

# Form 604

Corporations Law  
Section 671B

## Notice of change of interests of substantial holder

To: Company Name/Scheme **SYRAH RESOURCES LIMITED**

ACN/ARSN **125 242 284**

### 1. Details of substantial holder

Name **Bank of America Corporation and its related bodies corporate (See Appendix C)**

ACN/ARSN (if applicable): \_\_\_\_\_

There was a change in the interests of the substantial holder on **11 December 2019**

The previous notice was given to the company on **15 October 2019**

### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous Notice		Present Notice	
	Person's Votes	Voting Power (5)	Person's Votes	Voting Power (5)
Ordinary	21,989,878	5.32%	32,063,717	7.75%

### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of Change (6)	Consideration given in relation to change (7)	Class and Number of securities affected	Person's votes affected
Please see Appendix A					

#### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Merrill Lynch (Australia) Futures Ltd	Merrill Lynch (Australia) Nominees Pty Ltd	Merrill Lynch (Australia) Futures Ltd	Power to control voting and/or disposal of securities in its capacity as ultimate beneficial owner having a principal position.	Ordinary 16,982,657	16,982,657
Merrill Lynch International (Australia) Ltd	Merrill Lynch (Australia) Nominees Pty Ltd	Merrill Lynch International (Australia) Ltd	Borrowing of securities pursuant to a Prime Brokerage Agreement (see Appendix B), with power to control the voting and/or disposal of borrowed securities.	Ordinary 13,542,808	13,542,808
Merrill Lynch International	Merrill Lynch (Australia) Nominees Pty Ltd	Merrill Lynch International	Power to control voting and/or disposal of securities in its capacity as ultimate beneficial owner having a principal position.	Ordinary 69,570	69,570
Merrill Lynch International	Merrill Lynch (Australia) Nominees Pty Ltd	Merrill Lynch International	Borrowing of securities pursuant to a Prime Brokerage Agreement (see Appendix B), with power to control the voting and/or disposal of borrowed securities.	Ordinary 1,468,682	1,468,682

#### 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ ARSN (if applicable)	Nature of association
N/A	N/A

#### 6. Addresses

The addresses of persons named in this form are as follows:

Name	Addresses
Bank of America Corporation	100 North Tryon Street, Charlotte, North Carolina, 28255, USA
Merrill Lynch (Australia) Futures Ltd	Level 38, Governor Phillip Tower, 1 Farrer Place, Sydney NSW 2000, Australia
Merrill Lynch (Australia) Nominees Pty Ltd	Level 19, 120 Collins St, Melbourne Vic 3000, Australia
Merrill Lynch International (Australia) Ltd	Level 38, Governor Phillip Tower, 1 Farrer Place, Sydney NSW 2000, Australia
Merrill Lynch International	2 King Edward Street, London, England, EC1A 1HQ, United Kingdom.

#### SIGNATURE

Print Name: Kelvin Kwok

Capacity: Authorised signatory

Sign Here:



Date: 13 December 2019

<b>Date of change</b>	<b>Person whose relevant interest changed</b>	<b>Nature of change (4)</b>	<b>Consideration (5)</b>	<b>Class (6) of securities</b>	<b>Securities affected</b>	<b>Person's votes affected</b>	<b>Remark</b>
12/3/2019	Merrill Lynch International	BUY	158	Ordinary	431	431	N/A
12/3/2019	Merrill Lynch International	BUY	147	Ordinary	401	401	N/A
12/3/2019	Merrill Lynch International	BUY	108	Ordinary	295	295	N/A
12/3/2019	Merrill Lynch International	BUY	85	Ordinary	232	232	N/A
12/3/2019	Merrill Lynch International	BUY	96	Ordinary	262	262	N/A
12/3/2019	Merrill Lynch International	BUY	1,162	Ordinary	3,141	3,141	N/A
12/3/2019	Merrill Lynch International	BUY	188	Ordinary	505	505	N/A
12/3/2019	Merrill Lynch International	BUY	160	Ordinary	430	430	N/A
12/3/2019	Merrill Lynch International	BUY	199	Ordinary	534	534	N/A
12/3/2019	Merrill Lynch International	BUY	164	Ordinary	441	441	N/A
12/3/2019	Merrill Lynch International	BUY	206	Ordinary	552	552	N/A
12/3/2019	Merrill Lynch International	BUY	103	Ordinary	277	277	N/A
12/3/2019	Merrill Lynch International	BUY	1,542	Ordinary	4,226	4,226	N/A
12/3/2019	Merrill Lynch International	BUY	148	Ordinary	397	397	N/A
12/3/2019	Merrill Lynch International	BUY	41	Ordinary	111	111	N/A
12/3/2019	Merrill Lynch International	BUY	421	Ordinary	1,139	1,139	N/A
12/3/2019	Merrill Lynch International	BUY	37	Ordinary	100	100	N/A
12/3/2019	Merrill Lynch International	BUY	312	Ordinary	849	849	N/A
12/3/2019	Merrill Lynch International	BUY	468	Ordinary	1,299	1,299	N/A

12/3/2019	Merrill Lynch International	BUY	712	Ordinary	1,924	1,924	N/A
12/3/2019	Merrill Lynch International	BUY	162	Ordinary	431	431	N/A
12/3/2019	Merrill Lynch International	BUY	384	Ordinary	1,023	1,023	N/A
12/3/2019	Merrill Lynch International	BUY	1,066	Ordinary	2,880	2,880	N/A
12/3/2019	Merrill Lynch International	BUY	481	Ordinary	1,299	1,299	N/A
12/3/2019	Merrill Lynch International	BUY	101	Ordinary	273	273	N/A
12/3/2019	Merrill Lynch International	BUY	141	Ordinary	381	381	N/A
12/3/2019	Merrill Lynch International	BUY	956	Ordinary	2,583	2,583	N/A
12/3/2019	Merrill Lynch International	BUY	255	Ordinary	688	688	N/A
12/3/2019	Merrill Lynch International	BUY	84	Ordinary	229	229	N/A
12/3/2019	Merrill Lynch International	BUY	126	Ordinary	344	344	N/A
12/3/2019	Merrill Lynch International	BUY	148	Ordinary	399	399	N/A
12/3/2019	Merrill Lynch International	BUY	83	Ordinary	225	225	N/A
11/13/2019	Merrill Lynch International	SELL	(3)	Ordinary	(6)	(6)	N/A
11/13/2019	Merrill Lynch International	BUY	3	Ordinary	6	6	N/A
11/7/2019	Merrill Lynch International	BUY	1	Ordinary	2	2	N/A
10/22/2019	Merrill Lynch International	BUY	168	Ordinary	419	419	N/A
11/27/2019	Merrill Lynch International	SELL	(21,019)	Ordinary	(56,050)	(56,050)	N/A
11/27/2019	Merrill Lynch International	BUY	21,019	Ordinary	56,050	56,050	N/A
11/6/2019	Merrill Lynch International	SELL	(172)	Ordinary	(383)	(383)	N/A
11/6/2019	Merrill Lynch International	BUY	172	Ordinary	383	383	N/A

11/27/2019	Merrill Lynch International	BUY	21,019	Ordinary	56,050	56,050	N/A
11/27/2019	Merrill Lynch International	SELL	(21,019)	Ordinary	(56,050)	(56,050)	N/A
11/28/2019	Merrill Lynch International	SELL	(1,307)	Ordinary	(3,580)	(3,580)	N/A
11/28/2019	Merrill Lynch International	SELL	(5,932)	Ordinary	(16,253)	(16,253)	N/A
11/28/2019	Merrill Lynch International	SELL	(607)	Ordinary	(1,664)	(1,664)	N/A
11/28/2019	Merrill Lynch International	SELL	(150)	Ordinary	(412)	(412)	N/A
11/28/2019	Merrill Lynch International	SELL	(30)	Ordinary	(82)	(82)	N/A
11/28/2019	Merrill Lynch International	SELL	(1,898)	Ordinary	(5,201)	(5,201)	N/A
11/28/2019	Merrill Lynch International	SELL	(2,445)	Ordinary	(6,608)	(6,608)	N/A
11/5/2019	Merrill Lynch International	BUY	3,303	Ordinary	7,180	7,180	N/A
11/5/2019	Merrill Lynch International	SELL	(3,303)	Ordinary	(7,180)	(7,180)	N/A
11/5/2019	Merrill Lynch International	BUY	3,307	Ordinary	7,189	7,189	N/A
11/20/2019	Merrill Lynch International	BUY	9	Ordinary	21	21	N/A
11/13/2019	Merrill Lynch International	BUY	1,733	Ordinary	3,938	3,938	N/A
11/13/2019	Merrill Lynch International	SELL	(1,733)	Ordinary	(3,938)	(3,938)	N/A
11/13/2019	Merrill Lynch International	BUY	1,739	Ordinary	3,953	3,953	N/A
11/13/2019	Merrill Lynch International	SELL	(1,239)	Ordinary	(2,816)	(2,816)	N/A
11/13/2019	Merrill Lynch International	SELL	(481)	Ordinary	(1,093)	(1,093)	N/A
11/13/2019	Merrill Lynch International	SELL	(12)	Ordinary	(28)	(28)	N/A
11/14/2019	Merrill Lynch International	BUY	17	Ordinary	36	36	N/A
11/14/2019	Merrill Lynch International	SELL	(17)	Ordinary	(36)	(36)	N/A

11/15/2019	Merrill Lynch International	BUY	202	Ordinary	439	439	N/A
11/15/2019	Merrill Lynch International	SELL	(199)	Ordinary	(433)	(433)	N/A
10/30/2019	Merrill Lynch International	BUY	877	Ordinary	2,278	2,278	N/A
10/30/2019	Merrill Lynch International	BUY	1,841	Ordinary	4,782	4,782	N/A
10/30/2019	Merrill Lynch International	SELL	(16)	Ordinary	(40)	(40)	N/A
10/30/2019	Merrill Lynch International	BUY	16	Ordinary	40	40	N/A
10/30/2019	Merrill Lynch International	BUY	2,718	Ordinary	7,060	7,060	N/A
10/30/2019	Merrill Lynch International	SELL	(2,718)	Ordinary	(7,060)	(7,060)	N/A
10/31/2019	Merrill Lynch International	BUY	1,552	Ordinary	3,880	3,880	N/A
10/31/2019	Merrill Lynch International	BUY	1,572	Ordinary	3,929	3,929	N/A
10/31/2019	Merrill Lynch International	SELL	(1,572)	Ordinary	(3,929)	(3,929)	N/A
11/1/2019	Merrill Lynch International	SELL	(2,079)	Ordinary	(5,071)	(5,071)	N/A
11/1/2019	Merrill Lynch International	SELL	(156)	Ordinary	(380)	(380)	N/A
11/1/2019	Merrill Lynch International	SELL	(2)	Ordinary	(5)	(5)	N/A
11/1/2019	Merrill Lynch International	BUY	2	Ordinary	5	5	N/A
11/1/2019	Merrill Lynch International	SELL	(2,235)	Ordinary	(5,452)	(5,452)	N/A
11/1/2019	Merrill Lynch International	BUY	2,235	Ordinary	5,452	5,452	N/A
11/28/2019	Merrill Lynch International	SELL	(2,227)	Ordinary	(6,102)	(6,102)	N/A
11/28/2019	Merrill Lynch International	SELL	(2,730)	Ordinary	(7,480)	(7,480)	N/A
11/28/2019	Merrill Lynch International	SELL	(1,879)	Ordinary	(5,148)	(5,148)	N/A
11/6/2019	Merrill Lynch International	SELL	(171)	Ordinary	(381)	(381)	N/A

11/27/2019	Merrill Lynch International	SELL	(1,312)	Ordinary	(3,517)	(3,517)	N/A
11/27/2019	Merrill Lynch International	SELL	(1)	Ordinary	(3)	(3)	N/A
10/14/2019	Merrill Lynch (Australia) Futures Ltd	BUY	107	Ordinary	227	227	N/A
10/14/2019	Merrill Lynch (Australia) Futures Ltd	BUY	98,763	Ordinary	214,102	214,102	N/A
10/14/2019	Merrill Lynch (Australia) Futures Ltd	BUY	28,700	Ordinary	61,299	61,299	N/A
10/14/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(47,995)	Ordinary	(102,774)	(102,774)	N/A
10/14/2019	Merrill Lynch (Australia) Futures Ltd	BUY	207,000	Ordinary	450,000	450,000	N/A
10/14/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(32,921)	Ordinary	(70,797)	(70,797)	N/A
10/15/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(79,401)	Ordinary	(172,162)	(172,162)	N/A
10/15/2019	Merrill Lynch (Australia) Futures Ltd	BUY	27,521	Ordinary	60,485	60,485	N/A
10/15/2019	Merrill Lynch (Australia) Futures Ltd	BUY	39,345	Ordinary	85,669	85,669	N/A
10/15/2019	Merrill Lynch (Australia) Futures Ltd	BUY	136,500	Ordinary	300,000	300,000	N/A
10/16/2019	Merrill Lynch (Australia) Futures Ltd	BUY	35,381	Ordinary	78,624	78,624	N/A
10/15/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(86,319)	Ordinary	(187,650)	(187,650)	N/A
10/16/2019	Merrill Lynch (Australia) Futures Ltd	BUY	46,958	Ordinary	103,347	103,347	N/A
10/16/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(26,948)	Ordinary	(58,917)	(58,917)	N/A
10/16/2019	Merrill Lynch (Australia) Futures Ltd	BUY	6,825	Ordinary	15,166	15,166	N/A
10/16/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(47,160)	Ordinary	(103,649)	(103,649)	N/A
10/17/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,129	Ordinary	7,112	7,112	N/A
10/17/2019	Merrill Lynch (Australia) Futures Ltd	BUY	72,122	Ordinary	163,552	163,552	N/A
10/17/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(51,866)	Ordinary	(115,390)	(115,390)	N/A

10/17/2019	Merrill Lynch (Australia) Futures Ltd	BUY	10,055	Ordinary	22,852	22,852	N/A
10/17/2019	Merrill Lynch (Australia) Futures Ltd	BUY	238,641	Ordinary	542,366	542,366	N/A
10/17/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(73,600)	Ordinary	(163,555)	(163,555)	N/A
10/18/2019	Merrill Lynch (Australia) Futures Ltd	BUY	43,126	Ordinary	97,875	97,875	N/A
10/18/2019	Merrill Lynch (Australia) Futures Ltd	BUY	84,677	Ordinary	191,336	191,336	N/A
10/18/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(145,947)	Ordinary	(331,698)	(331,698)	N/A
10/21/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(37,469)	Ordinary	(90,774)	(90,774)	N/A
10/21/2019	Merrill Lynch (Australia) Futures Ltd	BUY	16,024	Ordinary	38,152	38,152	N/A
10/21/2019	Merrill Lynch (Australia) Futures Ltd	BUY	134,178	Ordinary	334,906	334,906	N/A
10/21/2019	Merrill Lynch (Australia) Futures Ltd	BUY	41,563	Ordinary	98,959	98,959	N/A
10/22/2019	Merrill Lynch (Australia) Futures Ltd	BUY	36,021	Ordinary	90,052	90,052	N/A
10/21/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(147,359)	Ordinary	(334,906)	(334,906)	N/A
10/22/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(8,568)	Ordinary	(22,547)	(22,547)	N/A
10/23/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(5,944)	Ordinary	(15,048)	(15,048)	N/A
10/23/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(58,719)	Ordinary	(146,797)	(146,797)	N/A
10/24/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(3,639)	Ordinary	(9,183)	(9,183)	N/A
10/24/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(2,457)	Ordinary	(6,220)	(6,220)	N/A
10/25/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,662)	Ordinary	(4,155)	(4,155)	N/A
10/25/2019	Merrill Lynch (Australia) Futures Ltd	BUY	19,328	Ordinary	48,959	48,959	N/A
10/25/2019	Merrill Lynch (Australia) Futures Ltd	BUY	5,691	Ordinary	14,407	14,407	N/A
10/25/2019	Merrill Lynch (Australia) Futures Ltd	BUY	19,964	Ordinary	50,542	50,542	N/A



10/23/2019	Merrill Lynch (Australia) Futures Ltd	BUY	28,376	Ordinary	72,277	72,277	N/A
10/25/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(19,964)	Ordinary	(50,542)	(50,542)	N/A
10/28/2019	Merrill Lynch (Australia) Futures Ltd	BUY	51,414	Ordinary	130,962	130,962	N/A
10/28/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(13,103)	Ordinary	(33,173)	(33,173)	N/A
10/28/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(50,420)	Ordinary	(130,962)	(130,962)	N/A
10/29/2019	Merrill Lynch (Australia) Futures Ltd	BUY	54,984	Ordinary	140,031	140,031	N/A
10/29/2019	Merrill Lynch (Australia) Futures Ltd	BUY	395,000	Ordinary	1,000,000	1,000,000	N/A
10/29/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(58,502)	Ordinary	(151,953)	(151,953)	N/A
10/30/2019	Merrill Lynch (Australia) Futures Ltd	BUY	60,761	Ordinary	155,960	155,960	N/A
10/30/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(78,588)	Ordinary	(198,958)	(198,958)	N/A
10/29/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(4,705)	Ordinary	(11,912)	(11,912)	N/A
10/31/2019	Merrill Lynch (Australia) Futures Ltd	BUY	44,195	Ordinary	112,891	112,891	N/A
10/31/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(47,252)	Ordinary	(122,733)	(122,733)	N/A
11/1/2019	Merrill Lynch (Australia) Futures Ltd	BUY	82,418	Ordinary	199,083	199,083	N/A
11/1/2019	Merrill Lynch (Australia) Futures Ltd	BUY	108,819	Ordinary	257,045	257,045	N/A
11/1/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(147,986)	Ordinary	(369,966)	(369,966)	N/A
11/4/2019	Merrill Lynch (Australia) Futures Ltd	BUY	33,652	Ordinary	75,623	75,623	N/A
11/4/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(35,340)	Ordinary	(79,355)	(79,355)	N/A
11/5/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(32,769)	Ordinary	(71,371)	(71,371)	N/A
11/4/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(9,236)	Ordinary	(22,526)	(22,526)	N/A
11/5/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(68)	Ordinary	(152)	(152)	N/A

11/6/2019	Merrill Lynch (Australia) Futures Ltd	BUY	6,098	Ordinary	13,550	13,550	N/A
11/6/2019	Merrill Lynch (Australia) Futures Ltd	BUY	87,138	Ordinary	193,462	193,462	N/A
11/6/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(12,804)	Ordinary	(28,123)	(28,123)	N/A
11/6/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(88,993)	Ordinary	(193,462)	(193,462)	N/A
11/7/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(9,893)	Ordinary	(22,192)	(22,192)	N/A
11/7/2019	Merrill Lynch (Australia) Futures Ltd	BUY	18,757	Ordinary	43,120	43,120	N/A
11/7/2019	Merrill Lynch (Australia) Futures Ltd	BUY	2,893	Ordinary	6,650	6,650	N/A
11/7/2019	Merrill Lynch (Australia) Futures Ltd	BUY	68,932	Ordinary	155,708	155,708	N/A
11/7/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(70,069)	Ordinary	(155,708)	(155,708)	N/A
11/8/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1)	Ordinary	(3)	(3)	N/A
11/8/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(16,159)	Ordinary	(35,613)	(35,613)	N/A
11/8/2019	Merrill Lynch (Australia) Futures Ltd	BUY	73,728	Ordinary	162,694	162,694	N/A
11/8/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,498	Ordinary	7,949	7,949	N/A
11/8/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(77,255)	Ordinary	(177,597)	(177,597)	N/A
11/11/2019	Merrill Lynch (Australia) Futures Ltd	BUY	180,803	Ordinary	366,317	366,317	N/A
11/11/2019	Merrill Lynch (Australia) Futures Ltd	BUY	35,065	Ordinary	73,434	73,434	N/A
11/11/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(3,880,000)	Ordinary	(8,000,000)	(8,000,000)	N/A
11/11/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(211,095)	Ordinary	(458,902)	(458,902)	N/A
11/12/2019	Merrill Lynch (Australia) Futures Ltd	BUY	21,071	Ordinary	45,337	45,337	N/A
11/12/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(17,431)	Ordinary	(35,940)	(35,940)	N/A
11/13/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,602	Ordinary	8,178	8,178	N/A

11/13/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(3,234)	Ordinary	(7,107)	(7,107)	N/A
11/13/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,166,000	Ordinary	2,650,000	2,650,000	N/A
11/14/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(9,968)	Ordinary	(21,342)	(21,342)	N/A
11/13/2019	Merrill Lynch (Australia) Futures Ltd	BUY	5,251	Ordinary	11,540	11,540	N/A
11/14/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(3,925)	Ordinary	(8,178)	(8,178)	N/A
11/15/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(9)	Ordinary	(19)	(19)	N/A
11/18/2019	Merrill Lynch (Australia) Futures Ltd	BUY	7,999	Ordinary	17,020	17,020	N/A
11/18/2019	Merrill Lynch (Australia) Futures Ltd	BUY	5,968	Ordinary	13,412	13,412	N/A
11/18/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1)	Ordinary	(3)	(3)	N/A
11/19/2019	Merrill Lynch (Australia) Futures Ltd	BUY	121	Ordinary	272	272	N/A
11/19/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(3,460)	Ordinary	(8,047)	(8,047)	N/A
11/20/2019	Merrill Lynch (Australia) Futures Ltd	BUY	33,485	Ordinary	79,462	79,462	N/A
11/20/2019	Merrill Lynch (Australia) Futures Ltd	BUY	24,196	Ordinary	56,932	56,932	N/A
11/20/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(10,194)	Ordinary	(23,845)	(23,845)	N/A
11/20/2019	Merrill Lynch (Australia) Futures Ltd	BUY	2	Ordinary	4	4	N/A
11/21/2019	Merrill Lynch (Australia) Futures Ltd	BUY	28,600	Ordinary	71,219	71,219	N/A
11/21/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(17,027)	Ordinary	(41,785)	(41,785)	N/A
11/20/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(33,374)	Ordinary	(79,462)	(79,462)	N/A
11/21/2019	Merrill Lynch (Australia) Futures Ltd	BUY	49,987	Ordinary	122,736	122,736	N/A
11/21/2019	Merrill Lynch (Australia) Futures Ltd	BUY	5,687	Ordinary	14,146	14,146	N/A
11/21/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(49,094)	Ordinary	(122,736)	(122,736)	N/A

11/22/2019	Merrill Lynch (Australia) Futures Ltd	BUY	65,926	Ordinary	160,547	160,547	N/A
11/22/2019	Merrill Lynch (Australia) Futures Ltd	BUY	26,096	Ordinary	64,435	64,435	N/A
11/22/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(11,139)	Ordinary	(26,949)	(26,949)	N/A
11/25/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,248	Ordinary	8,120	8,120	N/A
11/25/2019	Merrill Lynch (Australia) Futures Ltd	BUY	28,948	Ordinary	70,926	70,926	N/A
11/25/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,584	Ordinary	3,911	3,911	N/A
11/22/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(65,022)	Ordinary	(160,547)	(160,547)	N/A
11/25/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(20,971)	Ordinary	(51,275)	(51,275)	N/A
11/25/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(28,370)	Ordinary	(70,926)	(70,926)	N/A
11/26/2019	Merrill Lynch (Australia) Futures Ltd	BUY	43,662	Ordinary	116,432	116,432	N/A
11/26/2019	Merrill Lynch (Australia) Futures Ltd	BUY	51,751	Ordinary	132,478	132,478	N/A
11/26/2019	Merrill Lynch (Australia) Futures Ltd	BUY	74,453	Ordinary	198,061	198,061	N/A
11/26/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(17,049)	Ordinary	(42,097)	(42,097)	N/A
11/26/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(41,895)	Ordinary	(111,719)	(111,719)	N/A
11/27/2019	Merrill Lynch (Australia) Futures Ltd	BUY	19,769	Ordinary	53,869	53,869	N/A
11/27/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(12,515)	Ordinary	(33,374)	(33,374)	N/A
11/27/2019	Merrill Lynch (Australia) Futures Ltd	BUY	60,938	Ordinary	165,738	165,738	N/A
11/27/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(68,078)	Ordinary	(186,514)	(186,514)	N/A
11/28/2019	Merrill Lynch (Australia) Futures Ltd	BUY	15,765	Ordinary	44,408	44,408	N/A
11/28/2019	Merrill Lynch (Australia) Futures Ltd	BUY	4,275	Ordinary	11,555	11,555	N/A
11/28/2019	Merrill Lynch (Australia) Futures Ltd	BUY	80,588	Ordinary	224,241	224,241	N/A

11/28/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(33,011)	Ordinary	(90,299)	(90,299)	N/A
11/28/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(81,607)	Ordinary	(229,879)	(229,879)	N/A
11/29/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(22,275)	Ordinary	(61,589)	(61,589)	N/A
11/29/2019	Merrill Lynch (Australia) Futures Ltd	BUY	61,808	Ordinary	172,409	172,409	N/A
11/29/2019	Merrill Lynch (Australia) Futures Ltd	BUY	19,599	Ordinary	55,851	55,851	N/A
11/29/2019	Merrill Lynch (Australia) Futures Ltd	BUY	9,112	Ordinary	26,034	26,034	N/A
11/29/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(60,343)	Ordinary	(172,409)	(172,409)	N/A
12/2/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,372	Ordinary	3,864	3,864	N/A
12/2/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(11,878)	Ordinary	(33,198)	(33,198)	N/A
12/2/2019	Merrill Lynch (Australia) Futures Ltd	BUY	59	Ordinary	165	165	N/A
12/2/2019	Merrill Lynch (Australia) Futures Ltd	BUY	36,060	Ordinary	100,523	100,523	N/A
12/2/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(35,686)	Ordinary	(100,523)	(100,523)	N/A
12/3/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(17,992)	Ordinary	(49,817)	(49,817)	N/A
12/3/2019	Merrill Lynch (Australia) Futures Ltd	BUY	12,270	Ordinary	33,616	33,616	N/A
12/3/2019	Merrill Lynch (Australia) Futures Ltd	BUY	70,680	Ordinary	192,662	192,662	N/A
12/3/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(108,283)	Ordinary	(296,665)	(296,665)	N/A
12/4/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(17,543)	Ordinary	(45,078)	(45,078)	N/A
12/4/2019	Merrill Lynch (Australia) Futures Ltd	BUY	198,936	Ordinary	502,614	502,614	N/A
12/5/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(11,471)	Ordinary	(27,311)	(27,311)	N/A
12/5/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,426	Ordinary	8,133	8,133	N/A
12/5/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(8,347)	Ordinary	(19,189)	(19,189)	N/A

12/4/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(212,461)	Ordinary	(518,198)	(518,198)	N/A
12/6/2019	Merrill Lynch (Australia) Futures Ltd	BUY	57,973	Ordinary	134,822	134,822	N/A
12/9/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(39,775)	Ordinary	(92,887)	(92,887)	N/A
12/6/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(12,218)	Ordinary	(28,415)	(28,415)	N/A
12/9/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(16,852)	Ordinary	(39,191)	(39,191)	N/A
12/10/2019	Merrill Lynch (Australia) Futures Ltd	BUY	8,004	Ordinary	19,056	19,056	N/A
12/10/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,766)	Ordinary	(4,145)	(4,145)	N/A
12/10/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(3)	Ordinary	(6)	(6)	N/A
12/11/2019	Merrill Lynch (Australia) Futures Ltd	BUY	14,732	Ordinary	32,666	32,666	N/A
12/11/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,730,828	Ordinary	7,937,932	7,937,932	N/A
12/11/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(32,302)	Ordinary	(68,727)	(68,727)	N/A
10/14/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(142,402)	(142,402)	Refer to App. B
10/18/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	466,341	466,341	Refer to App. B
10/21/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(49,718)	(49,718)	Refer to App. B
10/22/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	681,253	681,253	Refer to App. B
10/23/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(466,341)	(466,341)	Refer to App. B
10/23/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(49,021)	(49,021)	Refer to App. B
10/23/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(101,928)	(101,928)	Refer to App. B
10/25/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	559,108	559,108	Refer to App. B
10/28/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(553,056)	(553,056)	Refer to App. B

10/30/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	422,193	422,193	Refer to App. B
10/31/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(6,052)	(6,052)	Refer to App. B
10/31/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(383,644)	(383,644)	Refer to App. B
11/1/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	808,678	808,678	Refer to App. B
11/4/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(247,531)	(247,531)	Refer to App. B
11/4/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(38,549)	(38,549)	Refer to App. B
11/5/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(9,097)	(9,097)	Refer to App. B
11/5/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(384,701)	(384,701)	Refer to App. B
11/6/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(235,070)	(235,070)	Refer to App. B
11/7/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(30,480)	(30,480)	Refer to App. B
11/8/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	439,414	439,414	Refer to App. B
11/11/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	5,768,456	5,768,456	Refer to App. B
11/12/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(448,675)	(448,675)	Refer to App. B
11/12/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(439,414)	(439,414)	Refer to App. B
11/12/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(287,965)	(287,965)	Refer to App. B
11/13/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(47,578)	(47,578)	Refer to App. B
11/25/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(1,116)	(1,116)	Refer to App. B
11/28/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(793,772)	(793,772)	Refer to App. B
11/28/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(976,585)	(976,585)	Refer to App. B
11/28/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(456,788)	(456,788)	Refer to App. B

11/28/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(808,678)	(808,678)	Refer to App. B
11/28/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(478,822)	(478,822)	Refer to App. B
11/29/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(2,816)	(2,816)	Refer to App. B
12/3/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(427,763)	(427,763)	Refer to App. B
12/3/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	465,317	465,317	Refer to App. B
12/4/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(249,195)	(249,195)	Refer to App. B
12/4/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(3,013,343)	(3,013,343)	Refer to App. B
12/4/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	3,763,604	3,763,604	Refer to App. B
12/4/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(465,317)	(465,317)	Refer to App. B
12/5/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(2,603,913)	(2,603,913)	Refer to App. B
12/5/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	3,767,945	3,767,945	Refer to App. B
12/5/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(770,373)	(770,373)	Refer to App. B
12/6/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	2,550,731	2,550,731	Refer to App. B
12/9/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(71,395)	(71,395)	Refer to App. B
12/10/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(49,000)	(49,000)	Refer to App. B
12/11/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	416,380	416,380	Refer to App. B
11/8/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	250,000	250,000	Refer to App. B
11/14/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(250,000)	(250,000)	Refer to App. B
11/19/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	35,000	35,000	Refer to App. B
12/11/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(35,000)	(35,000)	Refer to App. B



10/11/2019	Merrill Lynch International	Securities returned	N/A	Ordinary	(100,292)	(100,292)	Refer to App. B
10/14/2019	Merrill Lynch International	Securities borrowed	N/A	Ordinary	100,292	100,292	Refer to App. B
10/14/2019	Merrill Lynch International	Securities returned	N/A	Ordinary	(100,292)	(100,292)	Refer to App. B
10/16/2019	Merrill Lynch International	Securities returned	N/A	Ordinary	(100,292)	(100,292)	Refer to App. B
10/16/2019	Merrill Lynch International	Securities borrowed	N/A	Ordinary	100,292	100,292	Refer to App. B
11/28/2019	Merrill Lynch International	Securities borrowed	N/A	Ordinary	1,407,037	1,407,037	Refer to App. B
11/29/2019	Merrill Lynch International	Securities returned	N/A	Ordinary	(37,562)	(37,562)	Refer to App. B
12/2/2019	Merrill Lynch International	Securities returned	N/A	Ordinary	(1,171)	(1,171)	Refer to App. B
12/3/2019	Merrill Lynch International	Securities returned	N/A	Ordinary	(37,554)	(37,554)	Refer to App. B
12/4/2019	Merrill Lynch International	Securities returned	N/A	Ordinary	(35,749)	(35,749)	Refer to App. B
12/5/2019	Merrill Lynch International	Securities returned	N/A	Ordinary	(61,808)	(61,808)	Refer to App. B
12/6/2019	Merrill Lynch International	Securities returned	N/A	Ordinary	(38,483)	(38,483)	Refer to App. B
11/8/2019	Merrill Lynch International	Securities borrowed	N/A	Ordinary	420,000	420,000	Refer to App. B
11/14/2019	Merrill Lynch International	Securities borrowed	N/A	Ordinary	11,232	11,232	Refer to App. B
11/20/2019	Merrill Lynch International	Securities returned	N/A	Ordinary	(35,000)	(35,000)	Refer to App. B
12/11/2019	Merrill Lynch International	Securities returned	N/A	Ordinary	(298,800)	(298,800)	Refer to App. B
12/11/2019	Merrill Lynch International	Securities returned	N/A	Ordinary	(66,700)	(66,700)	Refer to App. B
12/11/2019	Merrill Lynch International	Securities returned	N/A	Ordinary	(539,500)	(539,500)	Refer to App. B
12/11/2019	Merrill Lynch International	Securities returned	N/A	Ordinary	(157,400)	(157,400)	Refer to App. B

## Appendix B-1: Prescribed information

<b>Type of agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Merrill Lynch International, Merrill Lynch International (Australia) Limited and Cranport Pty Limited
<b>Holder of voting rights</b>	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
<b>Are there any restrictions on voting rights?</b>	No
If yes, detail	N/A
<b>Scheduled return date (if any)</b>	On recall of the securities loan by the securities lender.
<b>Does the borrower have the right to return early?</b>	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
<b>Does the lender have the right to recall early?</b>	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
<b>Will the securities be returned on settlement?</b>	Yes
If yes, detail any exceptions	N/A

## Appendix B-2: Prescribed information

<b>Type of agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Merrill Lynch International and SSWTRADING
<b>Holder of voting rights</b>	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
<b>Are there any restrictions on voting rights?</b>	No
If yes, detail	N/A
<b>Scheduled return date (if any)</b>	On recall of the securities loan by the securities lender.
<b>Does the borrower have the right to return early?</b>	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
<b>Does the lender have the right to recall early?</b>	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
<b>Will the securities be returned on settlement?</b>	Yes
If yes, detail any exceptions	N/A

## Appendix B-3: Prescribed information

<b>Type of agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Merrill Lynch International, Merrill Lynch International (Australia) Limited and Susquehanna Pacific Pty Ltd
<b>Holder of voting rights</b>	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
<b>Are there any restrictions on voting rights?</b>	No
If yes, detail	N/A
<b>Scheduled return date (if any)</b>	On recall of the securities loan by the securities lender.
<b>Does the borrower have the right to return early?</b>	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
<b>Does the lender have the right to recall early?</b>	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
<b>Will the securities be returned on settlement?</b>	Yes
If yes, detail any exceptions	N/A

## Appendix B-4: Prescribed information

<b>Type of agreement</b>	International Prime Brokerage Agreement
<b>Parties to agreement</b>	Merrill Lynch International, Merrill Lynch International (Australia) Limited and Regal Funds Management Pty Limited as Trustee of Atlantic Absolute Return Fund
<b>Holder of voting rights</b>	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
<b>Are there any restrictions on voting rights?</b>	No
If yes, detail	N/A
<b>Scheduled return date (if any)</b>	On recall of the securities loan by the securities lender.
<b>Does the borrower have the right to return early?</b>	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
<b>Does the lender have the right to recall early?</b>	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
<b>Will the securities be returned on settlement?</b>	Yes
If yes, detail any exceptions	N/A

## Appendix C

<b>Related bodies corporate</b>	<b>Incorporated</b>	<b>ACN/Registration/Incorporation Number</b>
Merrill Lynch International	United Kingdom	2312079
ML UK Capital Holdings	United Kingdom	4971231
BofAML EMEA Holdings 2 Limited	United States	119605
Merrill Lynch (Australia) Futures Limited	Australia	003639674
Merrill Lynch International (Australia) Limited	Australia	002892846
Merrill Lynch Markets (Australia) Pty. Limited	Australia	075587816
Merrill Lynch (Australasia) Pty. Limited	Australia	075923894
Bank of America Global Holdings, LLC	United States	5169939
BofAML Jersey Holdings Limited	Jersey	115831
NB Holdings Corporation	United States	2361372
Bank of America Corporation	United States	N/A628