

## ASX Announcement

21 June 2024

### Appendix 3Y – Late Lodgement Notice

Please find attached Appendix 3Y “Change of Director’s Interest Notice” for Mark Stephen. The 3Y reflects changes resulting from the purchase of shares on behalf of Mark Stephen between 16 April and 23 May 2024.

The transactions covered by this 3Y required disclosure within 5 business days of the change date under Listing Rule 3.19A.2.

In relation to the late lodgement of this 3Y, WAG (“**the Company**”) advises the following:

1. The Appendix 3Y was lodged late due to an administrative oversight, as the shares were acquired over a period of time. As, soon as the oversight was identified the documents were lodged with the ASX.
2. The Company has a Securities Trading Policy in place which sets out Director’s requirements to notify the Company of changes in interests and the Director sought approval prior to trading.
3. The Company and the Directors are aware of their obligations under ASX Listing Rules 3.19A and 3.19B to provide the necessary information to the Company to meet its disclosure requirements.
4. The Company considers this late lodgement as an isolated breach. The Company has reviewed its procedures around listing rule 3.19A and 3.19B and believes that its current practices will ensure compliance with the ASX Listing Rules in the future.

This announcement has been authorised by the Board of Directors

For further information please contact:

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### About AWAG

*WAG is a financial services business which operates in funds and investment management through Armytage Private; and in providing services to wealth management advisors through CHPW Financial. AWAG also intends to participate in the rationalisation of the Australian financial services*

*and wealth management sectors through corporate activism. Its directors are highly experienced financial services professionals who own key stakes in the company*