



**SIX  
SIGMA  
METALS**

18<sup>TH</sup> April 2019

Jon Son Chow  
Adviser Listings Compliance  
ASX Limited

*By E-mail*

## **RESPONSE TO PRICE QUERY**

We refer to your letter dated 18 April 2019 querying the change in trading price of Six Sigma Metals Limited (ACN 122 995 073) (ASX:SI6) (**SI6** or **Company**) shares from a closing price of \$0.003 on Wednesday, 17 April 2019 to an intra-day high of \$0.005 today, Thursday, 18 April 2019 (**Letter**).

In response to your questions outlined in the Letter, we provide the following information (using your numbering):

1. The Company is not aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in the Company's securities.
2. Not applicable.
3. The Company is not aware of any other explanation for the recent trading in its securities however, the Company notes, as announced on 5 April 2019, SI6 intended to place an on-market order to sell shares from the Unmarketable Share Sale Facility. This order was completed today.
4. The Company confirms it is in compliance with the Listing Rules, in particular Listing Rule 3.1.
5. The Company confirms its responses to the above questions have been authorised and approved by its Board.

Please do not hesitate to contact me if further information is required.

Yours sincerely

Mauro Piccini  
Company Secretary

### **Six Sigma Metals**

#### **Corporate Details**

ASX Code: SI6

#### **Directors**

##### **Steve Groves**

Non-Executive Director

##### **Joshua Letcher**

Non-Executive Director

##### **Eddie King**

Non-Executive Director

#### **Contact**

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18 April 2019

Mr Mauro Piccini  
Company Secretary  
Six Sigma Metals Limited  
Suite 2, Level 1  
1 Altona Street  
West Perth WA 6005

By email: mp@miradorcorporate.com

Dear Mr Piccini

### **Six Sigma Metals Limited ('SI6'): Price Query**

We note the change in the price of SI6's securities from a low of \$0.003 on 17 April 2019 to an intra-day high of \$0.005 today, 28 April 2019

We also note the significant increase in the volume of SI6's securities traded today.

### **Request for Information**

In light of this, ASX asks SI6 to respond separately to each of the following questions and requests for information:

1. Is SI6 aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?
2. If the answer to question 1 is "yes".
  - (a) Is SI6 relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in SI6's securities would suggest to ASX that such information may have ceased to be confidential and therefore SI6 may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
  - (b) Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
  - (c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?
3. If the answer to question 1 is "no", is there any other explanation that SI6 may have for the recent trading in its securities?
4. Please confirm that SI6 is complying with the Listing Rules and, in particular, Listing Rule 3.1.
5. Please confirm that SI6's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of SI6 with delegated authority from the board to respond to ASX on disclosure matters.

### **When and where to send your response**

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **4.00 PM AEST Today**. If we do not have your response by then, ASX will likely suspend trading in SI6's securities under Listing Rule 17.3. You should note that if the information requested by

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this letter is information required to be given to ASX under Listing Rule 3.1 and it does not fall within the exceptions mentioned in Listing Rule 3.1A, SI6's obligation is to disclose the information "immediately". This may require the information to be disclosed before the deadline set out in the previous paragraph.

ASX reserves the right to release a copy of this letter and your response on the ASX Market Announcements Platform under Listing Rule 18.7A. Accordingly, your response should be in a form suitable for release to the market. Your response should be sent to me by e-mail at [ListingsComplianceMelbourne@asx.com.au](mailto:ListingsComplianceMelbourne@asx.com.au). It should not be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

### **Listing Rules 3.1 and 3.1A**

Listing Rule 3.1 requires a listed entity to give ASX immediately any information concerning it that a reasonable person would expect to have a material effect on the price or value of the entity's securities. Exceptions to this requirement are set out in Listing Rule 3.1A. In responding to this letter, you should have regard to SI6's obligations under Listing Rules 3.1 and 3.1A and also to Guidance *Note 8 Continuous Disclosure: Listing Rules 3.1 – 3.1B*. It should be noted that SI6's obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

### **Trading halt**

If you are unable to respond to this letter by the time specified above, or if the answer to question 1 is "yes" and an announcement cannot be made immediately, you should discuss with us whether it is appropriate to request a trading halt in SI6's securities under Listing Rule 17.1. If you wish a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We may require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted.

You can find further information about trading halts in Guidance Note 16 *Trading Halts & Voluntary Suspensions*.

### **Suspension**

If you are unable to respond to this letter by the time specified above ASX will likely suspend trading in SI6's securities under Listing Rule 17.3.

### **Enquiries**

If you have any queries or concerns about any of the above, please contact me immediately.

Kind regards

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**Jon Chow**

Adviser, Listings Compliance (Melbourne)