

# Form 604

## Corporations Act 2001 Section 671B

### Notice of change of interests of substantial holder

To Company Name/Scheme      Aroa Biosurgery Limited  
ACN/ARSN      NZCN: 1980577 ARBN:638 867 473

#### 1. Details of substantial holder(1)

Name      FirstCape Group Limited and its related body corporates.  
ACN/ARSN (if applicable)      N/A

There was a change in the interests of the  
substantial holder on      23/08/2024

The previous notice was given to the company on      01/05/2024

The previous notice was dated      30/04/2024

#### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Ordinary fully paid shares	24,894,494	7.232%	30,421,199	8.820%

In addition to Harbour Asset Management Limited's relevant interest, this disclosure also includes the relevant interest arising under the investment management agreements that Jarden Securities Limited (a related body corporate of Harbour Asset Management Limited) is a party to, for the purposes of providing discretionary investment management services (DIMS) to its clients.

#### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
See attached Schedule 1	Harbour Asset Management Limited (including BNZ)	Acquisition of ordinary fully paid shares	\$3,166,245	7,036,100	7,036,100
See attached Schedule 1	BNZ Investments Limited (included in Harbour's figures above)	Acquisition of ordinary fully paid shares	\$973,305.00	2,162,900	2,162,900
See attached Schedule 1	Harbour Asset Management Limited (including BNZ)	Disposal of ordinary fully paid shares	\$919,662.65	1,509,395	1,509,395

#### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Harbour Asset Management Limited (including BNZ)	See Schedule 2 attached	See Schedule 2 attached	Relevant interest in Shares that its wholly-owned subsidiary Harbour Asset Management Limited (Harbour) has a relevant interest in. Harbour has the power to exercise (or control the exercise of) rights to vote attached to, and power to acquire or dispose of (or control the acquisition or disposal of), Shares under investment powers contained in trust deeds or investment management agreements with clients, including BNZ Investments Limited (see below). FirstCape Group Limited has those powers and controls indirectly, as the ultimate owner of Harbour.	30,338,199 ordinary fully paid shares.	30,338,199
BNZ Investments Limited (included in Harbour's figures above)	BNP Paribas	BNP Paribas	Relevant interest in Shares that its wholly-owned subsidiary BNZ Investments Services Limited (BNZIL) has a relevant interest in. BNZIL has a beneficial ownership interest in these shares, which are managed by Harbour under an investment management agreement, so are also included in Harbour's interest referred to above.	4,287,695 ordinary fully paid shares.	4,287,695
Jarden Wealth Limited	FNZ Custodians Limited (as sub-custodian of Jarden Custodial Services Limited)	FNZ Custodians Limited (as sub-custodian of Jarden Custodial Services Limited)	Relevant interest in Shares that its wholly-owned subsidiary Jarden Wealth Limited has a relevant interest in. Jarden Wealth Limited has the power to exercise (or control the exercise of) rights to vote attached to, and power to acquire or dispose of (or control the acquisition or disposal of), Shares under the terms of investment management agreements with clients (who are the beneficial owners of the Shares). FirstCape Group Limited has those powers and controls indirectly, as the ultimate owner of Jarden Wealth Limited.	83,000 ordinary fully paid shares.	83,000

#### 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	

#### 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
FirstCape Group Limited	Level 21, 171 Featherston Street, Wellington, New Zealand

#### Signature

print name Madeleine Pumphrey

capacity Compliance Analyst

sign here



date 26/08/2024

## DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
  - (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
  - (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
  - (4) The voting shares of a company constitute one class unless divided into separate classes.
  - (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
  - (6) Include details of:
    - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
    - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).
- See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
  - (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
  - (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

Schedule 1:

Date of Acquisitions Since Last Disclosure as at 23/08/2024	Consideration	Number of Fully Paid Securities
22/08/2024	\$1,186,245	2,636,100
23/08/2024	\$1,980,000	4,400,000
Total	\$3,166,245	7,036,100

Date of Disposal Since Last Disclosure as at 23/08/2024	Consideration	Number of Fully Paid Securities
3/07/2024	\$128.00	200
4/07/2024	\$37,585.55	58,930
6/06/2024	\$10,439.09	18,608
6/08/2024	\$54,999.40	94,000
7/06/2024	\$46,703.80	78,100
11/06/2024	\$42,592.65	68,348
12/06/2024	\$48,630.34	79,313
13/06/2024	\$14,790.77	23,810
17/06/2024	\$333,002.00	537,100
19/06/2024	\$263,593.00	425,150
20/06/2024	\$14,472.27	22,950
21/05/2024	\$37,056.35	73,950
27/05/2024	\$7,304.96	14,048
29/05/2024	\$3,420.15	6137
30/05/2024	\$4,944.32	8751
Total	\$919,662.65	1,509,395

Schedule 2:

Registered Holder of Securities	Person Entitled to be Registered as a Holder	Number of Fully Paid Securities
BNP Paribas	BNP Paribas	9,028,244
Citibank Nominees	Citibank Nominees	4,588,847
HSBC Nominees	HSBC Nominees	6,301,726
JP Morgan	JP Morgan	2,628,193
TEA Custody	TEA Custody	7,791,189
Total		30,338,199