



**12 December 2024**

Your reference: ODIN104444  
ASX Compliance  
Level 4, 525 Collins Street  
Melbourne VIC 3000

By email: [ListingsComplianceMelbourne@asx.com.au](mailto:ListingsComplianceMelbourne@asx.com.au)

Dear Sir / Madam,

**Dotz Nano Limited: Price Query**

Dotz Nano Limited (ASX: DTZ, OTC: DTZZF/DTZNY, “Dotz” or “Company”), refers to your letter 12 December 2024 (the **Query Letter**) and provides the following responses.

- 1. Is DTZ aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?**

No. As disclosed in the Company’s Annual Report, the Company is continuing discussions to secure new commercial agreements with potential partners. The Company notes that discussions are on-going and no legally binding agreements have been entered into or the Company is not otherwise committed to proceeding with any such opportunity as at the date of this Query Letter and will make an announcement to the market at the relevant time.

- 2. If the answer to question 1 is “yes”.**

- (a) Is DTZ relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in DTZ’s securities would suggest to ASX that such information may have ceased to be confidential and therefore DTZ may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is “yes”, you need to contact us immediately to discuss the situation.**

N/A.

- (b) Can an announcement be made immediately? Please note, if the answer to this question is “no”, you need to contact us immediately to discuss requesting a trading halt (see below).**

N/A.

- (c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?**



N/A.

3. If the answer to question 1 is “no”, is there any other explanation that DTZ may have for the recent trading in its securities?

See response to query 1.

4. Please confirm that DTZ is complying with the Listing Rules and, in particular, Listing Rule 3.1.

DTZ has and is complying with ASX’s Listing Rules and, in particular with the ASX’s continuous disclosure requirements (Listing Rule 3.1).

5. Please confirm that DTZ’s responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of DTZ with delegated authority from the board to respond to ASX on disclosure matters.

DTZ confirms that the responses to your Query Letter, above, have been authorised and approved under its published continuous disclosure policy and by the Chairman of the Company on behalf of the Board.

Yours sincerely

**Mr Bernie Brookes**

Non-Executive Chairman and Company Secretary  
Dotz Nano Limited



12 December 2024

Reference: ODIN104444

Mr Bernie Brookes  
Chairman  
Dotz Nano Limited

By email

Dear Mr Brookes

**Dotz Nano Limited ('DTZ'): Price - Query**

ASX refers to the change in the price of DTZ's securities from a close of \$0.083 yesterday, 11 December 2024 to an intra-day high of \$0.11 at the time of writing today.

**Request for information**

In light of this, ASX asks DTZ to respond separately to each of the following questions and requests for information:

1. Is DTZ aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?
2. If the answer to question 1 is "yes".
  - (a) Is DTZ relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in DTZ's securities would suggest to ASX that such information may have ceased to be confidential and therefore DTZ may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
  - (b) Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
  - (c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?
3. If the answer to question 1 is "no", is there any other explanation that DTZ may have for the recent trading in its securities?
4. Please confirm that DTZ is complying with the Listing Rules and, in particular, Listing Rule 3.1.
5. Please confirm that DTZ's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of DTZ with delegated authority from the board to respond to ASX on disclosure matters.

**When and where to send your response**

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **12:45PM AEDT Thursday, 12 December 2024**. You should note that if the information requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it does not fall within the exceptions mentioned in Listing Rule 3.1A, DTZ's obligation is to disclose the

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information ‘immediately’. This may require the information to be disclosed before the deadline set out in the previous paragraph and may require DTZ to request a trading halt immediately.

Your response should be sent to me by e-mail at **ListingsComplianceMelbourne@asx.com.au**. It should not be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

### **Trading halt**

If you are unable to respond to this letter by the time specified above, or if the answer to question 1 is “yes” and an announcement cannot be made immediately, you should discuss with us whether it is appropriate to request a trading halt in DTZ’s securities under Listing Rule 17.1. If you wish a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted. You can find further information about trading halts in Guidance Note 16 *Trading Halts & Voluntary Suspensions*.

### **Suspension**

If you are unable to respond to this letter by the time specified above, ASX will likely suspend trading in DTZ’s securities under Listing Rule 17.3.

### **Listing Rules 3.1 and 3.1A**

In responding to this letter, you should have regard to DTZ’s obligations under Listing Rules 3.1 and 3.1A and also to Guidance Note 8 *Continuous Disclosure*: Listing Rules 3.1 – 3.1B. It should be noted that DTZ’s obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

### **Release of correspondence between ASX and entity**

ASX reserves the right to release all or any part of this letter, your reply and any other related correspondence between us to the market under Listing Rule 18.7A.

Yours sincerely

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ASX Compliance