

Your ref: ODIN28828

11 December 2020

ASX Limited  
Listings Compliance (Melbourne)

Attention: Mr Dean Litis

By email (only): [ListingsComplianceMelbourne@asx.com.au](mailto:ListingsComplianceMelbourne@asx.com.au)  
with a copy to: [dean.litis@asx.com.au](mailto:dean.litis@asx.com.au)

Dear Mr Litis,

**RE: CIPHERPOINT LIMITED (ASX: CPT): PRICE - QUERY**

We refer to your letter of today, 11 December 2020 regarding the change in the price and volume of Cipherpoint Limited's ("the Company's") securities. The Company's responses to the numbered questions set out in the letter as follows:

1. *Is CPT aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?*

No, the Company is not aware of any information concerning it that has not been released to the market which, if known by some in the market, could explain the recent trading in its securities.

2. *If the answer to question 1 is "yes".*

Not applicable.

3. *If the answer to question 1 is "no", is there any other explanation that CPT may have for the recent trading in its securities?*

No, the Company is not aware of any other explanation for the recent trading in its securities

4. *Please confirm that CPT is complying with the Listing Rules and, in particular, Listing Rule 3.1.*

The Company confirms it is in compliance with the ASX Listing Rules and in particular Listing Rule 3.1.

5. *Please confirm that CPT's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of CPT with delegated authority from the board to respond to ASX on disclosure matters.*

The Company confirms that the responses to the questions above have been authorised and approved in accordance with its published continuous disclosure policy or otherwise by its Board or an officer of the Company with delegated authority from the Board to respond to ASX on disclosure matters.

Yours faithfully,

A handwritten signature in blue ink, appearing to read 'Patrick Gowans', with a stylized flourish at the end.

Patrick Gowans  
Company Secretary



11 December 2020

Reference: ODIN28828

Mr Patrick Gowans  
Company Secretary  
Cipherpoint Limited

By email

Dear Mr Gowans

**Cipherpoint Limited ('CPT'): Price - Query**

ASX refers to the following:

- A. The change in the price of CPT's securities from a low of \$0.047 yesterday, 10 December 2020, to a high of \$0.064 at the time of writing today.
- B. The significant increase in the volume of CPT's securities traded from 10 December 2020 to 11 December 2020 inclusive.

**Request for information**

In light of this, ASX asks CPT to respond separately to each of the following questions and requests for information:

1. Is CPT aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?
2. If the answer to question 1 is "yes".
  - (a) Is CPT relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in CPT's securities would suggest to ASX that such information may have ceased to be confidential and therefore CPT may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
  - (b) Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
  - (c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?
3. If the answer to question 1 is "no", is there any other explanation that CPT may have for the recent trading in its securities?
4. Please confirm that CPT is complying with the Listing Rules and, in particular, Listing Rule 3.1.
5. Please confirm that CPT's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of CPT with delegated authority from the board to respond to ASX on disclosure matters.



### **When and where to send your response**

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **2:00 PM AEDT Friday, 11 December 2020**. You should note that if the information requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it does not fall within the exceptions mentioned in Listing Rule 3.1A, CPT's obligation is to disclose the information 'immediately'. This may require the information to be disclosed before the deadline set out in the previous paragraph and may require CPT to request a trading halt immediately.

Your response should be sent to me by e-mail at **ListingsComplianceMelbourne@asx.com.au**. It should not be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

### **Trading halt**

If you are unable to respond to this letter by the time specified above, or if the answer to question 1 is "yes" and an announcement cannot be made immediately, you should discuss with us whether it is appropriate to request a trading halt in CPT's securities under Listing Rule 17.1. If you wish a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted. You can find further information about trading halts in Guidance Note 16 *Trading Halts & Voluntary Suspensions*.

### **Suspension**

If you are unable to respond to this letter by the time specified above, ASX will likely suspend trading in CPT's securities under Listing Rule 17.3.

### **Listing Rules 3.1 and 3.1A**

In responding to this letter, you should have regard to CPT's obligations under Listing Rules 3.1 and 3.1A and also to Guidance Note 8 *Continuous Disclosure*: Listing Rules 3.1 – 3.1B. It should be noted that CPT's obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

### **Release of correspondence between ASX and entity**

We reserve the right to release a copy of this letter, your reply and any other related correspondence between us to the market under Listing Rule 18.7A.



### Questions

If you have any questions in relation to the above, please do not hesitate to contact me.

Yours sincerely

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**Dean Litis**

Principal Adviser, Listings Compliance (Melbourne)