

25 January 2024

ASX Compliance

By email: [ListingsComplianceSydney@asx.com.au](mailto:ListingsComplianceSydney@asx.com.au)

To Whom it may concern,

## **Response to price query**

We refer to a price query by the Australian Securities Exchange dated 25 January 2024.

In response to the specific questions of the price query and using the same numbering:

1. The Company is not aware of any information concerning it that has not been announced which, if known, may explain the recent trading pattern in the securities of NeuRizer Ltd.
2. Not applicable.
3. On 18 January 2024, the Company published its Quarterly Activities Report and Appendix 5B for the quarter ending December 2023, providing updates on a potential Strategic Partner transaction, and Stage 1 approvals. The change of price of NRZ securities on 18 January 2024 as a response to this was an opening price of \$0.012 to a closing price of \$0.007. On 24 January 2024, the Company responded to an Aware Letter from the ASX, providing responses to further questions regarding the disclosures made in the Quarterly Activities Report.
4. NeuRizer Ltd confirms that it is in compliance with the ASX listing rules and, in particular, with listing rule 3.1.
5. The Company confirms that this letter has been approved by the Executive Chairman.

Yours sincerely,



Jordan Mehrtens

Company Secretary



25 January 2024

Reference: 87250

Ms Jordan Mehrtens  
Company Secretary  
NeuRizer Ltd  
Level 11, 19 Grenfell Street  
Adelaide SA 5000

By email: [jordan.mehrtens@neurizer.com.au](mailto:jordan.mehrtens@neurizer.com.au)

Dear Ms Mehrtens

### **NeuRizer Ltd ('NRZ'): Price - Query**

ASX refers to the following:

- A. The change in the price of NRZ's securities from a low of \$0.007 to a high of \$0.017 today.
- B. The significant increase in the volume of NRZ's securities traded during the course of today.

### **Request for information**

In light of this, ASX asks NRZ to respond separately to each of the following questions and requests for information:

1. Is NRZ aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?
2. If the answer to question 1 is "yes":
  - 2.1 Is NRZ relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in NRZ's securities would suggest to ASX that such information may have ceased to be confidential and therefore NRZ may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
  - 2.2 Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
  - 2.3 If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?
3. If the answer to question 1 is "no", is there any other explanation that NRZ may have for the recent trading in its securities?
4. Please confirm that NRZ is complying with the Listing Rules and, in particular, Listing Rule 3.1.
5. Please confirm that NRZ's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of NRZ with delegated authority from the board to respond to ASX on disclosure matters.

### **When and where to send your response**

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **3:30 PM AEDT Thursday, 25 January 2024**. You should note that if the information

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requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it does not fall within the exceptions mentioned in Listing Rule 3.1A, NRZ's obligation is to disclose the information 'immediately'. This may require the information to be disclosed before the deadline set out in the previous paragraph and may require NRZ to request a trading halt immediately.

Your response should be sent to me by e-mail at **ListingsComplianceSydney@asx.com.au**. It should not be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

### **Trading halt**

If you are unable to respond to this letter by the time specified above, or if the answer to question 1 is "yes" and an announcement cannot be made immediately, you should discuss with us whether it is appropriate to request a trading halt in NRZ's securities under Listing Rule 17.1. If you wish to request a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted. You can find further information about trading halts in Guidance Note 16 *Trading Halts & Voluntary Suspensions*.

### **Suspension**

If you are unable to respond to this letter by the time specified above, ASX will likely suspend trading in NRZ's securities under Listing Rule 17.3.

### **Listing Rules 3.1 and 3.1A**

In responding to this letter, you should have regard to NRZ's obligations under Listing Rules 3.1 and 3.1A and also to Guidance Note 8 *Continuous Disclosure*: Listing Rules 3.1 – 3.1B. It should be noted that NRZ's obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

### **Release of correspondence between ASX and entity**

ASX reserves the right to release all or any part of this letter, your reply and any other related correspondence between us to the market under Listing Rule 18.7A.

Yours sincerely

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ASX Compliance