
Interest acquired	N/A
Interest disposed	N/A
Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation	N/A
Interest after change	N/A

Part 3 – +Closed period

+ See chapter 19 for defined terms.

Were the interests in the securities or contracts detailed above traded during a ⁺closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/A
If prior written clearance was provided, on what date was this provided?	N/A

⁺ See chapter 19 for defined terms.