

**Form 603**  
**Corporations Act 2001**  
**Section 671B**  
**Notice of initial substantial holder**

**To: Company Name/Scheme:** Wellcom Group Limited

**ACN/ARSN:** 114 312 542

**1. Details of substantial holder**

**Name** Mitsubishi UFJ Financial Group, Inc.

The holder became a substantial holder on: **14 November 2019**

The holder became aware on: **18 November 2019**

**2. Details of voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate had a relevant interest in on the date the substantial holder became a substantial holder are as follows:

Class of securities	Number of securities	Person's votes	Voting power (%)
Fully paid ordinary shares	39,229,356	39,229,356	6.77%

**3. Details of relevant interests**

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest	Class and number of securities
Mitsubishi UFJ Financial Group, Inc	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	38,160 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	5,174 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	3,656 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc	Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	1,617,176 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc	Relevant interest in securities that First Sentier Investors Holdings Pty Limited has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of 100% in First Sentier Investors Holdings Pty Limited.	990,678 Fully paid ordinary shares

**4. Details of present registered holders**

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder	Class and number of securities
Mitsubishi UFJ Financial Group, Inc	HSBC Custody Nominees (Australia) Limited	Not applicable	43,334 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc	Morgan Stanley Australia Securities (Nominee) Pty Limited	Not applicable	1,620,832 Fully paid ordinary shares
Mitsubishi UFJ Financial Group, Inc	Citicorp Nominees Pty Limited (Australia)	Not applicable	990,678 Fully paid ordinary shares

#### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration		Class and number of securities
		Cash	Non-cash	
See annexure B to this notice				

#### 6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
See annexure A to this notice	

#### 7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Mitsubishi UFJ Financial Group, Inc.	2-7-1, Marunouchi, Chiyoda-ku, Tokyo 100-8330, Japan

#### 8. Signature



Dated 19 November 2019

Ryuichiro Sakuma Authorised signatory

## Annexure A

This is annexure A of 5 pages referred to in Form 603, Notice of initial substantial holder dated 19 November 2019

*R. Sakuma*

Ryuichiro Sakuma | Authorised signatory

Dated 19 November 2019

### SCHEDULE

MUFG Bank, Ltd.  
Mitsubishi UFJ Trust and Banking Corporation  
Mitsubishi UFJ Securities Holdings Co., Ltd.  
MU Business Engineering, Ltd.  
The Mitsubishi UFJ Factors Limited  
Mitsubishi UFJ Jinji Service Co., Ltd.  
MU Techno-service Co., Ltd.  
Tokyo Credit Service, Ltd.  
Tokyo Associates Finance Corp.  
MU Business Service Co., Ltd.  
Mitsubishi UFJ Loan Business Co., Ltd.  
Mitsubishi UFJ Information Technology, Ltd.  
MU Center Service Tokyo Co., Ltd.  
MU Center Service Nagoya Co., Ltd.  
MU Center Service Osaka Co., Ltd.  
MU Business Aid Co., Ltd.  
MU Property Reserch Company Limited  
Mitsubishi UFJ Home Loan CREDIT CO., LTD.  
The Diamond Home Credit Company Limited  
Mitsubishi UFJ Reserch & Consulting Co., Ltd.  
MU Frontier Servicer Co., Ltd.  
Otemachi Guarantee Co., Ltd.  
GD Holdings Company Limited  
MU Loan Administration Support Co., Ltd.  
MU Communications Co., Ltd.  
Japan Electronic Monetary Claim Organization  
MU Business Partner Co., Ltd.  
MUT Business Outsourcing Co., Ltd.  
Mezzanine Solution II Limited Partnership  
Mezzanine Solution III Limited Partnership  
Mitsubishi UFJ Capital Co., Ltd.  
Mitsubishi UFJ Capital III, Limited Partnership  
Mitsubishi UFJ Capital IV, Limited Partnership  
Mitsubishi UFJ Capital V, Limited Partnership  
Mitsubishi UFJ Capital VI, Limited Partnership  
Mitsubishi UFJ Capital VII, Limited Partnership  
Mitsubishi UFJ Life Science 1, Limited Partnership  
Mitsubishi UFJ Life Science 2, Limited Partnership  
Tohoku Senary Industry Support, Limited Partnership  
OiDE Fund Investment Limited Partnership  
Mitsubishi UFJ Personal Financial Advisers Co., Ltd.  
Mitsubishi UFJ Financial Partners Co., Ltd.  
The Mitsubishi Asset Brains Company, Limited  
BOT Lease Co., Ltd.  
The Chukyo Bank, Ltd.  
Nippon Mutual Housing Loan Co., Ltd.  
JM Real Estate Co., Ltd.  
Jibun Bank Corporation  
JACCS CO., LTD.  
JALCARD Inc.  
Yume Shokei Fund No.2  
Yume Shokei Fund No.3  
Marunouchi Capital Fund II Limited Partnership  
M·U·Trust Sougou Kanri Co., Ltd.  
Mitsubishi UFJ Trust Business Co., Ltd.  
Ryoshin Data Co., Ltd.  
Mitsubishi UFJ Trust Systems Co., Ltd.  
Mitsubishi UFJ Trust Investment Technology Institute Co., Ltd.  
Mitsubishi UFJ Trust Hosyo Co., Ltd.  
M·U·Trust·Apple Planning Company, Ltd.

Mitsubishi UFJ Real Estate Services Co., Ltd.  
 Mitsubishi UFJ Daiko Business Co., Ltd.  
 The Master Trust Bank of Japan, Ltd.  
 MU Investments Co., Ltd.  
 Japan Shareholder Services Ltd.  
 Mitsubishi UFJ Kokusai Asset Management Co., Ltd.  
 Tokumei-Kumiai (CPI)  
 Tokumei-Kumiai (GII)  
 Tokumei-Kumiai (Gate Bridge 1)  
 Tokumei-Kumiai (CENTOMILIARDO)  
 Tokumei-Kumiai (MEET)  
 Tokumei-Kumiai (FIELD)  
 Ippan Shadan Houjin Leone  
 Jointly Managed Monetary Trust Fund (Fund Number:550001)  
 MU Trust Property Management Co., Ltd.  
 Tokumei-Kumiai (RICE)  
 TOKKINGAI (Fund Number.900338)  
 TOKKINGAI (Fund Number.900327)  
 TOKKINGAI (Fund Number.900344)  
 AMP Capital Investors KK  
 MM Partnership  
 MUS Information Systems Co., Ltd.  
 MUS Business Service Co., Ltd.  
 Mitsubishi UFJ Morgan Stanley Securities Co., Ltd.  
 Mitsubishi UFJ Morgan Stanley PB Securities Co., Ltd.  
 kabu.com Securities Co., Ltd.  
 Morgan Stanley MUFG Securities Co., Ltd.  
 Mitsubishi UFJ NICOS Co., Ltd.  
 Card Business Service Co.,Ltd.  
 MU NICOS Business Service Co., Ltd.  
 MU NICOS Credit Co.,Ltd.  
 Ryoshin DC Card Company Ltd.  
 JMS Co., Ltd.  
 Paygent Co., Ltd.  
 JA Card Co., Ltd  
 ACOM CO., LTD.  
 IR Loan Servicing, Inc.  
 MU Credit Guarantee Co., Ltd.  
 Japan Digital Design, Inc.  
 Crowd Money Inc.  
 MUMEC Visionary Design, Ltd.  
 Global Open Network, Inc.  
 Global Open Network Japan, Inc.  
 MUFG Innovation Partners Co., Ltd.  
 MUFG Innovation Partners No.1 Investment Partnership  
 Mitsubishi Research Institute DCS Co.,Ltd.  
 HR Solution DCS Co.,Ltd.  
 Mitsubishi UFJ Lease & Finance Company Limited  
 Hitachi Capital Corporation  
 Nihombashi TG Jigyo Kyodo Kumiai  
 NIHOMBASHI TG SERVICE CO., LTD.  
 SHIN-NIHOBASHI TSUSHO CO., LTD.  
 Solution Design Co.,Ltd.  
 Nippon Record Keeping Network Co., Ltd.  
 Banco MUFG Brasil S.A.  
 9808680 Canada Inc.  
 BTMU (Curacao) Holdings N.V.  
 MUFG Bank (Europe) N.V.  
 MUFG Business Services (Holland) B.V.  
 MUFG Funding (UK) Limited  
 MUFG Europe Lease (Deutschland) GmbH  
 MUFG Bank (Malaysia) Berhad  
 MUFG North America International, Inc.  
 MUFG Bank Mexico, S.A.  
 MUFG Nominees (HK) Limited  
 MUFG Nominees (UK) Limited  
 MUFG Americas Holdings Corporation  
 MUFG Union Bank, N.A.  
 Union Bank of California Leasing, Inc.  
 UBOC Community Development Corporation  
 UnionBanc Investment Services, LLC  
 Bankers Commercial Corporation  
 UnionBanCal Equities, Inc.  
 UnionBanCal Leasing Corporation  
 UnionBanCal Mortgage Corporation  
 Mills-Ralston, Inc.  
 HighMark Capital Management, Inc.  
 BCC OX I, Inc.  
 BCC OX II, Inc.

SEMA OP9 LLC  
 SEMA OP8 LLC  
 MORGANTOWN OL6 LLC  
 MORGANTOWN OL7 LLC  
 MORGANTOWN OL5 LLC  
 DICKERSON OL4 LLC  
 TRL One A, LLC  
 TRL One B, LLC  
 TRL One, LP  
 BM1,LLC  
 UB Leasing Corporation  
 Pacific Capital Statutory Trust I  
 Shiloh IV Wind Project, LLC  
 Shiloh IV Holdings Lessor Trust  
 Green Union I Trust  
 Green Union II Trust  
 Green Union III Trust  
 Tohlease Corporation  
 MUFG Americas Funding Corporation  
 MUFG Americas Financial & Leasing Corporation B-4  
 U.B. Vehicle Leasing, Inc.  
 MUFG Americas Leasing Corporation  
 MUFG Americas Financial Services, Inc.  
 MUFG Americas Leasing (Canada) Corporation  
 MUFG Americas Leasing & Finance, Inc.  
 MUFG Americas Capital Leasing & Finance, LLC  
 MUFG Americas Corporate Advisory, Inc.  
 MUFG Americas LF Capital LLC  
 MUFG Americas Capital Company  
 MUFG Fund Services (USA) LLC  
 MUFG Securities Americas Inc.  
 MUFG Capital Analytics LLC  
 MUFG Investor Services (US), LLC  
 Intrepid Investment Bankers LLC  
 Southern California Business Development Corporation  
 Catalina Solar Holdings Lessor Trust  
 Catalina Solar, LLC  
 Morgan Stanley MUFG Loan Partners, LLC  
 PT U Finance Indonesia  
 PT. MU Research and Consulting Indonesia  
 MU Research and Consulting (Thailand) Co., Ltd.  
 MUFG Participation (Thailand) Co., Ltd.  
 AO MUFG Bank (Eurasia)  
 MUFG Bank (China), Ltd.  
 BTMU Preferred Capital 8 Limited  
 BTMU Preferred Capital 9 Limited  
 BTMU Liquidity Reserve Investment Limited  
 MUFG Bank Turkey Anonim Sirketi  
 Bank of Ayudhya Public Company Limited  
 Krungsri Ayudhya AMC Limited  
 Krungsri Factoring Company Limited  
 Ayudhya Development Leasing Company Limited  
 Ayudhya Capital Auto Lease Public Company Limited  
 Krungsriayudhya Card Company Limited  
 General Card Services Limited  
 Ayudhya Capital Services Company Limited  
 Krungsri General Insurance Broker Limited  
 Krungsri Life Assurance Broker Limited  
 Krungsri Asset Management Company Limited  
 Total Services Solutions Public Company Limited  
 Ngern Tid Lor Company Limited  
 Krungsri Securities Public Company Limited  
 Siam Realty and Services Security Co.,Ltd.  
 Krungsri Leasing Services Co., Ltd.  
 Hattha Kaksekar Limited  
 Krungsri Finnovate Co., Ltd.  
 Tesco Card Services Limited  
 BTMU Liquidity Reserve Investment 2 Limited  
 BTMU Liquidity Reserve Investment 3 Limited  
 PT Guna Dharma  
 PT Bank Danamon Indonesia, Tbk.  
 PT Adira Quantum Multifinance  
 PT Adira Dinamika Multi Finance Tbk  
 PT Asuransi Adira Dinamika  
 BOT Lease (HK) Co., Ltd.  
 PT Bumiputera - BOT Finance  
 BOT Lease Holding Philippines, Inc.  
 BOT Lease and Finance, Philippines, Inc.  
 BOT Lease (Thailand) Co., Ltd.

MUFG Holding (Thailand) Co., Ltd.  
 Bangkok MUFG Limited  
 BOT Lease(Eurasia)LLC  
 BOT Lease (Tianjin) Co., Ltd.  
 BOTL Factoring (Shanghai) Co., Ltd.  
 BOT LEASE MEXICO S.A. DE C.V.  
 BOT FINANCE MEXICO, S.A. DE C.V., SOFOM, E.N.R.  
 GOLDEN ASIA FUND VENTURES LTD.  
 Lakefield Wind Project OP Trust  
 Lakefield Wind Project, LLC  
 Pacwind Holdings Lessor Trust  
 Pacific Wind, LLC  
 Vietnam Joint Stock Commercial Bank for Industry and Trade  
 GOLDEN ASIA FUND II, L.P.  
 Security Bank Corporation  
 Mitsubishi UFJ Trust International Limited  
 Mitsubishi UFJ Baillie Gifford Asset Management Limited  
 Mitsubishi UFJ Investor Services & Banking (Luxembourg) S.A.  
 MUFG Lux Management Company S.A.  
 Mitsubishi UFJ Investment Services (HK) Limited  
 Mitsubishi UFJ Asset Management (UK) Ltd.  
 LUX J1 FUND  
 MUFG Investor Services Holdings Limited  
 MUFG Fund Services (Bermuda) Limited  
 MUFG Fund Services (Cayman) Limited  
 MUFG Fund Services (Cayman) Group Limited  
 MUFG Fund Services (Ireland) Limited  
 Fund Secretaries Limited  
 MUFG Fund Services Limited  
 MUFG Fund Services (Canada) Limited  
 MUFG Fund Services (UK) Limited  
 MUFG Fund Services (Halifax) Limited  
 MUFG Fund Services (Singapore) Pte. Ltd.  
 MUFG Fund Services (Hong Kong) Limited  
 MUFG Alternative Fund Services (Cayman) Limited  
 Firtown International Holdings Ltd.  
 General Secretaries Ltd.  
 AFS Controlled Subsidiary 1 Ltd.  
 AFS Controlled Subsidiary 2 Ltd.  
 AFS Controlled Subsidiary 3 Ltd.  
 MUFG Alternative Fund Services (Ireland) Limited  
 MUFG Alternative Fund Services (Jersey) Limited  
 MUFG Jersey Management Company Limited  
 MUFG Controlled Subsidiary 1 (Cayman) Limited  
 SWS MU FUND MANAGEMENT CO.,LTD.  
 SWSMU (SHANGHAI) ASSETS MANAGEMENT COMPANY LIMITED  
 AMP Capital Holdings Limited  
 MUFG Global Fund SICAV  
 MUFG Securities EMEA plc  
 MUFG Securities (Europe) N.V.  
 MUFG Securities Asia (Singapore) Limited  
 MUFG Securities Asia Limited  
 Mitsubishi UFJ Wealth Management Bank (Switzerland), Ltd.  
 MUFG Securities (Canada), Ltd.  
 EASY BUY Public Company Limited  
 ACOM CONSUMER FINANCE CORPORATION  
 MUFG Capital Finance 8 Limited  
 MUFG Capital Finance 9 Limited  
 GOLDEN ASIA FUND, L.P.  
 DCS Information Technology (Shanghai) Co., Ltd  
 MRIDCS Americas, Inc.  
 Morgan Stanley  
 Purple Finance (Cayman) International Ltd.  
 Bangkok Mitsubishi UFJ Lease Co., Ltd  
 The California-Sansome Corporation  
 First Sentier Investors Holdings Pty Limited  
 First Sentier Investors (Australia) IP Holdings Pty Limited  
 First Sentier Investors (Australia) Services Pty Limited  
 CFSPAI EUROPE CO LIMITED (COMPANY CODE C41267)  
 CFSPAI EUROPE HOLDCO LIMITED (COMPANY CODE C41260)  
 First Sentier Investors (Australia) IMLtd  
 First Sentier Investors (Australia) Infrastructure Holdings Ltd  
 First Sentier Investors (Australia) Infrastructure Managers PtyLtd  
 COLONIAL FIRST STATE INVESTMENT MANAGERS (UK) LIMITED (COMPANY NO. 00153197)  
 First Sentier Investors (Australia) RE Ltd  
 EDIF II GP S.A.R.L. (COMPANY NO. B204413)  
 FIRST STATE EUROPEAN DIVERSIFIED INFRASTRUCTURE S.A.R.L. (COMPANY NO. B134314)  
 FIRST STATE INFRASTRUCTURE MANAGERS (INTERNATIONAL) LIMITED (COMPANY NO. 298444)  
 FIRST STATE INVESTMENT MANAGEMENT (UK) LIMITED (COMPANY NO. SC047708)

First Sentier Investors Asia Holdings Limited  
FIRST STATE INVESTMENT SERVICES (UK) LIMITED (COMPANY NO. 03904320)  
FIRST STATE INVESTMENTS (HONG KONG) LIMITED (CR NO. 0206616)  
FIRST STATE INVESTMENTS (IRELAND) LIMITED (COMPANY NO. 629188)  
FIRST STATE INVESTMENTS (JAPAN) LIMITED (COMPANY NUMBER 0104-01-093090)  
FIRST STATE INVESTMENTS (SINGAPORE) (COMPANY NO. 02294743)  
FIRST STATE INVESTMENTS (UK HOLDINGS) LIMITED (COMPANY NO. 03904310)  
FIRST STATE INVESTMENTS (UK) LIMITED (COMPANY NO. 02294743)  
FIRST STATE INVESTMENTS (US) LLC (FILE NUMBER 546 9442)  
FIRST STATE INVESTMENTS FUND MANAGEMENT S.A.R.L (COMPANY NO. B128117)  
FIRST STATE INVESTMENTS HOLDINGS (SINGAPORE) LIMITED (REGISTRATION NO. 199901706Z)  
FIRST STATE INVESTMENTS INTERNATIONAL LIMITED (COMPANY NO. SC079063)  
FIRST STATE NOMINEES (HONG KONG) LIMITED (CR NO. 0206615)  
FSIB LTD  
First Sentier Investors Realindex Pty Ltd  
SI HOLDINGS LIMITED (COMPANY NO. SC109439)  
TOTAL KEEN INVESTMENT LIMITED (CR NO. 0580652)

**Annexure B**

This is annexure B of 2 pages referred to in Form 603, Notice of initial substantial holder dated 19 November 2019

*R. Sakuma*

Ryuichiro Sakuma Authorised signatory

Dated 19 November 2019

Holder of relevant interest	Date of acquisition	Consideration cash	Consideration non-cash	Class and number of securities affected
Mitsubishi UFJ Financial Group, Inc.	14/11/2019	6,554,576.14	Buy	980,000 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13/11/2019	4,286,076.67	Buy	640,832 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11/11/2019	1,000,500.00	Buy	150,000 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	11/11/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	33,714 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	11/11/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	8,830 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	7/11/2019	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	2,453 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6/11/2019	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	609 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/11/2019	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	1,384 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	4/11/2019	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	41 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	31/10/2019	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	534 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/10/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	378 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/10/2019	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	1,187 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/10/2019	2,034.45	Buy	297 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/10/2019	2,849.60	Buy	416 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/10/2019	136.80	Buy	20 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	17/10/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	966 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/10/2019	3,685.30	Buy	538 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/10/2019	6,329.40	Buy	924 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/10/2019	172.00	Buy	25 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	15/10/2019	1,867.32	Buy	273 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14/10/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	15,547 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14/10/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	966 Ordinary Shares



Mitsubishi UFJ Financial Group, Inc.	9/10/2019	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	760 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	8/10/2019	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	206 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	24/09/2019	1,707,500.00	Buy	250,000 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	23/09/2019	2,732,160.00	Buy	400,000 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	20/09/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	124,620 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/09/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	63,240 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	6/09/2019	6.84	Buy	1 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	5/09/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	73,073 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/09/2019	68.00	Buy	10 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	3/09/2019	N/A	Borrowed by an entity controlled by Morgan Stanley - see annexure C	790 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	29/08/2019	1,452.66	Buy	213 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	27/08/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	26,965 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	26/08/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	131,147 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	23/08/2019	40.86	Buy	6 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/08/2019	294,839.62	Buy	43,325 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	22/08/2019	74.91	Buy	11 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	22/08/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	35,701 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21/08/2019	721,477.07	Buy	105,908 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	21/08/2019	251.97	Buy	37 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	21/08/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	71,254 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	20/08/2019	5,230.94	Buy	767 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	20/08/2019	926.16	Buy	136 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/08/2019	1,743.36	Buy	256 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/08/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	33,734 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	19/08/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	13,573 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	16/08/2019	1,106,933.74	Buy	162,307 Ordinary shares
Mitsubishi UFJ Financial Group, Inc.	16/08/2019	1,650.44	Buy	242 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14/08/2019	6,290.43	Buy	921 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14/08/2019	31,208.60	Buy	4,556 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14/08/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	14,066 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	14/08/2019	N/A	Collateral Received by an entity controlled by Morgan Stanley - see annexure C	7,554 Ordinary Shares
Mitsubishi UFJ Financial Group, Inc.	13/08/2019	1,282.16	Buy	188 Ordinary Shares

## Annexure C

This is annexure C of 1 page referred to in Form 603, Notice of initial substantial holder dated 19 November 2019



Ryuichiro Sakuma Authorised Signatory

Dated 19 November 2019

<b>Schedule</b>	
<b>Type of Agreement</b>	Customer Prime Broker Account Agreement
<b>Parties to agreement</b>	Morgan Stanley & Co. LLC on behalf of all Morgan Stanley entities and customer PRELUDE OPPORTUNITY FUND, LP
<b>Transfer Date</b>	20191111;
<b>Holder of Voting Rights</b>	Morgan Stanley
<b>Are there any restrictions on voting rights?</b>	No
<b>If yes, detail</b> Not applicable	
<b>Scheduled Return Date (if any)</b>	Open
<b>Does the borrower have the right to return early?</b>	Yes
<b>If yes, detail</b> Morgan Stanley may return rehypothecated shares at any time.	
<b>Does the lender have the right to recall early?</b>	Yes
<b>If yes, detail</b> The customer may recall shares from Morgan Stanley at any time.	
<b>Will the securities be returned on settlement?</b>	Yes
<b>If yes, detail any exceptions</b> In the ordinary course of business, securities will be returned to customers. Upon a customer Event of Default, Morgan Stanley has the right to set off obligations owed to the customer against obligations of the customer to Morgan Stanley and to foreclose on any collateral, including rehypothecated securities, for the purpose of arriving at a single closeout amount. In such a default scenario, Morgan Stanley may do an actual or deemed sale of the rehypothecated securities.	
<b>Schedule</b>	
<b>Type of Agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and METRICA ASIA EVENT DRIVEN MASTER FUND
<b>Transfer Date</b>	20191111;
<b>Holder of Voting Rights</b>	Prime broker has the right to vote securities rehypothecated from the Client.
<b>Are there any restrictions on voting rights?</b>	Yes/No
<b>If yes, detail</b> Not applicable	
<b>Scheduled Return Date (if any)</b>	Open
<b>Does the borrower have the right to return early?</b>	Yes/ <del>No</del>
<b>If yes, detail</b> Prime broker may return shares which were rehypothecated from the client at any time.	
<b>Does the lender have the right to recall early?</b>	Yes/ <del>No</del>
<b>If yes, detail</b> Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by	
<b>Will the securities be returned on settlement?</b>	Yes/ <del>No</del>
<b>If yes, detail any exceptions</b> Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.	