

## Form 604 - Notice of change of interests of substantial holder

7 June 2021

Pursuant to Section 671B of the *Corporations Act 2001* (Cth), Managing Director and CEO of 4DMedical Limited (ASX: 4DX, "4DMedical" or the "Company"), Andreas Fouras, provides the attached Form 604, disclosing a net decrease of his relevant interests in the Company arising from non-sale events including releases of securities unrelated to him from holding lock and escrow, and dilution arising from share issuances.

For clarity, shares held by Andreas Fouras through his entity, Velocimetry Consulting Pty Ltd, have not been sold nor intended to be sold, and are subject to mandatory escrow until 7 August 2022.

**ENDS**

Authorised by Charlene Stahr, Company Secretary.

### Contacts:

<i>Corporate</i>	<i>Investor</i>	<i>Media</i>
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### About 4DMedical:

Based in Melbourne, Australia and Los Angeles, U.S., 4DMedical was founded in 2012 and is listed on the Australian Securities Exchange (ASX:4DX).

4DMedical is a medical technology company aiming to deliver the global gold standard in respiratory diagnostics for all lung disorders including: coronavirus, asthma, chronic obstructive pulmonary disease (COPD), cystic fibrosis and cancer.

The unique 4DMedical technology accurately and quickly scans lung function as the patient breathes, to assist in providing sensitive, early diagnosis, and to monitor changes over time. Our Software-as-a-Service (SaaS) scans deliver much more complete results, showing even subtle variations in lung function down to the finest details, using lower levels of radiation than traditional methods.

Respiratory diagnosis is a US\$31 billion per annum global industry. Through its technology 4DMedical provides clinicians with greater insights into diseases of the lung. 4DMedical is focused on providing better information to doctors and patients about lung function. Better information means better decisions, and better outcomes.

# Form 604

Corporations Act 2001  
Section 671B

## Notice of change of interests of substantial holder

To Company Name/Scheme 4DMedical Limited

ACN/ARSN 161 684 831

### 1. Details of substantial holder (1)

Name Andreas Fouras

ACN/ARSN (if applicable) N/A

There was a change in the interests of the substantial holder on 07/04/2021

The previous notice was given to the company on 13/08/2020

The previous notice was dated 13/08/2020

### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
ORD	64,838,000	24.49%	64,838,000	22.02%

### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
11/03/2021 and 07/04/2021	Andreas Fouras	Andreas Fouras has a voting power in Velocimetry Consulting Pty Ltd above 20% and thus is deemed to have the same relevant interest as Velocimetry Consulting Pty Ltd pursuant to Section 608(3)(a) of the <i>Corporations Act 2001</i> (Cth) ( <b>Act</b> ) (but only to the extent Section 608(3) deems such relevant interest to be held).  Andreas Fouras' relevant interest has decreased as a result of Velocimetry Consulting Pty Ltd relevant interest	Nil	ORD 64,838,000	64,838,000

		decreasing due to dilution of interest arising from share placement by 4DMedical Limited to sophisticated and professional investors on 11 March 2021 and a share purchase plan issuance by 4DMedical Limited to eligible shareholders on 7 April 2021.			
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#### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class number and of securities	Person's votes
Andreas Fouras	Velocimetry Consulting Pty Ltd	Velocimetry Consulting Pty Ltd	Deemed relevant interest pursuant to Section 608(3)(a) of the Act.	ORD 64,838,000	64,838,000

#### 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	N/A

#### 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Velocimetry Consulting Pty Ltd	LEVEL 1, 200 LYGON STREET, CARLTON VIC 3053
Andreas Fouras	21600 OXNARD STREET SUITE 300 WOODLAND HILLS, CA 91367 USA

## Signature

print name    Andreas Fouras

capacity    Personal

sign  
here



date    7/06/2021

#### DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person

giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and

- (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.

- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because an of option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.