

# Appendix 4G

## Key to Disclosures

### Corporate Governance Council Principles and Recommendations

Name of entity

East 33 Limited

ABN/ARBN

70 636 173 281

Financial year ended:

30 June 2024

Our corporate governance statement<sup>1</sup> for the period above can be found at:<sup>2</sup>

☐ These pages of our annual report:

☒ This URL on our website:

<https://east33.sydney/pages/investors>

The Corporate Governance Statement is accurate and up to date as at 24 September 2024 has been approved by the board.

The annexure includes a key to where our corporate governance disclosures can be located.<sup>3</sup>

Date: 24 September 2024

Name of authorised officer authorising lodgement: Mathew Watkins – Company Secretary

<sup>1</sup> “Corporate governance statement” is defined in Listing Rule 19.12 to mean the statement referred to in Listing Rule 4.10.3 which discloses the extent to which an entity has followed the recommendations set by the ASX Corporate Governance Council during a particular reporting period.

Listing Rule 4.10.3 requires an entity that is included in the official list as an ASX Listing to include in its annual report either a corporate governance statement that meets the requirements of that rule or the URL of the page on its website where such a statement is located. The corporate governance statement must disclose the extent to which the entity has followed the recommendations set by the ASX Corporate Governance Council during the reporting period. If the entity has not followed a recommendation for any part of the reporting period, its corporate governance statement must separately identify that recommendation and the period during which it was not followed and state its reasons for not following the recommendation and what (if any) alternative governance practices it adopted in lieu of the recommendation during that period.

Under Listing Rule 4.7.4, if an entity chooses to include its corporate governance statement on its website rather than in its annual report, it must lodge a copy of the corporate governance statement with ASX at the same time as it lodges its annual report with ASX. The corporate governance statement must be current as at the effective date specified in that statement for the purposes of Listing Rule 4.10.3.

Under Listing Rule 4.7.3, an entity must also lodge with ASX a completed Appendix 4G at the same time as it lodges its annual report with ASX. The Appendix 4G serves a dual purpose. It acts as a key designed to assist readers to locate the governance disclosures made by a listed entity under Listing Rule 4.10.3 and under the ASX Corporate Governance Council's recommendations. It also acts as a verification tool for listed entities to confirm that they have met the disclosure requirements of Listing Rule 4.10.3.

The Appendix 4G is not a substitute for, and is not to be confused with, the entity's corporate governance statement. They serve different purposes and an entity must produce each of them separately.

<sup>2</sup> Tick whichever option is correct and then complete the page number(s) of the annual report, or the URL of the web page, where your corporate governance statement can be found. You can, if you wish, delete the option which is not applicable.

<sup>3</sup> Throughout this form, where you are given two or more options to select, you can, if you wish, delete any option which is not applicable and just retain the option that is applicable. If you select an option that includes “OR” at the end of the selection and you delete the other options, you can also, if you wish, delete the “OR” at the end of the selection.

See notes 4 and 5 below for further instructions on how to complete this form.

## ANNEXURE – KEY TO CORPORATE GOVERNANCE DISCLOSURES

Corporate Governance Council recommendation		Where a box below is ticked, <sup>4</sup> we have followed the recommendation in full for the whole of the period above. We have disclosed this in our Corporate Governance Statement:	Where a box below is ticked, we have NOT followed the recommendation in full for the whole of the period above. Our reasons for not doing so are: <sup>5</sup>
<b>PRINCIPLE 1 – LAY SOLID FOUNDATIONS FOR MANAGEMENT AND OVERSIGHT</b>			
1.1	A listed entity should have and disclose a board charter setting out: (a) the respective roles and responsibilities of its board and management; and (b) those matters expressly reserved to the board and those delegated to management.	<input checked="" type="checkbox"/> and we have disclosed a copy of our board charter at: <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a>	<input type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b> <input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable
1.2	A listed entity should: (a) undertake appropriate checks before appointing a director or senior executive or putting someone forward for election as a director; and (b) provide security holders with all material information in its possession relevant to a decision on whether or not to elect or re-elect a director.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b> <input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable
1.3	A listed entity should have a written agreement with each director and senior executive setting out the terms of their appointment.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b> <input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable
1.4	The company secretary of a listed entity should be accountable directly to the board, through the chair, on all matters to do with the proper functioning of the board.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b> <input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable

<sup>4</sup> Tick the box in this column only if you have followed the relevant recommendation in full for the whole of the period above. Where the recommendation has a disclosure obligation attached, you must insert the location where that disclosure has been made, where indicated by the line with “*insert location*” underneath. If the disclosure in question has been made in your corporate governance statement, you need only insert “our corporate governance statement”. If the disclosure has been made in your annual report, you should insert the page number(s) of your annual report (eg “pages 10-12 of our annual report”). If the disclosure has been made on your website, you should insert the URL of the web page where the disclosure has been made or can be accessed (eg “www.entityname.com.au/corporate governance/charters/”).

<sup>5</sup> If you have followed all of the Council’s recommendations in full for the whole of the period above, you can, if you wish, delete this column from the form and re-format it.

## Key to Disclosures Corporate Governance Council Principles and Recommendations

Corporate Governance Council recommendation	Where a box below is ticked, <sup>4</sup> we have followed the recommendation <u>in full</u> for the <u>whole</u> of the period above. We have disclosed this in our Corporate Governance Statement:	Where a box below is ticked, we have NOT followed the recommendation in full for the whole of the period above. Our reasons for not doing so are: <sup>5</sup>
<p>1.5 A listed entity should:</p> <p>(a) have and disclose a diversity policy;</p> <p>(b) through its board or a committee of the board set measurable objectives for achieving gender diversity in the composition of its board, senior executives and workforce generally; and</p> <p>(c) disclose in relation to each reporting period:</p> <p>(1) the measurable objectives set for that period to achieve gender diversity;</p> <p>(2) the entity's progress towards achieving those objectives; and</p> <p>(3) either:</p> <p>(A) the respective proportions of men and women on the board, in senior executive positions and across the whole workforce (including how the entity has defined "senior executive" for these purposes); or</p> <p>(B) if the entity is a "relevant employer" under the Workplace Gender Equality Act, the entity's most recent "Gender Equality Indicators", as defined in and published under that Act.</p> <p>If the entity was in the S&amp;P / ASX 300 Index at the commencement of the reporting period, the measurable objective for achieving gender diversity in the composition of its board should be to have not less than 30% of its directors of each gender within a specified period.</p>	<p><input type="checkbox"/></p> <p>and we have disclosed a copy of our diversity policy at:</p> <p>.....</p> <p>[insert location]</p> <p>and we have disclosed the information referred to in paragraph (c) at:</p> <p>.....</p> <p>[insert location]</p> <p>and if we were included in the S&amp;P / ASX 300 Index at the commencement of the reporting period our measurable objective for achieving gender diversity in the composition of its board of not less than 30% of its directors of each gender within a specified period.</p>	<p><input checked="" type="checkbox"/> set out in our Corporate Governance Statement <u>OR</u></p> <p><input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable</p>

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1.6	<p>A listed entity should:</p> <p>(a) have and disclose a process for periodically evaluating the performance of the board, its committees and individual directors; and</p> <p>(b) disclose for each reporting period whether a performance evaluation has been undertaken in accordance with that process during or in respect of that period.</p>	<p><input checked="" type="checkbox"/> and we have disclosed the evaluation process referred to in paragraph (a) in our Corporate Governance Statement at <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a></p> <p>and whether a performance evaluation was undertaken for the reporting period in accordance with that process in our Corporate Governance Statement at <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a></p>	<p><input type="checkbox"/> set out in our Corporate Governance Statement <u>OR</u></p> <p><input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable</p>
1.7	<p>A listed entity should:</p> <p>(a) have and disclose a process for evaluating the performance of its senior executives at least once every reporting period; and</p> <p>(b) disclose for each reporting period whether a performance evaluation has been undertaken in accordance with that process during or in respect of that period.</p>	<p><input checked="" type="checkbox"/> and we have disclosed the evaluation process referred to in paragraph (a) in in our Corporate Governance Statement at <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a></p> <p>and whether a performance evaluation was undertaken for the reporting period in accordance with that process in in our Corporate Governance Statement at <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a></p>	<p><input type="checkbox"/> set out in our Corporate Governance Statement <u>OR</u></p> <p><input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable</p>

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<b>PRINCIPLE 2 - STRUCTURE THE BOARD TO BE EFFECTIVE AND ADD VALUE</b>			
2.1	<p>The board of a listed entity should:</p> <p>(a) have a nomination committee which:</p> <p>(1) has at least three members, a majority of whom are independent directors; and</p> <p>(2) is chaired by an independent director, and disclose:</p> <p>(3) the charter of the committee;</p> <p>(4) the members of the committee; and</p> <p>(5) as at the end of each reporting period, the number of times the committee met throughout the period and the individual attendances of the members at those meetings; or</p> <p>(b) if it does not have a nomination committee, disclose that fact and the processes it employs to address board succession issues and to ensure that the board has the appropriate balance of skills, knowledge, experience, independence and diversity to enable it to discharge its duties and responsibilities effectively.</p>	<p><input checked="" type="checkbox"/> <i>[If the entity complies with paragraph (a):]</i> and we have disclosed a copy of the charter of the committee at: <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a> .....</p> <p>and the information referred to in paragraphs (4) and (5) in 2024 Annual Report at: <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a> .....</p> <p><i>[If the entity complies with paragraph (b):]</i> and we have disclosed the fact that we do not have a nomination committee and the processes we employ to address board succession issues and to ensure that the board has the appropriate balance of skills, knowledge, experience, independence and diversity to enable it to discharge its duties and responsibilities effectively at: ..... <i>[insert location]</i></p>	<p><input type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b></p> <p><input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable</p>
2.2	A listed entity should have and disclose a board skills matrix setting out the mix of skills that the board currently has or is looking to achieve in its membership.	<p><input checked="" type="checkbox"/> and we have disclosed our board skills matrix in in our Corporate Governance Statement at <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a></p>	<p><input type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b></p> <p><input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable</p>

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2.3	A listed entity should disclose: (a) the names of the directors considered by the board to be independent directors; (b) if a director has an interest, position, affiliation or relationship of the type described in Box 2.3 but the board is of the opinion that it does not compromise the independence of the director, the nature of the interest, position or relationship in question and an explanation of why the board is of that opinion; and (c) the length of service of each director.	<input checked="" type="checkbox"/> and we have disclosed the names of the directors considered by the board to be independent directors in the Corporate Governance Statement and, where applicable, the information referred to in paragraph (b) in the Corporate Governance Statement and the length of service of each director in the 2024 Annual Report at: <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a>	<input type="checkbox"/> set out in our Corporate Governance Statement
2.4	A majority of the board of a listed entity should be independent directors.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b> <input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable
2.5	The chair of the board of a listed entity should be an independent director and, in particular, should not be the same person as the CEO of the entity.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b> <input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable
2.6	A listed entity should have a program for inducting new directors and for periodically reviewing whether there is a need for existing directors to undertake professional development to maintain the skills and knowledge needed to perform their role as directors effectively.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b> <input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable

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<b>PRINCIPLE 3 – INSTIL A CULTURE OF ACTING LAWFULLY, ETHICALLY AND RESPONSIBLY</b>			
3.1	A listed entity should articulate and disclose its values.	<input checked="" type="checkbox"/> and we have disclosed our values at <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a> within the Code of Conduct.	<input type="checkbox"/> set out in our Corporate Governance Statement
3.2	A listed entity should: (a) have and disclose a code of conduct for its directors, senior executives and employees; and (b) ensure that the board or a committee of the board is informed of any material breaches of that code.	<input checked="" type="checkbox"/> and we have disclosed our code of conduct at: <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a>	<input type="checkbox"/> set out in our Corporate Governance Statement
3.3	A listed entity should: (a) have and disclose a whistleblower policy; and (b) ensure that the board or a committee of the board is informed of any material incidents reported under that policy.	<input checked="" type="checkbox"/> and we have disclosed our whistleblower policy at: <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a>	<input type="checkbox"/> set out in our Corporate Governance Statement
3.4	A listed entity should: (a) have and disclose an anti-bribery and corruption policy; and (b) ensure that the board or committee of the board is informed of any material breaches of that policy.	<input checked="" type="checkbox"/> and we have disclosed our anti-bribery and corruption policy at: <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a>	<input type="checkbox"/> set out in our Corporate Governance Statement

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<b>PRINCIPLE 4 – SAFEGUARD THE INTEGRITY OF CORPORATE REPORTS</b>			
4.1	<p>The board of a listed entity should:</p> <p>(a) have an audit committee which:</p> <p>(1) has at least three members, all of whom are non-executive directors and a majority of whom are independent directors; and</p> <p>(2) is chaired by an independent director, who is not the chair of the board,</p> <p>and disclose:</p> <p>(3) the charter of the committee;</p> <p>(4) the relevant qualifications and experience of the members of the committee; and</p> <p>(5) in relation to each reporting period, the number of times the committee met throughout the period and the individual attendances of the members at those meetings; or</p> <p>(b) if it does not have an audit committee, disclose that fact and the processes it employs that independently verify and safeguard the integrity of its corporate reporting, including the processes for the appointment and removal of the external auditor and the rotation of the audit engagement partner.</p>	<p><input checked="" type="checkbox"/></p> <p><i>[If the entity complies with paragraph (a):]</i></p> <p>and we have disclosed a copy of the charter of the committee at:</p> <p>.....</p> <p><a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a></p> <p>and the information referred to in paragraphs (4) and (5) in 2024 Annual Report at:</p> <p><a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a></p> <p>.....</p> <p><i>[If the entity complies with paragraph (b):]</i></p> <p>and we have disclosed the fact that we do not have an audit committee and the processes we employ that independently verify and safeguard the integrity of our corporate reporting, including the processes for the appointment and removal of the external auditor and the rotation of the audit engagement partner at:</p> <p>.....</p> <p><i>[insert location]</i></p>	<p><input type="checkbox"/> set out in our Corporate Governance Statement</p>
4.2	The board of a listed entity should, before it approves the entity's financial statements for a financial period, receive from its CEO and CFO a declaration that, in their opinion, the financial records of the entity have been properly maintained and that the financial statements comply with the appropriate accounting standards and give a true and fair view of the financial position and performance of the entity and that the opinion has been formed on the basis of a sound system of risk management and internal control which is operating effectively.	<input type="checkbox"/>	<input checked="" type="checkbox"/> set out in our Corporate Governance Statement
4.3	A listed entity should disclose its process to verify the integrity of any periodic corporate report it releases to the market that is not audited or reviewed by an external auditor.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement



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<b>PRINCIPLE 5 – MAKE TIMELY AND BALANCED DISCLOSURE</b>			
5.1	A listed entity should have and disclose a written policy for complying with its continuous disclosure obligations under listing rule 3.1.	<input checked="" type="checkbox"/> and we have disclosed our continuous disclosure compliance policy at: <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a>	<input type="checkbox"/> set out in our Corporate Governance Statement
5.2	A listed entity should ensure that its board receives copies of all material market announcements promptly after they have been made.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement
5.3	A listed entity that gives a new and substantive investor or analyst presentation should release a copy of the presentation materials on the ASX Market Announcements Platform ahead of the presentation.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement
<b>PRINCIPLE 6 – RESPECT THE RIGHTS OF SECURITY HOLDERS</b>			
6.1	A listed entity should provide information about itself and its governance to investors via its website.	<input checked="" type="checkbox"/> and we have disclosed information about us and our governance on our website at: <a href="https://east33.sydney/pages/directors-and-management">https://east33.sydney/pages/directors-and-management</a>	<input type="checkbox"/> set out in our Corporate Governance Statement
6.2	A listed entity should have an investor relations program that facilitates effective two-way communication with investors.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement
6.3	A listed entity should disclose how it facilitates and encourages participation at meetings of security holders.	<input checked="" type="checkbox"/> and we have disclosed how we facilitate and encourage participation at meetings of security holders in the Corporate Governance Statement and the Constitution.	<input type="checkbox"/> set out in our Corporate Governance Statement
6.4	A listed entity should ensure that all substantive resolutions at a meeting of security holders are decided by a poll rather than by a show of hands.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement
6.5	A listed entity should give security holders the option to receive communications from, and send communications to, the entity and its security registry electronically.	<input checked="" type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement

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<b>PRINCIPLE 7 – RECOGNISE AND MANAGE RISK</b>			
7.1	<p>The board of a listed entity should:</p> <p>(a) have a committee or committees to oversee risk, each of which:</p> <p>(1) has at least three members, a majority of whom are independent directors; and</p> <p>(2) is chaired by an independent director, and disclose:</p> <p>(3) the charter of the committee;</p> <p>(4) the members of the committee; and</p> <p>(5) as at the end of each reporting period, the number of times the committee met throughout the period and the individual attendances of the members at those meetings; or</p> <p>(b) if it does not have a risk committee or committees that satisfy (a) above, disclose that fact and the processes it employs for overseeing the entity's risk management framework.</p>	<p><input checked="" type="checkbox"/> <i>[If the entity complies with paragraph (a):]</i></p> <p>and we have disclosed a copy of the charter of the committee at:</p> <p>.....</p> <p><a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a></p> <p>and the information referred to in paragraphs (4) and (5) in 2024 Annual Report at:</p> <p><a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a></p> <p>.....</p> <p><i>[If the entity complies with paragraph (b):]</i></p> <p>and we have disclosed the fact that we do not have a risk committee or committees that satisfy (a) and the processes we employ for overseeing our risk management framework at:</p> <p>.....</p> <p><i>[insert location]</i></p>	<p><input type="checkbox"/> set out in our Corporate Governance Statement</p>
7.2	<p>The board or a committee of the board should:</p> <p>(a) review the entity's risk management framework at least annually to satisfy itself that it continues to be sound and that the entity is operating with due regard to the risk appetite set by the board; and</p> <p>(b) disclose, in relation to each reporting period, whether such a review has taken place.</p>	<p><input checked="" type="checkbox"/> and we have disclosed whether a review of the entity's risk management framework was undertaken during the reporting period in the Corporate Governance Statement.</p>	<p><input type="checkbox"/> set out in our Corporate Governance Statement</p>

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7.3	A listed entity should disclose: (a) if it has an internal audit function, how the function is structured and what role it performs; or (b) if it does not have an internal audit function, that fact and the processes it employs for evaluating and continually improving the effectiveness of its governance, risk management and internal control processes.	<input checked="" type="checkbox"/> <p><i>[If the entity complies with paragraph (a):]</i> and we have disclosed how our internal audit function is structured and what role it performs at: ..... <i>[insert location]</i> <i>[If the entity complies with paragraph (b):]</i> and we have disclosed the fact that we do not have an internal audit function and the processes we employ for evaluating and continually improving the effectiveness of our risk management and internal control processes in the Corporate Governance Statement at <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a></p>	<input type="checkbox"/> set out in our Corporate Governance Statement
7.4	A listed entity should disclose whether it has any material exposure to environmental or social risks and, if it does, how it manages or intends to manage those risks.	<input checked="" type="checkbox"/> <p>and we have disclosed whether we have any material exposure to environmental and social risks in the 2024 Annual Report at: <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a> and, if we do, how we manage or intend to manage those risks in the 2024 Annual Report at: <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a></p>	<input type="checkbox"/> set out in our Corporate Governance Statement

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Corporate Governance Council recommendation		Where a box below is ticked, <sup>4</sup> we have followed the recommendation <b>in full</b> for the <b>whole</b> of the period above. We have disclosed this in our Corporate Governance Statement:	Where a box below is ticked, we have NOT followed the recommendation in full for the whole of the period above. Our reasons for not doing so are: <sup>5</sup>
<b>PRINCIPLE 8 – REMUNERATE FAIRLY AND RESPONSIBLY</b>			
8.1	<p>The board of a listed entity should:</p> <p>(a) have a remuneration committee which:</p> <p>(1) has at least three members, a majority of whom are independent directors; and</p> <p>(2) is chaired by an independent director, and disclose:</p> <p>(3) the charter of the committee;</p> <p>(4) the members of the committee; and</p> <p>(5) as at the end of each reporting period, the number of times the committee met throughout the period and the individual attendances of the members at those meetings; or</p> <p>(b) if it does not have a remuneration committee, disclose that fact and the processes it employs for setting the level and composition of remuneration for directors and senior executives and ensuring that such remuneration is appropriate and not excessive.</p>	<p><input checked="" type="checkbox"/> <i>[If the entity complies with paragraph (a):]</i></p> <p>and we have disclosed a copy of the charter of the committee at: <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a></p> <p>.....</p> <p>and the information referred to in paragraphs (4) and (5) in the 2024 Annual Report at: <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a></p> <p>.....</p> <p><i>[If the entity complies with paragraph (b):]</i></p> <p>and we have disclosed the fact that we do not have a remuneration committee and the processes we employ for setting the level and composition of remuneration for directors and senior executives and ensuring that such remuneration is appropriate and not excessive:</p> <p>.....</p> <p><i>[insert location]</i></p>	<p><input type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b></p> <p><input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable</p>
8.2	A listed entity should separately disclose its policies and practices regarding the remuneration of non-executive directors and the remuneration of executive directors and other senior executives.	<p><input checked="" type="checkbox"/></p> <p>and we have disclosed separately our remuneration policies and practices regarding the remuneration of non-executive directors and the remuneration of executive directors and other senior executives in the Remuneration Report section of the Company's Annual Report which is located at <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a>.</p>	<p><input type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b></p> <p><input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable</p>
8.3	<p>A listed entity which has an equity-based remuneration scheme should:</p> <p>(a) have a policy on whether participants are permitted to enter into transactions (whether through the use of derivatives or otherwise) which limit the economic risk of participating in the scheme; and</p> <p>(b) disclose that policy or a summary of it.</p>	<p><input checked="" type="checkbox"/></p> <p>and we have disclosed our policy on this issue or a summary of it at: <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a></p>	<p><input type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b></p> <p><input type="checkbox"/> we do not have an equity-based remuneration scheme and this recommendation is therefore not applicable <b>OR</b></p> <p><input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable</p>

## Key to Disclosures Corporate Governance Council Principles and Recommendations

Corporate Governance Council recommendation		Where a box below is ticked, <sup>4</sup> we have followed the recommendation <u>in full</u> for the <u>whole</u> of the period above. We have disclosed this in our Corporate Governance Statement:	Where a box below is ticked, we have NOT followed the recommendation in full for the whole of the period above. Our reasons for not doing so are: <sup>5</sup>
<b>ADDITIONAL RECOMMENDATIONS THAT APPLY ONLY IN CERTAIN CASES</b>			
9.1	A listed entity with a director who does not speak the language in which board or security holder meetings are held or key corporate documents are written should disclose the processes it has in place to ensure the director understands and can contribute to the discussions at those meetings and understands and can discharge their obligations in relation to those documents.	<input type="checkbox"/> and we have disclosed information about the processes in place at: ..... [insert location]	<input type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b> <input checked="" type="checkbox"/> we do not have a director in this position and this recommendation is therefore not applicable <b>OR</b> <input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable
9.2	A listed entity established outside Australia should ensure that meetings of security holders are held at a reasonable place and time.	<input type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b> <input checked="" type="checkbox"/> we are established in Australia and this recommendation is therefore not applicable <b>OR</b> <input type="checkbox"/> we are an externally managed entity and this recommendation is therefore not applicable
9.3	A listed entity established outside Australia, and an externally managed listed entity that has an AGM, should ensure that its external auditor attends its AGM and is available to answer questions from security holders relevant to the audit.	<input type="checkbox"/>	<input type="checkbox"/> set out in our Corporate Governance Statement <b>OR</b> <input checked="" type="checkbox"/> we are established in Australia and not an externally managed listed entity and this recommendation is therefore not applicable <input type="checkbox"/> we are an externally managed entity that does not hold an AGM and this recommendation is therefore not applicable
<b>ADDITIONAL DISCLOSURES APPLICABLE TO EXTERNALLY MANAGED LISTED ENTITIES</b>			
-	<i>Alternative to Recommendation 1.1 for externally managed listed entities:</i> The responsible entity of an externally managed listed entity should disclose: (a) the arrangements between the responsible entity and the listed entity for managing the affairs of the listed entity; and (b) the role and responsibility of the board of the responsible entity for overseeing those arrangements.	N/A	

## Key to Disclosures Corporate Governance Council Principles and Recommendations

Corporate Governance Council recommendation		Where a box below is ticked, <sup>4</sup> we have followed the recommendation <u>in full</u> for the <u>whole</u> of the period above. We have disclosed this in our Corporate Governance Statement:	Where a box below is ticked, we have NOT followed the recommendation in full for the whole of the period above. Our reasons for not doing so are: <sup>5</sup>
-	<p><i>Alternative to Recommendations 8.1, 8.2 and 8.3 for externally managed listed entities:</i></p> <p>An externally managed listed entity should clearly disclose the terms governing the remuneration of the manager.</p>	N/A	

## 2024 Corporate Governance Statement

The Board of East 33 Limited (the “**Company**”, “**Group**” or “**East 33**”) is committed to conducting the business of East 33 and the entities it controls both ethically and in accordance with principles of good corporate governance. The Board has created a framework for managing the Company including adopting relevant internal controls, risk management processes and corporate governance policies and practices which it believes are appropriate for the Company’s business and which are designed to promote the responsible management and conduct of the Company.

In establishing its corporate governance framework, the Board has referred to the 4th edition of the ASX Corporate Governance Councils’ Corporate Governance Principles and Recommendations (**ASX Recommendations**).

The Company’s practices are largely consistent with the ASX Recommendations. The Board considers that the implementation of a number of ASX Recommendations is not appropriate, for the reasons set out below in relation to the items concerned. Where the Company has departed from the ASX Recommendations, it ensures that alternative measures are in place to mitigate any risk relating to these departures.

As required by the ASX Listing Rules, this Corporate Governance Statement (“**Statement**”) discloses the extent to which the Group has followed the ASX Recommendations during the financial year ended 30 June 2024, as summarised below.

This Statement should be read in conjunction with Company’s corporate governance practices and policies provided on the Company’s website at <https://east33.sydney/> and the 2024 Annual Report.

This Statement is current as of 24 September 2024 and has been approved by the Board of Directors of East 33 Limited.

ASX Recommendation		Status	Reference / Comment
<b>PRINCIPLE 1: LAY SOLID FOUNDATIONS FOR MANAGEMENT AND OVERSIGHT</b> <i>A listed entity should clearly delineate the respective roles and responsibilities of its board and management and regularly review their performance.</i>			
1.1	A listed entity should have and disclose a board charter setting out:  (a) the respective roles and responsibilities of its board and management; and  (b) those matters expressly reserved to the board and those delegated to management.	Compliant	East 33 has adopted a formal charter ( <b>Board Charter</b> ) that sets out the respective roles and responsibilities of the Board, the Chair and Company Secretary, and the delegation of responsibilities to the Chief Executive Officer ( <b>CEO</b> ) and to Committees of the Board.  A copy of the Board Charter is available on the Company’s website at <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a> .
1.2	A listed entity should:  (a) undertake appropriate checks before appointing a director or	Compliant	East 33 has established a Nomination & Remuneration Committee to make recommendations to the Board for the appointment of new directors, having regard to their skills, experience and expertise and the results of appropriate checks as to the person’s

	<p>senior executive or putting someone forward for election as a director; and</p> <p>(b) provide security holders with all material information in its possession relevant to a decision on whether or not to elect or re-elect a director.</p>		<p>character, experience, education, criminal record, and bankruptcy history.</p> <p>All information relevant to a decision to appoint or re-appoint a director is provided to Shareholders in notices of any meetings pursuant to which a resolution to appoint or re-appoint director will be voted upon.</p>
1.3	A listed entity should have a written agreement with each director and senior executive setting out the terms of their appointment.	Compliant	<p>East 33's Board Charter requires that the terms and conditions be confirmed:</p> <ul style="list-style-type: none"> <li>• via an executed letter of appointment for non-executive directors; or</li> <li>• in a service contract for senior executives.</li> </ul> <p>The terms and conditions for East 33 directors are consistent with the processes in the Company's Constitution, the <i>Corporations Act 2001</i> (Cth) (<b>Corporations Act</b>) and the ASX Listing Rules, including, without limitation, the requirement to disclose directors' interests and any matters which may affect director's independence and the requirement to comply with the Company's policies.</p> <p>A summary of the Company's key terms and conditions of director appointment and executive service agreements are included in the Remuneration Report section of its Annual Report.</p>
1.4	The company secretary of a listed entity should be accountable directly to the board, through the chair, on all matters to do with the proper functioning of the board.	Compliant	<p>East 33's Company Secretary is accountable directly to the Board, through the Chair, on all matters to do with the proper functioning of the Board. The Company's Board Charter sets out the Company Secretary's specific responsibilities.</p> <p>The Company Secretary's experience and qualifications are included in the Directors' Report of the Company's Annual Report.</p>
1.5	<p>A listed entity should:</p> <p>(a) have and disclose a diversity policy;</p> <p>(b) through its board or a committee of the board set measurable objectives for achieving gender diversity in the composition of its board, senior executives and workforce generally; and</p>	Partially Compliant	<p>East 33 has a diversity policy (<b>Diversity Policy</b>) in place which is available on the on the Company's website at <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a>.</p> <p>The Diversity Policy entrusts the Board with the responsibility for designing and overseeing the implementation of the Diversity Policy and developing initiatives that will promote and achieve diversity goals.</p> <p>The Company is partially compliant with this Recommendation as section 1.5(b) was not followed in full for the whole reporting period.</p> <p>During the reporting period the Company adopted a Diversity Equity &amp; Inclusion (DE&amp;I) Strategy that will be implemented in FY24-26 which includes actions to address the Gender Equality</p>



	<p>(c) disclose in relation to each reporting period:</p> <p>(1) the measurable objectives set for that period to achieve gender diversity;</p> <p>(2) the entity's progress towards achieving those objectives; and</p> <p>(3) either:</p> <p>(A) the respective proportions of men and women on the board, in senior executive positions and across the whole workforce (including how the entity has defined "senior executive" for these purposes); or</p> <p>(B) if the entity is a "relevant employer" under the Workplace Gender Equality Act 2021 (Cth), the entity's most recent "Gender Equality Indicators", as defined in and published under that Act.</p>		<p>Indicators in the <i>Workplace Gender Equality Act 2021 (Cth)</i> (<b>Workplace General Equality Act</b>) and sets out targets for the proportion of women on the Board, in Senior Executive positions and women across the entire organisation which are:</p> <ul style="list-style-type: none"> <li>Board 30% of total</li> <li>Senior executives 40% of total</li> <li>Workforce 40% of total</li> </ul> <p>The Company's progress towards the proportion of women on the Board, in Senior Executive positions and women across the entire organisation as at 19 September 2024 was as follows:</p> <table border="1"> <thead> <tr> <th></th><th>No. of women in the role</th><th>Total number of staff in the role</th><th>% women of total staff in role</th></tr> </thead> <tbody> <tr> <td>Board of Directors</td><td>2</td><td>5</td><td>40%</td></tr> <tr> <td>Senior Executive Positions</td><td>1</td><td>4</td><td>25%</td></tr> <tr> <td>Entire organisation</td><td>36</td><td>152</td><td>24%</td></tr> </tbody> </table> <p>The Board will continue to monitor East 33's gender diversity targets periodically and its performance against those targets will be disclosed for each reporting period in the Company's Annual Report.</p> <p>The Company is considered a "relevant employer" under the Workplace General Equality Act. The Company lodged a Gender Equality Indicators Report with the Workplace Gender Equality Agency within the reporting period and therefore complies with the reporting requirements under the Workplace General Equality Act.</p>		No. of women in the role	Total number of staff in the role	% women of total staff in role	Board of Directors	2	5	40%	Senior Executive Positions	1	4	25%	Entire organisation	36	152	24%
	No. of women in the role	Total number of staff in the role	% women of total staff in role																
Board of Directors	2	5	40%																
Senior Executive Positions	1	4	25%																
Entire organisation	36	152	24%																
1.6	<p>A listed entity should:</p> <p>(a) have and disclose a process for periodically evaluating the performance of the board, its committees and individual directors; and</p> <p>(b) disclose for each reporting period whether a performance evaluation has been undertaken in accordance with that process during or in respect of that period.</p>	Compliant	<p>East 33's Board Charter makes the Nomination &amp; Remuneration Committee responsible for periodically evaluating the performance of the Board, its committees, and individual directors.</p> <p>The Nomination &amp; Remuneration Committee Charter sets out the process for conducting those performance evaluations.</p> <p>A performance evaluation process for the Board and its committees was undertaken during the reporting period.</p> <p>This entailed a Board &amp; Committees Effectiveness Review Questionnaire was completed by all directors and committee members, followed by a formal discussion with the Chair.</p>																

1.7	<p>A listed entity should:</p> <p>(a) have and disclose a process for evaluating the performance of its senior executives at least once every reporting period; and</p> <p>(b) disclose for each reporting period whether a performance evaluation has been undertaken in accordance with that process during or in respect of that period.</p>	Compliant	<p>East 33's Nomination &amp; Remuneration Committee Charter sets out a process for periodically evaluating the performance senior executives.</p> <p>A formal assessment of satisfaction of performance against the STI and LTI is conducted by the NRC and occurs after the finalisation of the annual accounts, which includes both qualitative and quantitative assessment.</p> <p>Senior executives report to the Chief Executive Officer (CEO) and their Key Performance Indicators (KPI's) contain specific financial and non-financial objectives. The performance of the senior executives, including the Chief Financial Officer (CFO) against these objectives is evaluated annually by the CEO and it has been undertaken during this reporting period.</p> <p>In the case of the CEO, this review is conducted by the Chair and the results are reported to the Board.</p> <p>Performance evaluations were undertaken for the reporting period by the Company in accordance with the process.</p>
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## PRINCIPLE 2 – STRUCTURE THE BOARD TO ADD VALUE

*The board of a listed entity should be of an appropriate size and collectively have the skills, commitment and knowledge of the entity and the industry in which it operates, to enable it to discharge its duties effectively and to add value.*

2.1	<p>The board of a listed entity should:</p> <p>(a) have a nomination committee which:</p> <p>(1) has at least three members, a majority of whom are independent directors; and</p> <p>(2) is chaired by an independent director; and disclose:</p> <p>(3) the charter of the committee;</p> <p>(4) the members of the committee; and</p> <p>(5) as at the end of each reporting period, the number of times the committee met throughout the period and the individual attendances of the</p>	Compliant	<p>East 33’s Board has established a Nomination &amp; Remuneration Committee, the roles and responsibilities of which are set out in the Committee’s Charter, which is available on the Company’s website <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a>.</p> <p>The Nomination &amp; Remuneration Committee Charter stipulates that the committee will consist of at least three members, a majority of whom are independent, non-executive directors.</p> <p>The details of the current composition of the Nomination &amp; Remuneration Committee are as follows:</p> <table><tr><th>Composition</th><th>Director (including position and independence status)</th></tr><tr><td>Chair</td><td>Veronica Papacosta, Independent Non-Executive Director</td></tr><tr><td>Members</td><td>Ben Cameron, Non-Executive Director Mike Ryan, Independent Non-Executive Director</td></tr></table> <p>The Nomination &amp; Remuneration Committee composition, meeting details, former committee members and attendances are disclosed in the Company’s Annual Report.</p>	Composition	Director (including position and independence status)	Chair	Veronica Papacosta, Independent Non-Executive Director	Members	Ben Cameron, Non-Executive Director Mike Ryan, Independent Non-Executive Director
Composition	Director (including position and independence status)								
Chair	Veronica Papacosta, Independent Non-Executive Director								
Members	Ben Cameron, Non-Executive Director Mike Ryan, Independent Non-Executive Director								

	<p>members at those meetings; or</p> <p>(b) if it does not have a nomination committee, disclose that fact and the processes it employs to address board succession issues and to ensure that the board has the appropriate balance of skills, knowledge, experience, independence and diversity to enable it to discharge its duties and responsibilities effectively.</p>		
2.2	<p>A listed entity should have and disclose a board skills matrix setting out the mix of skills that the board currently has or is looking to achieve in its membership.</p>	Compliant	<p>East 33's Board aims to ensure that it has a mix of skills and capabilities among its directors, including technical skills, business development experience and financial management experience. The Board considers the current directors collectively bring the range of skills, knowledge and experience necessary to direct the Company. The size and composition of the Board, and its mix of skills and capabilities is expected to change as the Company evolves and the Board assesses the skills present amongst its directors when appointing new directors to ensure they remain appropriate for the Company as it continues to grow.</p> <p>The skills represented in the Board which are relevant to the Company's business are set out below:</p> <p><b>Skills</b></p> <p>Financial Analysis and Reporting</p> <p>Accounting</p> <p>IT Systems/Security</p> <p>Legal Knowledge and Understanding</p> <p>Mergers and Acquisitions</p> <p>Contract Negotiations</p> <p>Workplace Safety</p> <p>Stakeholder Engagement</p> <p>Risk Management</p> <p>Internal Compliance Controls</p> <p>Strategy and Policy</p> <p>Capital Markets</p> <p>Corporate Governance</p>

			<p>People and Culture/IR</p> <p>Lobbying/Politics/Government Relations</p> <p>ASX Listed Environment</p> <p>Investor Relations</p> <p>Oyster Farming</p> <p>Australian Oyster Industry Knowledge</p> <p>Seafood Distribution and Markets – Domestic</p> <p>Seafood Distribution and Markets – Overseas</p> <p>Brand Management</p> <p>FMCG</p> <p>Marketing and Sales</p> <p>Networks within Seafood Industry</p> <p><b>Experience</b></p> <p>Board Roles – unlisted organisations</p> <p>Board Roles – ASX Listed (excluding E33)</p> <p>Executive Roles</p> <p>Director Qualifications</p>																														
2.3	<p>A listed entity should disclose:</p> <p>(a) the names of the directors considered by the board to be independent directors;</p> <p>(b) if a director has an interest, position, association or relationship of the type described in Box 2.3 but the board is of the opinion that it does not compromise the independence of the director, the nature of the interest, position, association or relationship in question and an explanation of why the board is of that opinion; and</p>	Compliant	<p>East 33’s Nomination &amp; Remuneration Committee reviews the independence of directors considering interests disclosed to the Board from time to time and at least once a year.</p> <p>A director is regarded as independent if that director is independent of management and free of any business or other relationship that could materially interfere with, or could reasonably be perceived to materially interfere with, the exercise of their unfettered and independent judgment. When determining the independent status of a director, the Board has regard to the existence of any of the relationships listed in Box 2.3 of the ASX Recommendations.</p> <table><tr><th>Name</th><th>Position</th><th>Appointment date</th><th>Status</th><th>Name</th></tr><tr><td>Michael Ryan</td><td>Non-Executive Director</td><td>29/11/2022</td><td>Independent</td><td>Michael Ryan</td></tr><tr><td>Sarah Courtney</td><td>Non-Executive Chair</td><td>12/12/2022</td><td>Independent</td><td>Sarah Courtney</td></tr><tr><td>Ben Cameron</td><td>Non-Executive Director</td><td>29/11/2022</td><td>Non-Independent</td><td>Ben Cameron</td></tr><tr><td>Gary Higgins</td><td>Non-Executive Director</td><td>29/11/2022</td><td>Non-Independent</td><td>Gary Higgins</td></tr><tr><td>Veronica Papacosta</td><td>Non-Executive Director</td><td>26/07/2023</td><td>Independent</td><td>Veronica Papacosta</td></tr></table>	Name	Position	Appointment date	Status	Name	Michael Ryan	Non-Executive Director	29/11/2022	Independent	Michael Ryan	Sarah Courtney	Non-Executive Chair	12/12/2022	Independent	Sarah Courtney	Ben Cameron	Non-Executive Director	29/11/2022	Non-Independent	Ben Cameron	Gary Higgins	Non-Executive Director	29/11/2022	Non-Independent	Gary Higgins	Veronica Papacosta	Non-Executive Director	26/07/2023	Independent	Veronica Papacosta
Name	Position	Appointment date	Status	Name																													
Michael Ryan	Non-Executive Director	29/11/2022	Independent	Michael Ryan																													
Sarah Courtney	Non-Executive Chair	12/12/2022	Independent	Sarah Courtney																													
Ben Cameron	Non-Executive Director	29/11/2022	Non-Independent	Ben Cameron																													
Gary Higgins	Non-Executive Director	29/11/2022	Non-Independent	Gary Higgins																													
Veronica Papacosta	Non-Executive Director	26/07/2023	Independent	Veronica Papacosta																													

	(c) the length of service of each director.		<p>The Board considers that Ms Courtney, Mr Ryan and Ms Papacosta are independent directors and Mr Higgins and Mr Cameron are not independent directors as they represent or are affiliated with substantial shareholder.</p> <p>The Board has considered the holdings of securities in the Company by the non-executive directors and is of the opinion that their interests in shares would not materially interfere with, or could be reasonably perceived to interfere with, the independent exercise of their judgement in their position as a director. Those directors are otherwise free from any business or other relationship that could materially interfere with, or reasonably be perceived to interfere with, the independent exercise of their judgement.</p> <p>All directors' interests, position, association, relationships and length of service are disclosed in the Company's Annual Report.</p>
2.4	A majority of the board of a listed entity should be independent directors.	Compliant	Three of East 33's five directors are deemed independent making a majority of independent directors on the Company's Board.
2.5	The chair of the board of a listed entity should be an independent director and, in particular, should not be the same person as the CEO of the entity.	Compliant	<p>The Chair of East 33's Board, Ms Sarah Courtney, is an independent non-executive director.</p> <p>The CEO is Dr Justin Welsh.</p>
2.6	A listed entity should have a program for inducting new directors and for periodically reviewing whether there is a need for existing directors to undertake professional development to maintain the skills and knowledge needed to perform their role as directors effectively.	Compliant	<p>East 33's Board Charter requires its directors to participate in an induction program on appointment, and any additional professional development activities arranged for them.</p> <p>The Company Secretary is responsible for facilitating the induction and professional development of directors.</p>
<b>PRINCIPLE 3 – INSTIL A CULTURE OF ACTING LAWFULLY, ETHICALLY AND RESPONSIBLY</b> <i>A listed entity should instil and continually reinforce a culture across the organisation of acting lawfully, ethically and responsibly</i>			
3.1	A listed entity should articulate and disclose its values.	Compliant	<p>East 33's Statement of Values are articulated in its Code of Conduct, which is disclosed on the Company's website <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a>.</p>

3.2	<p>A listed entity should:</p> <p>(a) have a code of conduct for its directors, senior executives and employees; and</p> <p>(b) ensure that the board or a committee of the board is informed of any material breach of that code.</p>	Compliant	<p>East 33 has a Code of Conduct that sets out the Company's values, commitments, ethical and conduct standards and policies in respect of East 33's legal and other obligations to its stakeholders.</p> <p>The Code of Conduct applies to directors, officers, employees, contractors, consultants, other persons acting on behalf of East 33 and includes requirements and obligations for reporting breaches of the code.</p> <p>The Code of Conduct is available on the Company's website <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a>.</p>
3.3	<p>A listed entity should:</p> <p>(a) have and disclose a whistleblower policy; and</p> <p>(b) ensure that the board or a committee of the board is informed of any material incidents reported under that policy.</p>	Compliant	<p>East 33 has a Whistleblower Policy that encourages employees to report any malpractice, misconduct or conflicts of interest that they become aware of.</p> <p>The Whistleblower Policy is disclosed on the Company's website <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a>.</p>
3.4	<p>A listed entity should:</p> <p>(a) have and disclose an anti-bribery and corruption policy; and</p> <p>(b) ensure that the board or a committee of the board is informed of any material breaches of that policy.</p>	Compliant	<p>East 33 has an Anti-Bribery &amp; Corruption Policy that sets out the Company's standards and guidelines on what constitutes bribery or corruption, the offering, accepting and providing gifts and hospitality, participating in tenders and procuring goods and services and providing donations and sponsorship.</p> <p>The Anti Bribery &amp; Corruption Policy requires all material or suspected breaches be reported immediately to the Board.</p> <p>The Anti-Bribery &amp; Corruption Policy is disclosed on the Company's website <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a>.</p>

#### PRINCIPLE 4 – SAFEGUARD THE INTEGRITY OF CORPORATE REPORTS

*A listed entity should have appropriate processes to verify the integrity of its corporate reports.*

4.1	<p>The board of a listed entity should:</p> <p>(a) have an audit committee which:</p> <p>(1) has at least three members, all of whom are non-executive directors and a majority of whom are independent directors; and</p>	Compliant	<p>East 33's Board has established an Audit &amp; Risk Committee to assist it in fulfilling its statutory and regulatory responsibilities.</p> <p>The role and responsibilities of the Audit &amp; Risk Committee are set out in its Charter, which is available on the Company's website <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a>.</p> <p>The Audit &amp; Risk Committee Charter stipulates the Committee will consist of at least three members, all of whom are non-executive directors and a majority of whom are independent.</p> <p>The details of the composition of the Audit &amp; Risk Committee during the reporting period are as follows:</p>
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	<p>(2) is chaired by an independent director, who is not the chair of the board; and disclose:</p> <p>(3) the charter of the committee;</p> <p>(4) the relevant qualifications and experience of the members of the committee; and</p> <p>(5) in relation to each reporting period, the number of times the committee met throughout the period and the individual attendances of the members at those meetings; or</p> <p>(b) if it does not have an audit committee, disclose that fact and the processes it employs that independently verify and safeguard the integrity of its corporate reporting, including the processes for the appointment and removal of the external auditor and the rotation of the audit engagement partner.</p>		<table><tr><th>Composition</th><th>Director (including position and independence status)</th></tr><tr><td>Chair</td><td>Mike Ryan, Independent Non-Executive Director</td></tr><tr><td>Members</td><td>Gary Higgins, Non-Executive Director Veronica Papacosta, Independent Non-Executive Director</td></tr></table> <p>The Audit &amp; Risk Committee composition, meeting details, former committee members and attendances are disclosed in the Company's Annual Report.</p>	Composition	Director (including position and independence status)	Chair	Mike Ryan, Independent Non-Executive Director	Members	Gary Higgins, Non-Executive Director Veronica Papacosta, Independent Non-Executive Director
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4.2	The board of a listed entity should, before it approves the entity's financial statements for a financial period, receive from its CEO and CFO a declaration that, in their opinion, the financial records of the entity have been properly maintained and that the financial statements comply with the appropriate accounting standards and give a true and fair view of the financial	Partially Compliant	<p>East 33's Board has implemented a process to receive written assurances from its CEO and CFO that the declarations provided under section 295A of the Corporations Act are founded on a system of risk management and internal controls and the system is operating in all material respects in relation to financial reporting risks. The Board seeks these assurances prior to approving the financial statements for all half year, full year and quarterly results that follow.</p> <p>Due to the management restructure that occurred during this reporting period, those assurances were not always available from the CEO and CFO during the period (noting that the Company's CEO commenced on 16 October 2023 and the new CFO was appointed in April 2024). At times during this period declarations were provided by the Company's acting CFO only.</p>						

	position and performance of the entity and that the opinion has been formed on the basis of a sound system of risk management and internal control which is operating effectively.		
4.3	A listed entity should disclose its process to verify the integrity of any periodic corporate report it releases to the market that is not audited or reviewed by an external auditor.	Compliant	<p>The Company's external auditor is HLB Mann Judd.</p> <p>All Board and Board Committee papers are made available to the external auditor. The auditor is invited to attend Audit &amp; Risk Committee meetings and is available to the Audit &amp; Risk Committee members at any time. The external auditor attends the Company's Annual General Meetings (<b>AGM</b>) and is available to answer shareholder questions about the conduct of the audit and the preparation and content of the Auditor's Report.</p> <p>In addition to reviewing regulatory filings and decisions as they relate to East 33's financial statements, the Audit &amp; Risk Committee reviews any reports that are to be released to the market that are not audited or reviewed by an external auditor. In doing so, the Audit &amp; Risk Committee also discloses its process for verifying the integrity of any such report.</p>
<b>PRINCIPLE 5 – MAKE TIMELY AND BALANCED DISCLOSURE</b> <i>A listed entity should make timely and balanced disclosure of all matters concerning it that a reasonable person would expect to have a material effect on the price or value of its securities.</i>			
5.1	A listed entity should have and disclose a written policy for compliance with its continuous disclosure obligations under the listing rule 3.1.	Compliant	<p>East 33's has a Disclosure &amp; Communication Policy, which sets out the Company's commitment to promoting investor confidence and the upholding the rights of shareholders.</p> <p>The Disclosure &amp; Communication Policy is disclosed on the Company's website <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a>.</p>
5.2	A listed entity should ensure that its board receives copies of all material market announcements promptly after they have been made.	Compliant	<p>East 33's Disclosure &amp; Communication Policy specifically requires that all material market announcements be provided to the Board promptly after release to the market.</p> <p>External notifications are set up so that all directors receive a notification from ASX as soon as an announcement has been made. The Company also circulates all price sensitive announcements to the Board in advance and each director is allowed the opportunity to comment or query the content within announcements.</p>



5.3	A listed entity that gives a new and substantive investor or analyst presentation should release a copy of the presentation materials on the ASX Market Announcements Platform ahead of the presentation.	Compliant	<p>East 33's Disclosure &amp; Communication Policy specifically requires any new and substantive investor or analyst presentations be released on the ASX Market Announcement Platform prior to the relevant presentation.</p> <p>The Company also maintains a separate investor page on its website to provide shareholders with links to annual and interim reports, ASX announcements, presentations, and other key information.</p>
<b>Principle 6 – Respect the rights of security holders</b> <i>A listed entity should provide its security holders with appropriate information and facilities to allow them to exercise their rights as security holders effectively.</i>			
6.1	A listed entity should provide information about itself and its governance to investors via its website.	Compliant	<p>East 33 recognises the rights of its shareholders and other interested stakeholders to have easy access to balanced, understandable and timely information concerning its operations.</p> <p>The Company's website contains key information about the Company and its corporate governance practices and policies as well as brief biographical information of its directors and senior executives.</p> <p>The Company maintains a separate investor page on its website to provide links to annual and interim reports, ASX announcements, presentations and other key information.</p>
6.2	A listed entity should have an investor relations program that facilitates effective two-way communication with investors.	Compliant	<p>East 33's Disclosure &amp; Communication Policy sets out requirements for how the Company communicates with shareholders including:</p> <ul style="list-style-type: none"> <li>• Reports to shareholders</li> <li>• Information available on the Company's website</li> <li>• Use of electronic communication and other communications technology</li> <li>• General meetings and auditors' attendance at the AGM to answer relevant questions</li> </ul> <p>Shareholders may elect to receive annual reports and other shareholder communications electronically by contacting the Company's share registry, Link Market Services.</p>
6.3	A listed entity should disclose how it facilitates and encourages participation at meetings of security holders.	Compliant	<p>East 33 encourages participation of shareholders at its AGM and other shareholder meetings to ensure a high level of accountability and engagement with the Company's strategy and objectives. The Company issues a Notice of Meeting, Explanatory Statement and Proxy Form to provide all relevant information to shareholders to inform their decision-making on matters to be voted on at those meetings. Shareholders may elect to receive communications electronically.</p> <p>Details regarding the timing and location of the Company's General Meetings and AGM are disclosed to the ASX in advance to encourage shareholder attendance.</p>

6.4	A listed entity should ensure that all substantive resolutions at a meeting of security holders are decided by a poll rather than by a show of hands.	Compliant	East 33's practice is that all resolutions at meetings of shareholders are decided by poll whether attendance is in person, electronically or by proxy.
6.5	A listed entity should give security holders the option to receive communications from, and send communications to, the entity and its security registry electronically.	Compliant	East 33's shareholders may elect to receive information from East 33 and its registry electronically. Where shareholders have not elected to receive information electronically, the Company and its registry will send information by post.

#### PRINCIPLE 7 – RECOGNISE AND MANAGE RISK

*A listed entity should establish a sound risk management framework and periodically review the effectiveness of that framework.*

7.1	<p>The board of a listed entity should:</p> <p>(a) have a committee or committees to oversee risk, each of which:</p> <p>(1) has at least three members, a majority of whom are independent directors; and</p> <p>(2) is chaired by an independent director; and disclose:</p> <p>(3) the charter of the committee;</p> <p>(4) the members of the committee; and</p> <p>(5) as at the end of each reporting period, the number of times the committee met throughout the period and the individual attendances of the members at those meetings; or</p> <p>(b) if it does not have a risk committee or committees that satisfy (a) above, disclose that fact and the processes it</p>	Compliant	<p>East 33’s Board has an Audit &amp; Risk Committee to oversee risk.</p> <p>The role and responsibilities of the Audit &amp; Risk Committee are set out in its Charter, which is available on the Company’s website <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a>.</p> <p>The Audit &amp; Risk Committee Charter provides that the committee will consist of at least three members, all of whom are non-executive directors and a majority of whom are independent.</p> <p>The details of the composition of the Audit &amp; Risk Committee during the reporting period are as follows:</p> <table><tr><th>Composition</th><th>Director (including position and independence status)</th></tr><tr><td>Chair</td><td>Mike Ryan, Independent Non-Executive Director</td></tr><tr><td>Members</td><td>Gary Higgins, Non-Executive Director Veronica Papacosta , Independent Non-Executive Director</td></tr></table> <p>The Audit &amp; Risk Committee composition, meeting details, former committee members and attendances are disclosed in the Company’s Annual Report.</p>	Composition	Director (including position and independence status)	Chair	Mike Ryan, Independent Non-Executive Director	Members	Gary Higgins, Non-Executive Director Veronica Papacosta , Independent Non-Executive Director
Composition	Director (including position and independence status)								
Chair	Mike Ryan, Independent Non-Executive Director								
Members	Gary Higgins, Non-Executive Director Veronica Papacosta , Independent Non-Executive Director								

	employs for overseeing the entity's risk management framework.		
7.2	<p>The board or a committee of the board should:</p> <p>(a) review the entity's risk management framework at least annually to satisfy itself that it continues to be sound and that the entity is operating with due regard to the risk appetite set by the board; and</p> <p>(b) disclose, in relation to each reporting period, whether such a review has taken place.</p>	Compliant	<p>East 33's Board Charter requires the Board to set the Company's risk appetite and to satisfy itself the Company has in place an appropriate risk management framework within which the Board expects management to operate.</p> <p>The Audit &amp; Risk Committee is required to periodically review and update the Company's risk management framework and profile including the Risk Register which sets out the risk categories, likelihood of occurrence, rank and mitigation controls and strategies.</p> <p>Both the risk management framework and the Risk Register are monitored by the Board and has been reviewed during the reporting period.</p>
7.3	<p>A listed entity should disclose:</p> <p>(a) if it has an internal audit function, how the function is structured and what role it performs; or</p> <p>(b) if it does not have an internal audit function, that fact and the processes it employs for evaluating and continually improving the effectiveness of its risk management and internal control processes.</p>	Compliant	<p>East 33's size and scope of operations are not such that the Board deems it necessary to have an internal audit function.</p> <p>The Company's Audit &amp; Risk Committee is responsible for evaluating and continually improving the effectiveness of risk management and internal control processes.</p> <p>Senior management periodically facilitate an internal review of risk framework, financial systems and processes and present outcomes and any recommendations arising from the review to the Audit &amp; Risk Committee.</p> <p>East 33's risk management framework is disclosed in the Company's Annual Report.</p>
7.4	A listed entity should disclose whether it has any material exposure to environmental or social risks and, if it does, how it manages or intends to manage those risks.	Compliant	East 33 has disclosed all material risks facing the Company including economic, environmental and social sustainability risks and how it manages those risks in its Annual Report.
<b>PRINCIPLE 8 – REMUNERATE FAIRLY AND RESPONSIBLY</b>			

*A listed entity should pay director remuneration sufficient to attract and retain high quality directors and design its executive remuneration to attract, retain and motivate high quality senior executives and to align their interests with the creation of value for security holders and with the entity's values and risk appetite.*

8.1	<p>The board of a listed entity should:</p> <p>(a) have a remuneration committee which:</p> <p>(1) has at least three members, a majority of whom are independent directors; and</p> <p>(2) is chaired by an independent director; and disclose:</p> <p>(3) the charter of the committee;</p> <p>(4) the members of the committee; and</p> <p>(5) as at the end of each reporting period, the number of times the committee met throughout the period and the individual attendances of the members at those meetings; or</p> <p>(b) if it does not have a remuneration committee, disclose that fact and the processes it employs for setting the level and composition of remuneration for directors and senior executives and ensuring that such remuneration is appropriate and not excessive.</p>	Compliant	<p>East 33’s Board has established a Nomination &amp; Remuneration Committee to assist it in fulfilling its statutory, fiduciary and regulatory responsibilities.</p> <p>The role and responsibilities of the Nomination &amp; Remuneration Committee are set out in the Committee’s Charter which also provides that the Committee will consist of at least three members, a majority of whom are independent, non-executive directors.</p> <p>The Nomination &amp; Remuneration Committee Charter is available on the Company’s website <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a>.</p> <p>The details of the composition of the Nomination &amp; Remuneration Committee are as follows:</p> <table><tr><th>Composition</th><th>Director (including position and independence status)</th></tr><tr><td>Chair</td><td>Veronica Papacosta, Independent Non-Executive Director</td></tr><tr><td>Members</td><td>Ben Cameron, Non-Executive Director Mike Ryan, Independent Non-Executive Director</td></tr></table> <p>The Nomination &amp; Remuneration Committee composition, meeting details, former Committee members and attendances are disclosed in the Annual Report.</p>	Composition	Director (including position and independence status)	Chair	Veronica Papacosta, Independent Non-Executive Director	Members	Ben Cameron, Non-Executive Director Mike Ryan, Independent Non-Executive Director
Composition	Director (including position and independence status)								
Chair	Veronica Papacosta, Independent Non-Executive Director								
Members	Ben Cameron, Non-Executive Director Mike Ryan, Independent Non-Executive Director								
8.2	<p>A listed entity should separately disclose its policies and practices regarding the remuneration of non-executive directors</p>	Compliant	<p>East 33’s Nomination &amp; Remuneration Committee is responsible for reviewing remuneration of non-executive directors, executive directors and other senior executives.</p> <p>Remuneration details of are disclosed in the Remuneration Report section of the Company’s Annual Report.</p>						

	and the remuneration of executive directors and other senior executives.		The Company has undertaken a review of its remuneration practices in the reporting period.
8.3	<p>A listed entity which has an equity-based remuneration scheme should:</p> <p>(a) have a policy on whether participants are permitted to enter into transactions (whether through the use of derivatives or otherwise) which limit the economic risk of participating in the scheme; and</p> <p>(b) disclose that policy or a summary of it</p>	Compliant	<p>East 33 has adopted a Securities Trading Policy (<b>Trading Policy</b>), designed to minimise the potential for insider trading.</p> <p>The Trading Policy explains when options or rights under an employee incentive scheme can be exercised and outlines East 33's restrictions around margin lending, short-term or speculative trading in East 33 and hedging.</p> <p>The Trading Policy is available on East 33's website <a href="https://east33.sydney/pages/investors">https://east33.sydney/pages/investors</a>.</p>