



Announcement Summary

Entity name

DUBBER CORPORATION LIMITED

Date of this announcement

Monday April 03, 2023

The +securities the subject of this notification are:

☒ +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

Total number of +securities to be issued/transferred

ASX +security code	Security description	Total number of +securities to be issued/transferred	Issue date
New class - code to be confirmed	Restricted Share Units - Incentive Plan	140,589	20/03/2023
New class - code to be confirmed	Options - Incentive Plan	3,716,140	20/03/2023
New class - code to be confirmed	Options - Incentive Plan	250,000	20/03/2023

Refer to next page for full details of the announcement



Part 1 - Entity and announcement details

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**1.1 Name of entity**

DUBBER CORPORATION LIMITED

We (the entity named above) give notice of the issue, conversion or payment up of the following unquoted +securities.

**1.2 Registered number type**

ABN

**Registration number**

64089145424

**1.3 ASX issuer code**

DUB

**1.4 The announcement is**

☒ New announcement

**1.5 Date of this announcement**

3/4/2023



## Part 2 - Issue details

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### **2.1 The +securities the subject of this notification are:**

☒ +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

### **2.2a This notification is given in relation to an issue of +securities in a class which is not quoted on ASX and which:**

☒ does not have an existing ASX security code ("new class")



Part 3C - number and type of +securities the subject of this notification (new class) where issue has not previously been notified to ASX in an Appendix 3B

**ASX +security code**

New class - code to be confirmed

**+Security description**

Restricted Share Units - Incentive Plan

**+Security type**

Other

**ISIN code****Date the +securities the subject of this notification were issued**

20/3/2023

**Will all the +securities issued in this class rank equally in all respects from their issue date?**☒ Yes**Were any of the +securities issued to +key management personnel (KMP) or an +associate?**☒ No**Please provide a URL link for a document lodged with ASX setting out the material terms of the +securities being issued.**

Standard terms and conditions for securities of this type.  
Vesting if an employee at 31 March 2023.

**Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms**

Refer Schedule 3 of 2020 AGM Notice of Meeting  
[https://cdn-api.markitdigital.com/apiman-gateway/ASX/asx-research/1.0/file/2924-02299751-3A553767?access\\_token=83ff96335c2d45a094df02a206a39ff4](https://cdn-api.markitdigital.com/apiman-gateway/ASX/asx-research/1.0/file/2924-02299751-3A553767?access_token=83ff96335c2d45a094df02a206a39ff4)

**Any other information the entity wishes to provide about the +securities the subject of this notification**

## Issue details

**Number of +securities**

140,589

**ASX +security code**

New class - code to be confirmed

**+Security description**

Options - Incentive Plan

**+Security type**

Options

**ISIN code****Date the +securities the subject of this notification were issued**

20/3/2023

**Will all the +securities issued in this class rank equally in all respects from their issue date?**☒ Yes**Were any of the +securities issued to +key management personnel (KMP) or an +associate?**☒ Yes**Provide details of the KMP or +associates being issued +securities.**

Name of KMP	Name of registered holder	Number of +securities
J Slaney	J Slaney	492,308
A Lark	A Lark	50,000

**Have you received confirmation from ASX that the terms of the +securities are appropriate and equitable under listing rule 6.1?**☒ No**Please provide a URL link for a document lodged with ASX setting out the material terms of the +securities being issued.**

Standard terms and conditions for securities of this type.  
Vesting if an employee at 31 March 2023.

## Options Details

+Security currency	Exercise price	Expiry date
AUD - Australian Dollar	AUD 0.00000000	31/3/2026

**Details of the existing class of +security that will be issued upon exercise or conversion of this new class of company option**

Other

**Description**

DUB: Listed fully paid ordinary shares.



Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms

Refer Schedule 3 of 2020 AGM Notice of Meeting

[https://cdn-api.markitdigital.com/apiman-gateway/ASX/asx-research/1.0/file/2924-02299751-3A553767?access\\_token=83ff96335c2d45a094df02a206a39ff4](https://cdn-api.markitdigital.com/apiman-gateway/ASX/asx-research/1.0/file/2924-02299751-3A553767?access_token=83ff96335c2d45a094df02a206a39ff4)

Any other information the entity wishes to provide about the +securities the subject of this notification

#### Issue details

#### Number of +securities

3,716,140

#### ASX +security code

New class - code to be confirmed

#### +Security description

Options - Incentive Plan

#### +Security type

Options

#### ISIN code

#### Date the +securities the subject of this notification were issued

20/3/2023

#### Will all the +securities issued in this class rank equally in all respects from their issue date?

☒ Yes

#### Were any of the +securities issued to +key management personnel (KMP) or an +associate?

☒ Yes

Provide details of the KMP or +associates being issued +securities.

#### Name of KMP

#### Name of registered holder

#### Number of +securities

A Lark

A Lark

250,000

#### Have you received confirmation from ASX that the terms of the +securities are appropriate and equitable under listing rule 6.1?

☒ No

Please provide a URL link for a document lodged with ASX setting out the material terms of the +securities being issued.

Standard terms and conditions for securities of this type.

Options Details

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<b>+Security currency</b>	<b>Exercise price</b>	<b>Expiry date</b>
AUD - Australian Dollar	AUD 0.44400000	30/6/2025

**Details of the existing class of +security that will be issued upon exercise or conversion of this new class of company option**

Other

**Description**

DUB: Listed fully paid ordinary shares.

**Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms**

Refer Schedule 3 of 2020 AGM Notice of Meeting

[https://cdn-api.markitdigital.com/apiman-gateway/ASX/asx-research/1.0/file/2924-02299751-3A553767?access\\_token=83ff96335c2d45a094df02a206a39ff4](https://cdn-api.markitdigital.com/apiman-gateway/ASX/asx-research/1.0/file/2924-02299751-3A553767?access_token=83ff96335c2d45a094df02a206a39ff4)

**Any other information the entity wishes to provide about the +securities the subject of this notification**

Section 4.2 below is not updated for App 3H released prior to this form.

DUBAO should be 4,429,066 (being 5,299,066 - 870,000 from App 3H)

Issue details

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**Number of +securities**

250,000

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## Part 4 - +Securities on issue

Following the issue, conversion or payment up of the +securities the subject of this application, the +securities of the entity will comprise:

(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)

**4.1 Quoted +Securities (Total number of each +class of +securities quoted)**

ASX +security code and description	Total number of +securities on issue
DUB : ORDINARY FULLY PAID	307,725,109

**4.2 Unquoted +Securities (Total number of each +class of +securities issued but not quoted on ASX)**

ASX +security code and description	Total number of +securities on issue
DUBAO : OPTIONS EXPIRING VARIOUS DATES EX VARIOUS PRICES	5,299,066
DUBAAG : OPTION EXPIRING 31-DEC-2025 EX NIL	1,570,576
DUBAAD : OPTION EXPIRING 31-JUL-2024 EX NIL	148,629
DUBAAE : OPTION EXPIRING 31-JUL-2024 EX \$1.75	900,000
DUBAAH : OPTION EXPIRING 31-MAR-2025 EX NIL	169,735
DUBAAI : OPTION EXPIRING 30-JUN-2024 EX NIL	100,000
DUBAAL : OPTION EXPIRING 30-JUN-2026 EX NIL	100,000
DUBAAJ : OPTION EXPIRING 30-NOV-2024 EX \$2.013	125,000
DUBAAK : OPTION EXPIRING 31-MAR-2025 EX \$2.013	165,000
DUBAAM : OPTION EXPIRING 30-SEP-2025 EX NIL	613,899
DUBAQ : OPTION EXPIRING 31-JAN-2024 EX \$1.80	434,136
DUBAP : OPTION EXPIRING 31-JAN-2024	96,510
DUBAR : OPTION EXPIRING 31-JAN-2024 EX \$1.68	75,000



DUBAAF : OPTION EXPIRING 30-JUN-2025 EX NIL	4,530,657
DUBAAB : OPTION EXPIRING 31-MAY-2024 EX \$1.60	100,000
DUBAAC : OPTION EXPIRING 31-MAY-2024 EX NIL	100,000
DUBAAA : OPTION EXPIRING 30-NOV-2023 EX \$1.21	50,000
New class - code to be confirmed : Restricted Share Units - Incentive Plan	140,589
New class - code to be confirmed : Options - Incentive Plan	3,716,140
New class - code to be confirmed : Options - Incentive Plan	250,000



## Part 5 - Other Listing Rule requirements

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**5.1 Were the +securities issued under an exception in Listing Rule 7.2 and therefore the issue did not need any security holder approval under Listing Rule 7.1?**

☒ Yes

**5.1a Select the number of the applicable exception in Listing Rule 7.2**

13