



8 December 2016

ASX Limited  
Exchange Centre  
20 Bridge Street  
SYDNEY NSW 2000

## **APPENDIX 3X – LATE LODGEMENT NOTICE**

Capilano Honey Limited (**Company**) (ASX:CZZ) wishes to notify ASX that it inadvertently neglected to lodge an Appendix 3X '*Initial Director's Interest Notice*' for Ms Julie Pascoe upon her appointment as a director of the Company on 7 October 2016.

The Company now encloses the Appendix 3X for lodgement and confirms that there has been no change in Ms Pascoe's interest in securities of the Company since her appointment (as Ms Pascoe continues to have no interest in securities of the Company).

In relation to the late lodgement of the Appendix 3X, we advise as follows:

### **1. Explanation of why the Appendix 3X was lodged late**

The Appendix 3X was lodged late due to an administrative oversight in that Ms Pascoe advised that she did not have any interest in securities of the Company and the Company was not aware that an Appendix 3X was required to be lodged for Ms Julie Pascoe given that she did not hold any securities in, or contracts relating to securities of, the Company.

The Company is now aware of its obligations under ASX Listing Rule 3.19A.

### **2. Company arrangements in place with directors to ensure that it is able to meet its disclosure obligations under ASX Listing Rule 3.19A.**

The Company has put in place the following processes to ensure that it is able to meet its disclosure obligations under ASX Listing Rule 3.19A:

- (a) Each director will be informed of the disclosure obligations which arise under ASX Listing Rule 3.19A upon their appointment.
- (b) Part of the appointment packages for all new directors will be an agreement which places an obligation on the directors to notify the Company of their initial interest on appointment and any ongoing disclosure of any changes to these interests. This obligation will also be explained in their letter of appointment.
- (c) As part of the appointment process, each new director will be required to complete, and provide to the Company Secretary, an Appendix 3X prior to being formally appointed to the Board.
- (d) The Company's share registry is instructed to notify the Company Secretary of any movements in a directors interest in securities of the Company.
- (e) The Company's Securities Trading Policy requires any director (other than the Chairman) wishing to trade in the Company's securities to first obtain consent to do so from the Chairman. In the case of the Chairman, consent is required to be obtained from an independent non-executive director of the Company.
- (f) The Company Secretary has in place a system which ensures that on resignation of a director, an Appendix 3Z is lodged.

**3. What additional steps does the Company intend to take to ensure compliance with ASX Listing Rule 3.19B?**

The Company considers that the arrangements set out in paragraph 2 above to be adequate and notes that the late lodgement of the Appendix 3X was the result of an administrative oversight.

Yours sincerely

A handwritten signature in black ink, appearing to read 'Ben McKee', with a stylized, cursive script.

Ben McKee  
Managing Director

# Appendix 3X

## Initial Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/9/2001.

<b>Name of entity</b>	<b>CAPILANO HONEY LIMITED</b>
<b>ACN</b>	<b>009 686 435</b>

We (the entity) give ASX the following information under listing rule 3.19A.1 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	JULIE ANNE PASCOE
<b>Date of appointment/quotation</b>	07/10/2016

### **Part 1 - Director's relevant interests in securities of which the director is the registered holder**

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Number &amp; class of securities</b>
-

## Appendix 3X

### Initial Director's Interest Notice

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#### Part 2 – Director's relevant interests in securities of which the director is not the registered holder

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

<b>Name of holder &amp; nature of interest</b> Note: Provide details of the circumstances giving rise to the relevant interest.	<b>Number &amp; class of Securities</b>
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#### Part 3 – Director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	-
<b>Nature of interest</b>	-
<b>Name of registered holder (if issued securities)</b>	-
<b>No. and class of securities to which interest relates</b>	-

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+ See chapter 19 for defined terms.