

# Form 604

Corporations Act 2001

Section 671B

## Notice of change of interests of substantial holder

To Company Name/Scheme St Barbara Ltd  
ACN/ARSN ACN 009 165 066

### 1. Details of substantial holder (1)

Name Credit Suisse Holdings (Australia) Limited (on behalf of Credit Suisse Group AG and its affiliates)  
ACN/ARSN (if applicable) 008 496 713  
There was a change in the interests of the substantial holder on 07-Mar-2019  
The previous notice was given to the company on 08-Mar-2019  
The previous notice was dated 08-Mar-2019

### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous Notice		Present Notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Common Stock	65,496,022	12.49%	52,988,845	10.11%

### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
See Annexure "A"					

### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
See Annexure "B"					

5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	


6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
See Annexure "C"	

\_\_\_\_\_

Signature

print name	Winston Loke	capacity	Authorised Signatory
sign here		date	12-Mar-2019

---

## Annexure "A"

### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
06-Mar-2019	Credit Suisse Equities (Australia) Limited	Acquired on market	106,581.23 AUD	25,414 Common Stock	25,414
06-Mar-2019	Credit Suisse Equities (Australia) Limited	Acquired on market	33,630.40 AUD	8,000 Common Stock	8,000
06-Mar-2019	Credit Suisse Equities (Australia) Limited	Acquired on market	673.08 AUD	161 Common Stock	161
06-Mar-2019	Credit Suisse Equities (Australia) Limited	Acquired on market	56,296.84 AUD	13,436 Common Stock	13,436
06-Mar-2019	Credit Suisse Equities (Australia) Limited	Acquired on market	207,616.34 AUD	49,567 Common Stock	49,567
06-Mar-2019	Credit Suisse Equities (Australia) Limited	Acquired on market	3,356.54 AUD	803 Common Stock	803
06-Mar-2019	Credit Suisse Equities (Australia) Limited	Disposed on market	567.80 AUD	-136 Common Stock	-136
06-Mar-2019	Credit Suisse Equities (Australia) Limited	Disposed on market	31,195.34 AUD	-7,431 Common Stock	-7,431
06-Mar-2019	Credit Suisse Equities (Australia) Limited	Disposed on market	47,584.35 AUD	-11,185 Common Stock	-11,185
06-Mar-2019	Credit Suisse Equities (Australia) Limited	Disposed on market	19,327.03 AUD	-4,595 Common Stock	-4,595
06-Mar-2019	Credit Suisse Equities (Australia) Limited	Disposed on market	123,253.20 AUD	-29,346 Common Stock	-29,346
07-Mar-2019	Credit Suisse Equities (Australia) Limited	Acquired on market	79,723.05 AUD	19,099 Common Stock	19,099
07-Mar-2019	Credit Suisse Equities (Australia) Limited	Acquired on market	152,528.84 AUD	36,561 Common Stock	36,561
07-Mar-2019	Credit Suisse Equities (Australia) Limited	Acquired on market	11,499.88 AUD	2,754 Common Stock	2,754
07-Mar-2019	Credit Suisse Equities (Australia) Limited	Acquired on market	26,917.60 AUD	6,439 Common Stock	6,439
07-Mar-2019	Credit Suisse Equities (Australia) Limited	Acquired on market	160,164.60 AUD	38,349 Common Stock	38,349
07-Mar-2019	Credit Suisse Equities (Australia) Limited	Disposed on market	11,496.05 AUD	-2,766 Common Stock	-2,766
07-Mar-2019	Credit Suisse Equities (Australia) Limited	Disposed on market	30,651.94 AUD	-7,333 Common Stock	-7,333
07-Mar-2019	Credit Suisse Equities (Australia) Limited	Disposed on market	83,966.00 AUD	-20,000 Common Stock	-20,000
07-Mar-2019	Credit Suisse Securities (USA) LLC	Stock returned under OSLA	Refer to Annexure D of Notice	-1,000,000 Common Stock	-1,000,000
07-Mar-2019	Credit Suisse Securities (USA) LLC	Stock returned under OSLA	Refer to Annexure D of Notice	-186,463 Common Stock	-186,463
07-Mar-2019	Credit Suisse Securities (USA) LLC	Stock returned under OSLA	Refer to Annexure D of Notice	-8,190,999 Common Stock	-8,190,999
07-Mar-2019	Credit Suisse Securities (Europe) Limited	Stock returned under OSLA	Refer to Annexure D of Notice	-1,500,000 Common Stock	-1,500,000
07-Mar-2019	Credit Suisse Securities (Europe) Limited	Stock returned under OSLA	Refer to Annexure D of Notice	-847,506 Common Stock	-847,506
07-Mar-2019	Credit Suisse Securities (Europe) Limited	Stock returned under OSLA	Refer to Annexure D of Notice	-900,000 Common Stock	-900,000

This is Annexure "A" referred to in the Form 604 "Notice of change of interests of substantial holder"

**Signature**

Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name

Winston Loke

capacity

Authorised Signatory

**sign here**

date

12-Mar-2019



## Annexure "B"

### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	CS Fourth Nominees Pty Limited (ACN 069 126 432)	Credit Suisse Equities (Australia) Limited	Voting rights and right to dispose	1,818,975 Common Stock	1,818,975
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	CS Fourth Nominees Pty Limited (ACN 069 126 432)	Credit Suisse Equities (Australia) Limited	Holder of securities subject to an obligation to return under a securities lending agreement	5,000,000 Common Stock	5,000,000
Credit Suisse Funds AG	HSBC Custody Nominees (Australia) Limited (ACN 003 094 568)	Credit Suisse Funds AG	Voting rights and right to dispose	192,851 Common Stock	192,851
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	CS Third Nominees Pty Limited (ACN 007 053 849)	Credit Suisse Securities (Europe) Limited	Holder of securities subject to an obligation to return under a securities lending agreement	1,763,482 Common Stock	1,763,482
Credit Suisse Securities (USA) LLC	CS Third Nominees Pty Limited (ACN 007 053 849)	Credit Suisse Securities (USA) LLC	Holder of securities subject to an obligation to return under a securities lending agreement	44,213,537 Common Stock	44,213,537

This is Annexure "B" referred to in the Form 604 "Notice of change of interests of substantial holder"

~~Signature of the Substantial Holder~~

**Signature**

Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name Winston Loke

capacity Authorised Signatory

**sign here**

date 12-Mar-2019



## Annexure "C"

### 6. Addresses

The addresses of persons named in this form are as follows:


Name	Address
Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
CS Third Nominees Pty Limited (ACN 007 053 849)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Fourth Nominees Pty Limited (ACN 069 126 432)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Securities (USA) LLC	11 Madison Avenue, New York, NY 10010
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	One Cabot Square, London, E14 4QJ
Credit Suisse Funds AG	Uetibergstrasse 231, Zurich, 8045 Switzerland

This is Annexure "C" referred to in the Form 604 "Notice of change of interests of substantial holder"

---

### Signature

Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name	Winston Loke	capacity	Authorised Signatory
sign here		date	12-Mar-2019

---

## Annexure "D"

This is Annexure "D" referred to in the Form 604 "Notice of change of interests of substantial holder"

---

### Signature

Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name Winston Loke

capacity Authorised Signatory

sign here

date 12-Mar-2019



---

**Prescribed information****Schedule**

Type of agreement	Overseas Securities Borrowing Agreement
Parties to agreement	Credit Suisse Securities (Europe) and The Northern Trust Company
Transfer date	7-Mar-2019
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	Yes
If yes, detail	Borrower must use best endeavours to vote in accordance with the Lender's instructions until completion of the corporate action.
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will.
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.



**Prescribed information****Schedule**

Type of agreement	Overseas Securities Lending Agreement
Parties to agreement	Credit Suisse Securities (Europe) Limited and HSBC Bank
Transfer date	7-Mar-2019
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

**Prescribed information****Schedule**

Type of agreement	Overseas Securities Lending Agreement
Parties to agreement	Credit Suisse (Europe) Limited and Bank of New York Mellon
Transfer date	7-Mar-2019
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

**Prescribed information****Schedule**

Type of agreement	Overseas Securities Lending Agreement
Parties to agreement	Credit Suisse Securities (USA) LLC Limited and BlackRock
Transfer date	7-Mar-2019
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	With 4 business days prior notice, unless Borrower and Lender agree to the contrary.
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

**Prescribed information****Schedule**

Type of agreement	Overseas Securities Lending Agreement
Parties to agreement	Credit Suisse Securities (USA) LLC and Deutsche Bank AG
Transfer date	7-Mar-2019
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

**Prescribed information****Schedule**

Type of agreement	Overseas Securities Lending Agreement
Parties to agreement	Credit Suisse Securities (USA) LLC Limited and Citibank N.A.
Transfer date	7-Mar-2019
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.