

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b> K2 ASSET MANAGEMENT HOLDINGS LTD
<b>ABN</b> 59 124 636 782

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Campbell Neal
<b>Date of last notice</b>	24 February 2020

### **Part 1 - Change of director's relevant interests in securities**

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Indirect (interest A, B, C and D below) and Direct (interest E and F below)
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	<p>A. Campbell Neal has a relevant interest in ordinary shares held by 5P Properties Pty Ltd (indirect)</p> <p>B. Campbell Neal has a relevant interest in ordinary shares held by CWN FT Pty Ltd. (indirect)</p> <p>C. Campbell Neal has a relevant interest in ordinary shares held by CWN Superannuation Nominees Pty Ltd. (indirect)</p> <p>D. Campbell Neal has a relevant interest in ordinary shares held by C.L.D. Investments Pty. Ltd. (indirect)</p> <p>E. Campbell Neal has a relevant interest in ordinary shares in his own name (direct)</p> <p>F. Campbell Neal has a relevant interest in options over ordinary shares held in his own name as trustee for the Camvic Family Trust (direct)</p>
<b>Date of change</b>	29 May 2020

---

+ See chapter 19 for defined terms.

## Appendix 3Y

### Change of Director's Interest Notice

<b>No. of securities held prior to change</b>	A. 2,000,000 (5P Properties Pty Ltd) B. 77,723,973 (CWN FT Pty Ltd) C. 5,252,853 (CWN Superannuation Nominees Pty Ltd) D. 878,890 (C.L.D. Investments Pty. Ltd.) E. 844,431 (Campbell William Neal) F. 3,700,000 Unlisted Options (Campbell William Neal)
<b>Class</b>	A. Fully Paid Ordinary Shares B. Fully Paid Ordinary Shares C. Fully Paid Ordinary Shares D. Fully Paid Ordinary Shares E. Fully Paid Ordinary Shares F. Unlisted Options (Campbell William Neal)
<b>Number acquired</b>	1,300,000 Fully Paid Ordinary Shares
<b>Number disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	\$59,800.00
<b>No. of securities held after change</b>	A. 2,000,000 (5P Properties Pty Ltd) B. 77,723,973 (CWN FT Pty Ltd) C. 5,252,853 (CWN Superannuation Nominees Pty Ltd) D. 2,178,890 (C.L.D. Investments Pty. Ltd.) E. 844,431 (Campbell William Neal) F. 3,700,000 Unlisted Options (Campbell William Neal)
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Off-market trade

## Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A

+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

---

<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and an estimated valuation</small>	N/A
<b>Interest after change</b>	N/A

**Part 3 – <sup>+</sup>Closed period**

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

---

<sup>+</sup> See chapter 19 for defined terms.

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b> K2 ASSET MANAGEMENT HOLDINGS LTD
<b>ABN</b> 59 124 636 782

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Mark Newman
<b>Date of last notice</b>	04 May 2020

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct (under interest B below)
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	<p>A. Mark Newman has a relevant interest in ordinary shares held by himself as trustee for the FD246 Trust.</p> <p>B. Mark Newman has a relevant interest in ordinary shares held by MSN Superannuation Nominees Pty Ltd.</p> <p>C. Mark Newman has a relevant interest in ordinary shares held by Ms Newman Family Foundation Pty Ltd.</p> <p>D. Mark Newman has a relevant interest in ordinary shares held by Newman Family Investments (Aust) Pty Ltd.</p> <p>E. Mark Newman has a relevant interest in ordinary shares held by himself as trustee for various family and friends.</p>
<b>Date of change</b>	29 May 2020
<b>No. of securities held prior to change</b>	<p>A. 7,286,742 (As trustee of the FD246 Trust)</p> <p>B. 9,229,936 (MSN Superannuation Nominees Pty Ltd)</p> <p>C. 8,555,556 (Ms Newman Family Foundation Pty Ltd)</p> <p>D. 440,953 (Newman Family Investments (Aust) Pty Ltd)</p> <p>E. 120,000 (As trustee for various family and friends)</p>

+ See chapter 19 for defined terms.

## Appendix 3Y

### Change of Director's Interest Notice

---

<b>Class</b>	A. Ordinary Shares B. Ordinary Shares C. Ordinary Shares D. Ordinary Shares E. Ordinary Shares
<b>Number acquired</b>	N/A
<b>Number disposed</b>	2,000,000 Ordinary Shares
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	\$92,000.00
<b>No. of securities held after change</b>	A. 7,286,742 (As trustee of the FD246 Trust) B. 7,229,936 (MSN Superannuation Nominees Pty Ltd) C. 8,555,556 (Ms Newman Family Foundation Pty Ltd) D. 440,953 (Newman Family Investments (Aust) Pty Ltd) E. 120,000 (As trustee for various family and friends)
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Off-market trade

## Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A

---

+ See chapter 19 for defined terms.

Interest after change	N/A
-----------------------	-----

**Part 3 – <sup>+</sup>Closed period**

Were the interests in the securities or contracts detailed above traded during a <sup>+</sup> closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/A
If prior written clearance was provided, on what date was this provided?	N/A

---

<sup>+</sup> See chapter 19 for defined terms.