## Form 604 Corporations Act 2001 Section 671B

# Notice of Change of Interests of Substantial Holder

To: **COVATA LTD** ACN/ARSN:

#### 1. Details of substantial holder (1)

Name: FIL Limited and the entities listed in Annexure A ("FIL") ACN/ARSN (if applicable)

There was a change in the interests of the substantial holder on

The previous notice was given to the company on

The previous notice was dated

28 July 2017

22 July 2016

22 July 2016

### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

| Class of Securities (4) | Previous       | Previous Notice  | Present Notice | Present Notice   |
|-------------------------|----------------|------------------|----------------|------------------|
| , ,                     | Notice         | Voting Power (5) | Person's votes | Voting Power (5) |
|                         | Person's votes |                  |                |                  |
| Common Stock            | 52,395,243     | 10.67%           | 52,322,547     | 9.60%            |
|                         |                |                  |                |                  |

#### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

| Date of<br>Change | Person<br>whose<br>relevant<br>interest<br>changed | Nature of change (6) | Consideration<br>given in relation<br>to change (7) | Class and number of securities affected | Person's votes affected |
|-------------------|--|----------------------|---|---|-------------------------|
|                   |  |                      | AUD \$  | Common stock                            | Common stock            |
| 12-Aug-16         | FIL  | BUY                  | 0.18  | 86,614                                  | 86,614                  |
| 25-Oct-16         | FIL  | BUY                  | 0.11  | 116,108                                 | 116,108                 |
| 09-Jun-17         | FIL  | BUY                  | 0.04  | 30,000                                  | 30,000                  |
| 14-Jun-17         | FIL  | BUY                  | 0.04  | 602,049                                 | 602,049                 |
| 15-Jun-17         | FIL  | BUY                  | 0.04  | 261,544                                 | 261,544                 |
| 28-Jul-17         | FIL  | SELL                 | 0.04  | (265,820)                               | (265,820)               |
| 28-Jul-17         | FIL  | SELL                 | 0.04  | (903,191)                               | (903,191)               |
| •                 |  |                      | Total Buys  | 1,096,315                               | 1,096,315               |
|                   |  |                      | Total Sells   | (1,169,011)                             | (1,169,011)             |
|                   |  |                      | Total Net Buys                                      | (72,696)                                | (72,696)                |

#### 4. Present Relevant Interest

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Refer to Annexure A

## 5. Changes in Association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

| NA |  |
|----|--|

## 6. Addresses

The addresses of persons named in this form are as follows:

Refer to Annexure A

Dated: 1 August 2017

**Kelvin Lo** 

Sign Here:

Head of Regulatory Reporting, Asia Pacific

Duly authorized under Powers of Attorney on behalf of FIL Limited

## Annexure A

| Investment Manager                               | Address   | Custodian                      |                       | Sum of Numerator<br>Shares |
|--|---|--------------------------------|-----------------------|----------------------------|
| FIL INVESTMENT MANAGEMENT<br>(HONG KONG) LIMITED | Level 21, Two Pacific Place, 88<br>Queensway, Admiralty, Hong<br>Kong         | CLEARSTREAM BANKING SA LUX (C) |                       | 1,958,408                  |
|  | "   | MASTER TRUST BANK OF JAPAN (C) |                       | 1,741,033                  |
|  |   | BROWN BROS HARRIMN LTD LUX (C) | Investment            | 41,746,257                 |
| FIL INVESTMENT MANAGEMENT (AUSTRALIA) LIMITED    | Level 11, 167 Macquarie Street,<br>Sydney, NSW 2000, Australia                | JP MORGAN, BOURNEMOUTH (C)     | Discretion/<br>Voting | 203,334                    |
| ,  |   | STATE STREET BANK AND TR CO    | Power                 | 581,220                    |
|  |   | NATIONAL ASTL BK MELBOURNE (S) |                       | 626,440                    |
| FIL PENSIONS MANAGEMENT                          | Oakhill House, 130 Tonbridge<br>Road, Hildenborough, Kent,<br>England TN119DZ | HSBC BANK PLC (C)              |                       | 5,465,855                  |
| Grand Total 52,322,547                           |   |                                |                       |                            |