

PAGE NUMBER: 1
REPORT DATE: 07/11/2019
REPORT TIME: 08.37.34

## **CRONOS AUSTRALIA LIMITED**

## FIRST 20 INVESTORS REPORT THURSDAY 7 NOVEMBER 2019

| Rank<br>—— | Investor  | Current<br>Balance | % Issued<br>Capital |  |  |
|------------|---|--------------------|---------------------|--|--|
| Sec. G     | Sec. Group: FPO - ORDINARY SHARES   |                    |                     |  |  |
| 1          | CRONOS GLOBAL HOLDINGS INC.   | 40,000,000         | 31.07%              |  |  |
| 2          | NEWSOUTHERN INVESTMENT<br>HOLDINGS 1 PTY. LTD.  | 20,000,000         | 15.53%              |  |  |
| 3          | NEWSOUTHERN INVESTMENT<br>HOLDINGS A PTY. LTD.  | 20,000,000         | 15.53%              |  |  |
| 4          | CITICORP NOMINEES PTY LIMITED   | 4,495,000          | 3.49%               |  |  |
| 5          | HSBC CUSTODY NOMINEES<br>(AUSTRALIA) LIMITED - A/C 2                                      | 4,357,300          | 3.38%               |  |  |
| 6          | HSBC CUSTODY NOMINEES<br>(AUSTRALIA) LIMITED  | 3,700,000          | 2.87%               |  |  |
| 7          | NATIONAL NOMINEES LIMITED   | 2,462,500          | 1.91%               |  |  |
| 8          | ACCBELL NOMINEES PTY LTD  | 2,435,610          | 1.89%               |  |  |
| 9          | CS FOURTH NOMINEES PTY LIMITED < HSBC CUST NOM AU LTD 11 A/C>                             | 2,067,000          | 1.61%               |  |  |
| 10         | BERGEN GLOBAL OPPORTUNITY FUND<br>LP  | 1,700,000          | 1.32%               |  |  |
| 11         | HSBC CUSTODY NOMINEES<br>(AUSTRALIA) LIMITED-GSCO ECA                                     | 1,628,400          | 1.26%               |  |  |
| 12         | BNP PARIBAS NOMINEES PTY LTD <ioof drp="" insmt="" ltd="" mgmt=""></ioof>                 | 787,500            | .61%                |  |  |
| 13         | MORGAN STANLEY AUSTRALIA<br>SECURITIES (NOMINEE) PTY<br>LIMITED <no 1="" account=""></no> | 771,600            | .60%                |  |  |
| 14         | CS THIRD NOMINEES PTY LIMITED < HSBC CUST NOM AU LTD 13 A/C>                              | 690,000            | .54%                |  |  |
| 15         | SEASCAPE AVENUE PTY. LTD.   | 625,000            | .49%                |  |  |



PAGE NUMBER: 2 REPORT DATE: 07/11/2019 REPORT TIME: 08.37.34

**CRONOS AUSTRALIA LIMITED** 

## FIRST 20 INVESTORS REPORT THURSDAY 7 NOVEMBER 2019

| Rank | Investor   | Current<br>Balance | % Issued Capital       |
|------|--|--------------------|------------------------|
| 16   | BERGER INVESTMENTS LLC   | 600,000            | .47%                   |
| 17   | DJT GROUP PTY LTD  | 600,000            | .47%                   |
| 18   | EAGLE'S VIEW PARTNERS LTD  | 600,000            | .47%                   |
| 19   | MR RONGXIN GAN <grx a="" c="" investment=""></grx>                                     | 570,000            | .44%                   |
| 20   | RICHMOND BRIDGE INVESTMENT PTY LTD <richmond a="" bridge="" c="" invest=""></richmond> | 500,000            | .39%                   |
|      | TOTAL FOR TOP 20:  | 108,589,910        | Investors<br>20 84.34% |

| Investors | TOTAL IN THIS REPORT: | 108,589,910 | 20 | 84.34% | TOTAL OTHER INVESTORS: | 20,160,090 | 465 | 15.66% | GRAND TOTAL: | 128,750,000 | 485 | 100.00%

end of report