

# Form 604

Corporations Act 2001

Section 671B

## Notice of change of interests of substantial holder

To Company Name/Scheme ORICA LTD

ACN/ARSN ACN 004 145 868

### 1. Details of substantial holder (1)

Name Harris Associates L.P. ("Harris"), and its related bodies corporate

ACN/ARSN (if applicable) \_\_\_\_\_

There was a change in the interests of the substantial holder on 05-Jul-2019

The previous notice was given to the company on 04-Apr-2019

The previous notice was dated 04-Apr-2019

### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous Notice		Present Notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Ordinary Shares	40,530,113	10.67%	36,365,953	9.57%

### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
See Annexure "A"					

### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
See Annexure "B"					

## 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	


## 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Harris Associates L.P.	111 S. Wacker Drive, Suite 4600, Chicago IL 60606, USA
Harris Associates Investment Trust	111 S. Wacker Drive, Suite 4600, Chicago IL 60606, USA

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## Signature

print name	Sarah Eng	capacity	Senior Compliance Officer
sign here		date	09-Jul-2019

## Annexure "A"

### 3. Changes in relevant interests (from 03-Apr-2019 to 05-Jul-2019 )

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
03-Apr-2019	Harris Associates L.P.	Disposed on market	-245,896.68 AUD	-13,800 Ordinary Shares	-13,800
04-Apr-2019	Harris Associates L.P.	Disposed on market	-318,882.96 AUD	-17,902 Ordinary Shares	-17,902
05-Apr-2019	Harris Associates L.P.	Disposed on market	-614,482.00 AUD	-34,100 Ordinary Shares	-34,100
11-Apr-2019	Harris Associates L.P.	Acquired on market	1,173,368.00 AUD	64,400 Ordinary Shares	64,400
15-Apr-2019	Harris Associates L.P.	Acquired on market	489,534.50 AUD	26,500 Ordinary Shares	26,500
16-Apr-2019	Harris Associates L.P.	Acquired on market	333,106.47 AUD	17,900 Ordinary Shares	17,900
06-May-2019	Harris Associates L.P.	Acquired on market	250,971.68 AUD	13,600 Ordinary Shares	13,600
13-May-2019	Harris Associates L.P.	Disposed on market	-332,367.00 AUD	-17,500 Ordinary Shares	-17,500
16-May-2019	Harris Associates L.P.	Disposed on market	-615,213.90 AUD	-31,500 Ordinary Shares	-31,500
20-May-2019	Harris Associates L.P.	Disposed on market	-1,206,252.00 AUD	-60,000 Ordinary Shares	-60,000
24-May-2019	Harris Associates L.P.	Disposed on market	-805,583.52 AUD	-39,200 Ordinary Shares	-39,200
29-May-2019	Harris Associates L.P.	Disposed on market	-606,183.94 AUD	-29,401 Ordinary Shares	-29,401
30-May-2019	Harris Associates L.P.	Disposed on market	-216,976.97 AUD	-10,530 Ordinary Shares	-10,530
31-May-2019	Harris Associates L.P.	Acquired on market	1,506,114.00 AUD	72,900 Ordinary Shares	72,900
31-May-2019	Harris Associates L.P.	Disposed on market	-1,506,114.00 AUD	-72,900 Ordinary Shares	-72,900
31-May-2019	Harris Associates L.P.	Disposed on market	-2,459,480.66 AUD	-118,300 Ordinary Shares	-118,300
03-Jun-2019	Harris Associates L.P.	Disposed on market	-2,367,142.40 AUD	-116,000 Ordinary Shares	-116,000
04-Jun-2019	Harris Associates L.P.	Disposed on market	-25,732.09 AUD	-1,247 Ordinary Shares	-1,247
04-Jun-2019	Harris Associates L.P.	Disposed on market	-1,023,590.24 AUD	-49,600 Ordinary Shares	-49,600
04-Jun-2019	Harris Associates L.P.	Disposed on market	-118,725.81 AUD	-5,753 Ordinary Shares	-5,753
05-Jun-2019	Harris Associates L.P.	Disposed on market	-57,788.38 AUD	-2,833 Ordinary Shares	-2,833
05-Jun-2019	Harris Associates L.P.	Disposed on market	-13,627.93 AUD	-668 Ordinary Shares	-668
05-Jun-2019	Harris Associates L.P.	Disposed on market	-630,397.08 AUD	-30,900 Ordinary Shares	-30,900
05-Jun-2019	Harris Associates L.P.	Disposed on market	-13,615.74 AUD	-667 Ordinary Shares	-667
06-Jun-2019	Harris Associates L.P.	Disposed on market	-25,608.78 AUD	-1,255 Ordinary Shares	-1,255
06-Jun-2019	Harris Associates L.P.	Disposed on market	-670,177.84 AUD	-32,843 Ordinary Shares	-32,843
07-Jun-2019	Harris Associates L.P.	Disposed on market	-12,599.23 AUD	-616 Ordinary Shares	-616
07-Jun-2019	Harris Associates L.P.	Disposed on market	-998,833.52 AUD	-48,800 Ordinary Shares	-48,800
07-Jun-2019	Harris Associates L.P.	Disposed on market	-10,897.86 AUD	-532 Ordinary Shares	-532
11-Jun-2019	Harris Associates L.P.	Disposed on market	-25,337.40 AUD	-1,238 Ordinary Shares	-1,238

11-Jun-2019	Harris Associates L.P.	Disposed on market	-570,094.36 AUD	-27,855 Ordinary Shares	-27,855
12-Jun-2019	Harris Associates L.P.	Disposed on market	-231,424.13 AUD	-11,232 Ordinary Shares	-11,232
12-Jun-2019	Harris Associates L.P.	Disposed on market	-79,223.92 AUD	-3,845 Ordinary Shares	-3,845
12-Jun-2019	Harris Associates L.P.	Disposed on market	-2,282,916.79 AUD	-110,797 Ordinary Shares	-110,797
12-Jun-2019	Harris Associates L.P.	Disposed on market	-90,005.70 AUD	-4,368 Ordinary Shares	-4,368
13-Jun-2019	Harris Associates L.P.	Disposed on market	-472,644.00 AUD	-22,800 Ordinary Shares	-22,800
13-Jun-2019	Harris Associates L.P.	Disposed on market	-46,626.26 AUD	-2,232 Ordinary Shares	-2,232
13-Jun-2019	Harris Associates L.P.	Disposed on market	-20,117.46 AUD	-963 Ordinary Shares	-963
13-Jun-2019	Harris Associates L.P.	Disposed on market	-51,081.92 AUD	-2,445 Ordinary Shares	-2,445
13-Jun-2019	Harris Associates L.P.	Disposed on market	-2,968,949.61 AUD	-142,106 Ordinary Shares	-142,106
13-Jun-2019	Harris Associates L.P.	Disposed on market	-78,728.22 AUD	-3,768 Ordinary Shares	-3,768
13-Jun-2019	Harris Associates L.P.	Disposed on market	-7,042.76 AUD	-337 Ordinary Shares	-337
14-Jun-2019	Harris Associates L.P.	Disposed on market	-21,559.51 AUD	-1,032 Ordinary Shares	-1,032
14-Jun-2019	Harris Associates L.P.	Disposed on market	-937,981.20 AUD	-44,887 Ordinary Shares	-44,887
14-Jun-2019	Harris Associates L.P.	Disposed on market	-103,819.28 AUD	-4,968 Ordinary Shares	-4,968
17-Jun-2019	Harris Associates L.P.	Disposed on market	-31,887.66 AUD	-1,532 Ordinary Shares	-1,532
17-Jun-2019	Harris Associates L.P.	Disposed on market	-7,390.39 AUD	-355 Ordinary Shares	-355
17-Jun-2019	Harris Associates L.P.	Disposed on market	-623,231.46 AUD	-29,937 Ordinary Shares	-29,937
17-Jun-2019	Harris Associates L.P.	Disposed on market	-51,384.50 AUD	-2,468 Ordinary Shares	-2,468
18-Jun-2019	Harris Associates L.P.	Disposed on market	-3,032.48 AUD	-148 Ordinary Shares	-148
18-Jun-2019	Harris Associates L.P.	Disposed on market	-17,468.56 AUD	-852 Ordinary Shares	-852
18-Jun-2019	Harris Associates L.P.	Disposed on market	-1,447,518.86 AUD	-70,600 Ordinary Shares	-70,600
18-Jun-2019	Harris Associates L.P.	Disposed on market	-9,269.34 AUD	-452 Ordinary Shares	-452
19-Jun-2019	Harris Associates L.P.	Disposed on market	-1,450.46 AUD	-71 Ordinary Shares	-71
19-Jun-2019	Harris Associates L.P.	Disposed on market	-3,040.29 AUD	-148 Ordinary Shares	-148
19-Jun-2019	Harris Associates L.P.	Disposed on market	-86,987.07 AUD	-4,232 Ordinary Shares	-4,232
19-Jun-2019	Harris Associates L.P.	Disposed on market	-917,126.50 AUD	-44,616 Ordinary Shares	-44,616
19-Jun-2019	Harris Associates L.P.	Disposed on market	-36,348.49 AUD	-1,768 Ordinary Shares	-1,768
19-Jun-2019	Harris Associates L.P.	Disposed on market	-7,237.68 AUD	-352 Ordinary Shares	-352
19-Jun-2019	Harris Associates L.P.	Disposed on market	-7,902.49 AUD	-384 Ordinary Shares	-384
20-Jun-2019	Harris Associates L.P.	Disposed on market	-11,370.56 AUD	-548 Ordinary Shares	-548
20-Jun-2019	Harris Associates L.P.	Disposed on market	-94,046.25 AUD	-4,532 Ordinary Shares	-4,532
20-Jun-2019	Harris Associates L.P.	Disposed on market	-37,583.32 AUD	-1,811 Ordinary Shares	-1,811
20-Jun-2019	Harris Associates L.P.	Disposed on market	-1,268,002.19 AUD	-61,100 Ordinary Shares	-61,100
20-Jun-2019	Harris Associates L.P.	Disposed on market	-82,353.06 AUD	-3,968 Ordinary Shares	-3,968
20-Jun-2019	Harris Associates L.P.	Disposed on market	-1,081.15 AUD	-52 Ordinary Shares	-52
21-Jun-2019	Harris Associates L.P.	Disposed on market	-9,279.33 AUD	-448 Ordinary Shares	-448
21-Jun-2019	Harris Associates L.P.	Disposed on market	-1,248,341.63 AUD	-60,256 Ordinary Shares	-60,256
21-Jun-2019	Harris Associates L.P.	Disposed on market	-1,079.30 AUD	-52 Ordinary Shares	-52
24-Jun-2019	Harris Associates L.P.	Disposed on market	-5,061.46 AUD	-248 Ordinary Shares	-248

24-Jun-2019	Harris Associates L.P.	Disposed on market	-53,732.28 AUD	-2,632 Ordinary Shares	-2,632
24-Jun-2019	Harris Associates L.P.	Disposed on market	-18,130.30 AUD	-888 Ordinary Shares	-888
24-Jun-2019	Harris Associates L.P.	Disposed on market	-3,005,397.12 AUD	-147,200 Ordinary Shares	-147,200
24-Jun-2019	Harris Associates L.P.	Disposed on market	-3,105.36 AUD	-152 Ordinary Shares	-152
24-Jun-2019	Harris Associates L.P.	Disposed on market	-3,435.55 AUD	-168 Ordinary Shares	-168
25-Jun-2019	Harris Associates L.P.	Disposed on market	-21,378.81 AUD	-1,032 Ordinary Shares	-1,032
25-Jun-2019	Harris Associates L.P.	Disposed on market	-61,728.45 AUD	-2,979 Ordinary Shares	-2,979
25-Jun-2019	Harris Associates L.P.	Disposed on market	-1,709,507.25 AUD	-82,500 Ordinary Shares	-82,500
25-Jun-2019	Harris Associates L.P.	Disposed on market	-214,843.62 AUD	-10,368 Ordinary Shares	-10,368
26-Jun-2019	Harris Associates L.P.	Disposed on market	-19,443.48 AUD	-948 Ordinary Shares	-948
26-Jun-2019	Harris Associates L.P.	Disposed on market	-809,226.00 AUD	-39,455 Ordinary Shares	-39,455
26-Jun-2019	Harris Associates L.P.	Disposed on market	-689,931.18 AUD	-33,606 Ordinary Shares	-33,606
27-Jun-2019	Harris Associates L.P.	Disposed on market	-8,896.09 AUD	-432 Ordinary Shares	-432
27-Jun-2019	Harris Associates L.P.	Disposed on market	-36,636.58 AUD	-1,778 Ordinary Shares	-1,778
27-Jun-2019	Harris Associates L.P.	Disposed on market	-862,447.39 AUD	-41,855 Ordinary Shares	-41,855
27-Jun-2019	Harris Associates L.P.	Disposed on market	-11,709.49 AUD	-568 Ordinary Shares	-568
28-Jun-2019	Harris Associates L.P.	Disposed on market	-109,300.67 AUD	-5,332 Ordinary Shares	-5,332
28-Jun-2019	Harris Associates L.P.	Disposed on market	-41,922.50 AUD	-2,045 Ordinary Shares	-2,045
28-Jun-2019	Harris Associates L.P.	Disposed on market	-1,367,684.67 AUD	-66,716 Ordinary Shares	-66,716
28-Jun-2019	Harris Associates L.P.	Disposed on market	-79,299.80 AUD	-3,868 Ordinary Shares	-3,868
01-Jul-2019	Harris Associates L.P.	Disposed on market	-7,107.38 AUD	-348 Ordinary Shares	-348
01-Jul-2019	Harris Associates L.P.	Disposed on market	-15,035.82 AUD	-736 Ordinary Shares	-736
01-Jul-2019	Harris Associates L.P.	Disposed on market	-751,794.56 AUD	-36,800 Ordinary Shares	-36,800
01-Jul-2019	Harris Associates L.P.	Disposed on market	-3,107.20 AUD	-152 Ordinary Shares	-152
02-Jul-2019	Harris Associates L.P.	Disposed on market	-7,096.90 AUD	-348 Ordinary Shares	-348
02-Jul-2019	Harris Associates L.P.	Disposed on market	-192,345.25 AUD	-9,431 Ordinary Shares	-9,431
02-Jul-2019	Harris Associates L.P.	Disposed on market	-15,788.83 AUD	-774 Ordinary Shares	-774
02-Jul-2019	Harris Associates L.P.	Disposed on market	-365,143.89 AUD	-17,900 Ordinary Shares	-17,900
02-Jul-2019	Harris Associates L.P.	Disposed on market	-3,102.62 AUD	-152 Ordinary Shares	-152
03-Jul-2019	Harris Associates L.P.	Disposed on market	-186,742.12 AUD	-9,143 Ordinary Shares	-9,143
04-Jul-2019	Harris Associates L.P.	Disposed on market	-12,620.29 AUD	-610 Ordinary Shares	-610
04-Jul-2019	Harris Associates L.P.	Disposed on market	-728,256.32 AUD	-35,200 Ordinary Shares	-35,200
05-Jul-2019	Harris Associates L.P.	Disposed on market	-15,990.42 AUD	-775 Ordinary Shares	-775
05-Jul-2019	Harris Associates L.P.	Disposed on market	-1,589,280.00 AUD	-77,000 Ordinary Shares	-77,000
05-Jul-2019	Harris Associates L.P.	Disposed on market	-217,241.26 AUD	-10,525 Ordinary Shares	-10,525
05-Jul-2019	Harris Associates L.P.	Disposed on market	-5,611.27 AUD	-271 Ordinary Shares	-271
05-Jul-2019	Harris Associates L.P.	Disposed on market	-646,272.55 AUD	-31,212 Ordinary Shares	-31,212
03-Apr-2019	Harris Associates Investment Trust	Disposed on market	-131,922.25 AUD	-7,404 Ordinary Shares	-7,404
03-Apr-2019	Harris Associates Investment Trust	Disposed on market	-1,047,668.29 AUD	-58,796 Ordinary Shares	-58,796
04-Apr-2019	Harris Associates Investment Trust	Disposed on market	-1,521,525.21 AUD	-85,418 Ordinary Shares	-85,418

04-Jun-2019	Harris Associates Investment Trust	Disposed on market	-1,215,513.41 AUD	-58,900 Ordinary Shares	-58,900
05-Jun-2019	Harris Associates Investment Trust	Disposed on market	-657,357.80 AUD	-32,222 Ordinary Shares	-32,222
05-Jun-2019	Harris Associates Investment Trust	Disposed on market	-66,882.35 AUD	-3,278 Ordinary Shares	-3,278
06-Jun-2019	Harris Associates Investment Trust	Disposed on market	-706,030.30 AUD	-34,600 Ordinary Shares	-34,600
07-Jun-2019	Harris Associates Investment Trust	Disposed on market	-1,031,582.16 AUD	-50,400 Ordinary Shares	-50,400
11-Jun-2019	Harris Associates Investment Trust	Disposed on market	-595,575.15 AUD	-29,100 Ordinary Shares	-29,100
12-Jun-2019	Harris Associates Investment Trust	Disposed on market	-1,469,539.88 AUD	-71,322 Ordinary Shares	-71,322
12-Jun-2019	Harris Associates Investment Trust	Disposed on market	-1,215,218.10 AUD	-58,978 Ordinary Shares	-58,978
13-Jun-2019	Harris Associates Investment Trust	Disposed on market	-1,832,723.11 AUD	-87,722 Ordinary Shares	-87,722
13-Jun-2019	Harris Associates Investment Trust	Disposed on market	-1,261,907.00 AUD	-60,400 Ordinary Shares	-60,400
13-Jun-2019	Harris Associates Investment Trust	Disposed on market	-18,351.25 AUD	-878 Ordinary Shares	-878
14-Jun-2019	Harris Associates Investment Trust	Disposed on market	-1,040,645.70 AUD	-49,800 Ordinary Shares	-49,800
17-Jun-2019	Harris Associates Investment Trust	Disposed on market	-701,569.97 AUD	-33,700 Ordinary Shares	-33,700
18-Jun-2019	Harris Associates Investment Trust	Disposed on market	-1,106,992.58 AUD	-53,992 Ordinary Shares	-53,992
18-Jun-2019	Harris Associates Investment Trust	Disposed on market	-342,572.48 AUD	-16,708 Ordinary Shares	-16,708
19-Jun-2019	Harris Associates Investment Trust	Disposed on market	-1,038,078.00 AUD	-50,500 Ordinary Shares	-50,500
20-Jun-2019	Harris Associates Investment Trust	Disposed on market	-1,465,154.74 AUD	-70,600 Ordinary Shares	-70,600
21-Jun-2019	Harris Associates Investment Trust	Disposed on market	-1,232,679.35 AUD	-59,500 Ordinary Shares	-59,500
24-Jun-2019	Harris Associates Investment Trust	Disposed on market	-169,781.12 AUD	-8,316 Ordinary Shares	-8,316
24-Jun-2019	Harris Associates Investment Trust	Disposed on market	-1,501,282.43 AUD	-73,531 Ordinary Shares	-73,531
24-Jun-2019	Harris Associates Investment Trust	Disposed on market	-1,371,076.23 AUD	-67,153 Ordinary Shares	-67,153
25-Jun-2019	Harris Associates Investment Trust	Disposed on market	-84,866.66 AUD	-4,096 Ordinary Shares	-4,096
25-Jun-2019	Harris Associates Investment Trust	Disposed on market	-1,894,009.71 AUD	-91,404 Ordinary Shares	-91,404
26-Jun-2019	Harris Associates Investment Trust	Acquired on market	689,931.18 AUD	33,606 Ordinary Shares	33,606
26-Jun-2019	Harris Associates Investment Trust	Disposed on market	-814,250.97 AUD	-39,700 Ordinary Shares	-39,700
27-Jun-2019	Harris Associates Investment Trust	Disposed on market	-904,585.84 AUD	-43,900 Ordinary Shares	-43,900
28-Jun-2019	Harris Associates Investment Trust	Disposed on market	-1,572,357.67 AUD	-76,700 Ordinary Shares	-76,700
01-Jul-2019	Harris Associates Investment Trust	Disposed on market	-764,052.08 AUD	-37,400 Ordinary Shares	-37,400
02-Jul-2019	Harris Associates Investment Trust	Disposed on market	-1,044,224.00 AUD	-51,200 Ordinary Shares	-51,200
02-Jul-2019	Harris Associates Investment Trust	Disposed on market	-938,267.80 AUD	-45,996 Ordinary Shares	-45,996
02-Jul-2019	Harris Associates Investment Trust	Disposed on market	-697,737.66 AUD	-34,204 Ordinary Shares	-34,204
03-Jul-2019	Harris Associates Investment Trust	Disposed on market	-857,833.20 AUD	-42,000 Ordinary Shares	-42,000
04-Jul-2019	Harris Associates Investment Trust	Disposed on market	-998,839.40 AUD	-48,279 Ordinary Shares	-48,279
04-Jul-2019	Harris Associates Investment Trust	Disposed on market	-788,602.61 AUD	-38,117 Ordinary Shares	-38,117

04-Jul-2019	Harris Associates Investment Trust	Disposed on market	-223,442.28 AUD	-10,800 Ordinary Shares	-10,800
04-Jul-2019	Harris Associates Investment Trust	Disposed on market	-1,390,397.00 AUD	-67,204 Ordinary Shares	-67,204
05-Jul-2019	Harris Associates Investment Trust	Disposed on market	-3,997,866.07 AUD	-193,696 Ordinary Shares	-193,696
05-Jul-2019	Harris Associates Investment Trust	Disposed on market	-4,499,602.56 AUD	-218,004 Ordinary Shares	-218,004
05-Jul-2019	Harris Associates Investment Trust	Disposed on market	-2,621,271.46 AUD	-126,596 Ordinary Shares	-126,596
05-Jul-2019	Harris Associates Investment Trust	Disposed on market	-248,560.83 AUD	-12,004 Ordinary Shares	-12,004

This is Annexure "A" referred to in the Form 604 "Notice of change of interests of substantial holder"

**Signature**

Harris Associates L.P.

print name

Sarah Eng

capacity

Senior Compliance Officer

sign here

date

09-Jul-2019

## Annexure "B"

### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Harris Associates L.P.	Unknown	Harris Associates L.P.	Voting rights and right to dispose	7,679,840 Ordinary Shares	7,679,840
Harris Associates L.P.	Unknown	Harris Associates L.P.	Right to dispose	272,828 Ordinary Shares	272,828
Harris Associates Investment Trust	Unknown	Harris Associates Investment Trust	Voting rights and right to dispose	28,413,285 Ordinary Shares	28,413,285

This is Annexure "B" referred to in the Form 604 "Notice of change of interests of substantial holder"

### **Signature**

Harris Associates L.P.

print name

Sarah Eng

capacity

Senior Compliance Officer

sign here



date

09-Jul-2019