

Form 603

Corporations Act 2001
Section 671B

Notice of initial substantial holder

To Company Name/Scheme Openn Negotiation Limited

ACN/ARSN ACN 612 329 754

1. Details of substantial holder (1)

Name Peter John Gibbons & Tamara Bridget Gibbons, in their own right and in their capacity as trustees for the Gibbons Family Trust

ACN/ARSN (if applicable) Not applicable

The holder became a substantial holder on 19 / 07 / 2021

2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Persons' votes (5)	Voting power (6)
Fully-paid ordinary shares	25,310,182	25,310,182	13.20%

3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Peter John Gibbons & Tamara Bridget Gibbons as trustees for the Gibbons Family Trust	Holder of the securities, pursuant to section 608(1)(a) of the Corporations Act	25,310,182 fully-paid ordinary shares
Peter John Gibbons Tamara Bridget Gibbons	Holder of relevant interest, pursuant to section 608(2)(b) of the Corporations Act	25,310,182 fully-paid ordinary shares

4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Peter John Gibbons & Tamara Bridget Gibbons as trustees for the Gibbons Family Trust Peter John Gibbons Tamara Bridget Gibbons	Peter John Gibbons & Tamara Bridget Gibbons as trustees for the Gibbons Family Trust	Peter John Gibbons & Tamara Bridget Gibbons as trustees for the Gibbons Family Trust	25,310,182 fully-paid ordinary shares

5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Peter John Gibbons & Tamara Bridget Gibbons as trustees	10/02/2021	\$136,855	Nil	855,343 fully-paid ordinary shares

for the Gibbons Family Trust				
Peter John Gibbons & Tamara Bridget Gibbons as trustees for the Gibbons Family Trust	15/07/2021	\$20,000	Nil	100,000 fully-paid ordinary shares

6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Peter John Gibbons	Trustee and beneficiary of the Gibbons Family Trust
Tamara Bridget Gibbons	Trustee and beneficiary of the Gibbons Family Trust

7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Peter John Gibbons & Tamara Bridget Gibbons as trustees for the Gibbons Family Trust	14 Lynton Street, Swanbourne WA 6010
Peter John Gibbons	14 Lynton Street, Swanbourne WA 6010
Tamara Bridget Gibbons	14 Lynton Street, Swanbourne WA 6010

Signature

print name Peter John Gibbons

capacity Trustee and beneficiary

sign here



date 21/07/2021

DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
- (6) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (7) Include details of:
 - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
 - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (8) If the substantial holder is unable to determine the identity of the person (eg. If the relevant interest arises because of an option) write "unknown".
- (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

Form 603

Corporations Act 2001
Section 671B

Notice of initial substantial holder

To Company Name/Scheme Openn Negotiation Limited

ACN/ARSN ACN 612 329 754

1. Details of substantial holder (1)

Name Cecken Pty Ltd (ACN 159 412 969) in its own right and as trustee for the Cecken Trust, Peter Edward Clements and Fiona Christina Maria Clements

ACN/ARSN (if applicable) As above

The holder became a substantial holder on 19 / 07 / 2021

2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Persons' votes (5)	Voting power (6)
Fully-paid ordinary shares	<u>25,310,142</u>	<u>25,310,142</u>	<u>13.20%</u>

3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Cecken Pty Ltd as trustee for the Cecken Trust	Holder of the securities, pursuant to section 608(1)(a) of the Corporations Act	<u>25,310,142</u> fully-paid ordinary shares
Cecken Pty Ltd	Holder of relevant interest, pursuant to section 608(2)(b) of the Corporations Act	<u>25,210,182</u> fully-paid ordinary shares
Peter Edward Clements Fiona Christina Maria Clements	Holder of relevant interest, pursuant to section 608(1)(b) of the Corporations Act	<u>25,210,182</u> fully-paid ordinary shares

4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Cecken Pty Ltd as trustee for the Cecken Trust	Cecken Pty Ltd as trustee for the Cecken Trust	Cecken Pty Ltd as trustee for the Cecken Trust	<u>25,210,182</u> fully-paid ordinary shares
Cecken Pty Ltd			
Peter Edward Clements			
Fiona Christina Maria Clements			

5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)	Class and number of securities
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		Cash	Non-cash	
Cecken Pty Ltd as trustee for the Cecken Trust	10/02/2021	\$136,855	Nil	855,343 fully-paid ordinary shares
Cecken Pty Ltd as trustee for the Cecken Trust	15/07/2021	\$19,992	Nil	99,960 fully-paid ordinary shares

6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Cecken Pty Ltd	Trustee and beneficiary of the Cecken Trust
Peter Edward Clements	Director and shareholder of Cecken Pty Ltd
Fiona Christina Maria Clements	Director and shareholder of Cecken Pty Ltd

7. Addresses

The addresses of persons named in this form are as follows:

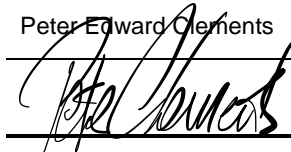
Name	Address
Cecken Pty Ltd as trustee for the Cecken Trust	Unit 5/79 Waratah Avenue, Dalkeith WA 6009
Cecken Pty Ltd	Unit 5/79 Waratah Avenue, Dalkeith WA 6009
Peter Edward Clements	19 Riley Road, Claremont WA 6010
Fiona Christina Maria Clements	19 Riley Road, Claremont WA 6010

Signature

print name Peter Edward Clements

capacity

sign here



date 21/07/2021

DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
- (6) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (7) Include details of:
 - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
 - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (8) If the substantial holder is unable to determine the identity of the person (eg. If the relevant interest arises because of an option) write "unknown".
- (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

Form 603Corporations Act 2001
Section 671B**Notice of initial substantial holder**

To Company Name/Scheme Openn Negotiation Limited

ACN/ARSN ACN 612 329 754

1. Details of substantial holder (1)

Name Bradley Robert Glover, Montebella & Associates Pty Ltd (ACN 161 428 084) and Glover Corp Pty Ltd (ACN 161 446 475)

ACN/ARSN (if applicable) As above

The holder became a substantial holder on 19 / 07 / 2021

2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Persons' votes (5)	Voting power (6)
Fully-paid ordinary shares	25,460,182	25,460,182	13.28%

3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Bradley Robert Glover	Holder of relevant interest, pursuant to section 608(3)(b) of the Corporations Act	25,460,182 fully-paid ordinary shares
Montebella & Associates Pty Ltd	Holder of the securities, pursuant to section 608(1)(a) of the Corporations Act	25,210,182 fully-paid ordinary shares
Glover Corp Pty Ltd (ACN 161 446 475)	Holder of relevant interest, pursuant to section 608(1)(b) of the Corporations Act	25,210,182 fully-paid ordinary shares

4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
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Montebella & Associates Pty Ltd Glover Corp Pty Ltd Bradley Robert Glover	Montebella & Associates Pty Ltd	Montebella & Associates Pty Ltd	25,210,182 fully-paid ordinary shares
Bradley Robert Glover	Bradley Robert Glover	Bradley Robert Glover	250,000 fully-paid ordinary shares

5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Montebella & Associates Pty Ltd	10/02/2021	\$136,855	Nil	855,343 fully-paid ordinary shares
Bradley Robert Glover	15/07/2021	\$50,000	Nil	250,000 fully-paid ordinary shares

6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Bradley Robert Glover	Director of Montebella & Associates Pty Ltd and Glover Corp Pty Ltd, as well as the sole shareholder of Glover Corp Pty Ltd
Montebella & Associates Pty Ltd	As above
Glover Corp Pty Ltd	As above

7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Bradley Robert Glover	170 Preston Point Road, East Fremantle WA 6158
Montebella & Associates Pty Ltd	177B South Terrace, South Fremantle WA 6162
Glover Corp Pty Ltd	170 Preston Point Road, East Fremantle WA 6158

Signature

print name Bradley Robert Glover

capacity

DIRECTOR

sign here

date 21/07/2021

DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
- (6) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (7) Include details of:
 - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
 - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.

- (8) If the substantial holder is unable to determine the identity of the person (eg. If the relevant interest arises because of an option) write "unknown".
- (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.