

# North America | Europe | Asia-Pacific

To: 61282988260

From: Garth.Swensen@gmo.com
Date: June 28, 02:14:31 PM EDT

Subj: Sunrise Energy Metals Ltd Form 603

Pages: 5

From: Grantham, Mayo, Van Otterloo and Co. LLC 40 Rowes Wharf, Boston, MA 02110 United States

Please find within the ASIC\_Form603 for Sunrise Energy Metals Ltd prepared by Grantham, Mayo, Van Otterloo and Co. LLC.

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# **Form 603**

## Corporations Act 2001 Section 671B

# Notice of initial substantial holder

To Company Name/Scheme	Sunrise Energy Metals Ltd
ACN/ARSN	ACN 127 457 916

## 1. Details of substantial holder (1)

Name	Grantham, Mayo, Van Otterloo & Co. LLC ("GMO LLC")
ACN/ARSN (if applicable)	

The holder became a substantial holder on	June 24, 2022
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### 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
Common Equity	4,519,340	4,519,340	5.01%

#### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
GMO LLC	Parent company of a fund management group with power to (or with control of the exercise of a power to) dispose of the securities or exercise the voting rights attached to the securities.	Common Equity 4,519,340

# 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant	Registered holder of	Person entitled to be	Class and number of securities
interest	Securities	registered as holder (8)	
	BNY Mellon		Common 637,346
	Northern Trust		Common 68,527
	State Street Bank		
GWO IIC	and Trust Company		Common 2,763,470
GMO HIC	State Street		
	Custodial Services		
	(Ireland) Limited		Common 1,019,425
	State Street		
	Australia Limited		Common 30,572

### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant	Date of acquisition	Consideration (9)	Class and number of securities
interest			

		Cash	Non-cash	
	3/30/22	AUD\$2.3035		Common 21,161
	3/31/22	AUD\$2.3791		Common 28,211
GMO LLC	4/1/22	AUD\$2.4498		Common 19,155
GMOLLC	6/7/22	AUD\$3.2906		Common 31,024
	6/8/22	AUD\$3.2786		Common 47,517
	6/24/22	AUD\$2.3016		Common 44,661

## 6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association

### 7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Grantham, Mayo, Van Otterloo & Co. LLC	40 Rowes Wharf, Boston, MA, 02110 United States

Signature		ature			
		print name	Garth Swensen	capacity	Regulatory Reporting Analyst
İ		sign here	Douglighed by: Dough Iwenson	date	06/28/2022

• Duly authorized under a ଅନୁଖାରିଆର୍ଟ ଫୋଲିଆର୍କ ଫୋଲିଆର୍କ ପ୍ରଥମ by Kevin O'Brien, Legal Counsel, Grantham, Mayo, Van Otterloo & Co., LLC.

		DIRECTIONS		
(1)	If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.			
(2)	See the	definition of "associate" in section 9 of the Corporations Act 2001.		
(3)	See the	definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.		
(4)	The voti	ng shares of a company constitute one class unless divided into separate classes.		
(5)	The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.			
(6)	The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.			
(7)	Include	details of:		
	ar	by relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of by document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any ontract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or rangement; and		
	` di:	ny qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or sposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification oplies).		
	See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.			
(8)	If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".			
(9)	Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in			

DocuSign Envelope ID: 3F4C7B3D-1FE4-4047-90F5-1CF892C8AB22 603 Page 3 of 4 15 July 2001 relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

# Consideration

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	