



Announcement Summary

**Entity name**  
4DMEDICAL LIMITED

**Date of this announcement**  
Monday November 06, 2023

**The +securities the subject of this notification are:**  
☒ +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

**Total number of +securities to be issued/transferred**

ASX +security code	Security description	Total number of +securities to be issued/transferred	Issue date
New class - code to be confirmed	OPTION EXPIRING 3-NOV-2027 EX \$1.60	1,306,100	03/11/2023

Refer to next page for full details of the announcement



Part 1 - Entity and announcement details

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**1.1 Name of entity**

4DMEDICAL LIMITED

We (the entity named above) give notice of the issue, conversion or payment up of the following unquoted +securities.

**1.2 Registered number type**

ACN

**Registration number**

161684831

**1.3 ASX issuer code**

4DX

**1.4 The announcement is**

☒ New announcement

**1.5 Date of this announcement**

6/11/2023



## Part 2 - Issue details

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### **2.1 The +securities the subject of this notification are:**

☒ +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

### **2.2a This notification is given in relation to an issue of +securities in a class which is not quoted on ASX and which:**

☒ does not have an existing ASX security code ("new class")



Part 3C - number and type of +securities the subject of this notification (new class) where issue has not previously been notified to ASX in an Appendix 3B

**ASX +security code**

New class - code to be confirmed

**+Security description**

OPTION EXPIRING 3-NOV-2027 EX \$1.60

**+Security type**

Options

**ISIN code****Date the +securities the subject of this notification were issued**

3/11/2023

**Will all the +securities issued in this class rank equally in all respects from their issue date?**☒ Yes**Were any of the +securities issued to +key management personnel (KMP) or an +associate?**☒ Yes**Provide details of the KMP or +associates being issued +securities.****Name of KMP**

Andreas Fouras

**Name of registered holder**

Andreas Fouras

**Number of +securities**

1,306,100

**Have you received confirmation from ASX that the terms of the +securities are appropriate and equitable under listing rule 6.1?**☒ No**Please provide a URL link for a document lodged with ASX setting out the material terms of the +securities being issued.**

Please refer to item 6 of the 2023 Annual General Meeting of 4DMedical Limited. The Notice of Meeting and Explanatory Statement, which set out the terms of the grant of options, are available at the following URL: [https://cdn-api.markitdigital.com/apiman-gateway/ASX/asx-research/1.0/file/2924-02717572-3A627102?access\\_token=83ff96335c2d45a094df02a206a39ff4](https://cdn-api.markitdigital.com/apiman-gateway/ASX/asx-research/1.0/file/2924-02717572-3A627102?access_token=83ff96335c2d45a094df02a206a39ff4)

## Options Details

**+Security currency**

AUD - Australian Dollar

**Exercise price**

AUD 1.60000000

**Expiry date**

3/11/2027

**Details of the existing class of +security that will be issued upon exercise or conversion of this new class of company option**

Other



**Description**

4DX fully paid ordinary shares

**Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms**

4DMedical Limited Long Term Incentive Plan. The plan rules are available at the following URL: [4dmedical.com/Investor-Centre/corporate-governance](https://4dmedical.com/Investor-Centre/corporate-governance)

**Any other information the entity wishes to provide about the +securities the subject of this notification**

The grant of 1,306,100 options to Managing Director and CEO, Dr Andreas Fouras, under the Long Term Incentive Plan was approved by shareholders at the 2023 Annual General Meeting of 4DMedical Limited.

Issue details

**Number of +securities**

1,306,100





## Part 4 - +Securities on issue

Following the issue, conversion or payment up of the +securities the subject of this application, the +securities of the entity will comprise:

(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)

## 4.1 Quoted +Securities (Total number of each +class of +securities quoted)

ASX +security code and description	Total number of +securities on issue
4DX : ORDINARY FULLY PAID	346,466,690

## 4.2 Unquoted +Securities (Total number of each +class of +securities issued but not quoted on ASX)

ASX +security code and description	Total number of +securities on issue
4DXAT : OPTION EXPIRING 17-JAN-2026 EX \$0.79	636,576
4DXAU : PERFORMANCE RIGHTS	239,383
4DXAQ : OPTION EXPIRING 30-JUN-2026 EX \$0.95	1,850,914
4DXAR : OPTION EXPIRING 30-JUN-2026 EX \$0.48	2,989,362
4DXAS : OPTION EXPIRING 01-OCT-2026 EX \$0.51	715,748
4DXAG : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	16,547,353
4DXAI : OPTION EXPIRING 31-DEC-2024 EX \$0.40	2,000,000
4DXAJ : OPTION EXPIRING 15-MAR-2027 EX \$1.20	2,133,333
4DXAK : OPTION EXPIRING 07-AUG-2024 EX 73C	964,039
4DXAV : OPTION EXPIRING 31-DEC-2024 EX \$1.365	25,010,541
4DXAO : OPTION EXPIRING 25-JUN-2025 EX \$1.30	944,749
4DXAN : OPTION EXPIRING 25-DEC-2024 EX \$2.33	35,232
4DXAM : OPTION EXPIRING 15-MAR-2025 EX \$2.33	14,367



4DXAP : OPTION EXPIRING 01-JUL-2025 EX \$2.60	701,719
New class - code to be confirmed : OPTION EXPIRING 3-NOV-2027 EX \$1.60	1,306,100



Part 5 - Other Listing Rule requirements

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**5.1 Were the +securities issued under an exception in Listing Rule 7.2 and therefore the issue did not need any security holder approval under Listing Rule 7.1?**

☒ No

**5.2 Has the entity obtained, or is it obtaining, +security holder approval for the issue under listing rule 7.1?**

☒ Yes

**5.2a Date of meeting or proposed meeting to approve the issue under listing rule 7.1**

2/11/2023