

7 January 2021

The Manager
Company Announcements Office
ASX Limited (“ASX”)

Appendix 3Ys – Late Lodgement

Vortiv Limited (the Company) provides the following notices of a change of a director’s interest (Appendix 3Y) for Jeffrey Lai and Howard Digby for unlisted options that expired 21 December 2020. The Company notes the late lodgement of these notices due to an administrative oversight.

The Company and the Directors are aware of their obligations under Listing Rule 3.19A. The Company has a policy and process in place with each director on their appointment being informed of ASX disclosure requirements; all directors executing an agreement requiring them to notify the Company of their initial interest on appointment and any subsequent changes; and the Company’s Share Trading Policy requires any directors wishing to trade in the Company’s securities must first advise the Chairman of the proposed trading or the in case of the Chairman, advise the Managing Director. Following an approved transaction, the director must inform the company secretary immediately.

Whilst the Company considers the current arrangements to be adequate for ensuring timely notifications, the failure to do so on this occasion was an oversight and the Company will review its procedure to ensure it does not reoccur.

Authorised by
Phillip MacLeod
Company Secretary

Appendix 3Y

Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/9/2001.

Name of entity	VORTIV LIMITED
ABN	98 057 335 672

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Jeffrey Lai
Date of last notice	24 September 2020

Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Indirect									
Nature of indirect interest (including registered holder) <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	Polaris Equity Pty Ltd (Director)									
Date of change	21 December 2020									
No. of securities held prior to change	<table style="width: 100%; border: none;"> <tr> <td style="width: 50%;">Polaris Equity Pty Ltd</td> <td style="width: 25%;">497,059</td> <td style="width: 25%;">Shares</td> </tr> <tr> <td></td> <td>36,765</td> <td>Options expiring 21/12/20</td> </tr> <tr> <td></td> <td>36,765</td> <td>Options expiring 21/12/21</td> </tr> </table>	Polaris Equity Pty Ltd	497,059	Shares		36,765	Options expiring 21/12/20		36,765	Options expiring 21/12/21
Polaris Equity Pty Ltd	497,059	Shares								
	36,765	Options expiring 21/12/20								
	36,765	Options expiring 21/12/21								
Class	Unlisted options exercisable at 26 cents, expiring 21 December 2022									

+ See chapter 19 for defined terms.

Appendix 3Y
Change of Director's Interest Notice

Number acquired	-
Number disposed	36,765
Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation	Nil
No. of securities held after change Polaris Equity Pty Ltd	497,059 Shares 36,765 Options expiring 21/12/21
Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Options expired unexercised

Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	-
Nature of interest	-
Name of registered holder (if issued securities)	-
Date of change	-
No. and class of securities to which interest related prior to change Note: Details are only required for a contract in relation to which the interest has changed	-
Interest acquired	-
Interest disposed	-
Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation	-
Interest after change	-

Part 3 – ⁺Closed period

Were the interests in the securities or contracts detailed above traded during a ⁺closed period where prior written clearance was required?	n/a
If so, was prior written clearance provided to allow the trade to proceed during this period?	-
If prior written clearance was provided, on what date was this provided?	-

⁺ See chapter 19 for defined terms.

Appendix 3Y

Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/9/2001.

Name of entity	VORTIV LIMITED
ABN	98 057 335 672

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Howard Digby
Date of last notice	1 April 2020

Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Direct and Indirect
Nature of indirect interest (including registered holder) <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	Pokfulam Nominees Pty Ltd (Director) Lamma Nominees Pty Ltd (Director)
Date of change	21 December 2020
No. of securities held prior to change (post consolidation) Pokfulam Nominees Pty Ltd	147,059 Shares 36,765 Options expiring 21/12/20 36,765 Options expiring 21/12/21
Howard Digby	50,000 Options expiring 6/2/21
Lamma Nominees Pty Ltd	150,000 Options expiring 30/3/22
Class	Unlisted options exercisable at 26 cents, expiring 21 December 2022

+ See chapter 19 for defined terms.

Appendix 3Y
Change of Director's Interest Notice

Number acquired	-	
Number disposed	36,765	
Value/Consideration <small>Note: If consideration is non-cash, provide details and estimated valuation</small>	Nil	
No. of securities held after change		
Pokfulam Nominees Pty Ltd	147,059 36,765	Shares Options expiring 21/12/21
Howard Digby	50,000	Options expiring 6/2/21
Lamma Nominees Pty Ltd	150,000	Options expiring 30/3/22
Nature of change <small>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</small>	Options expired unexercised	

Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	-
Nature of interest	-
Name of registered holder (if issued securities)	-
Date of change	-
No. and class of securities to which interest related prior to change <small>Note: Details are only required for a contract in relation to which the interest has changed</small>	-
Interest acquired	-
Interest disposed	-
Value/Consideration <small>Note: If consideration is non-cash, provide details and an estimated valuation</small>	-
Interest after change	-

Part 3 – ⁺Closed period

Were the interests in the securities or contracts detailed above traded during a ⁺closed period where prior written clearance was required?	n/a
If so, was prior written clearance provided to allow the trade to proceed during this period?	-
If prior written clearance was provided, on what date was this provided?	-

⁺ See chapter 19 for defined terms.