



ASX Announcement 30 September 2021

APPENDIX 3Y - CORRECTION

Advanced Share Registry Limited (ASX: ASW, 'Company') refers to the Appendix 3Y previously announced in respect of shares held by the Managing Director, Mr Kim Chong and the corrected notice attached. In anticipation of questions that may arise due to the late notification of the acquisition, the Company has prepared the explanatory statement below.

An inadvertent error was made when providing information to the Company relating to the acquisition of shares in relation to two parcels of the same number of shares acquired. Only one of the two parcels were notified to the Company where the mistaken belief was held that the later parcel of shares was also notified. The error was discovered during the finalisation phases of preparing the Company's annual report.

The number of shares omitted is 4,000 shares which were acquired on 4 May 2020 by an on-market transaction for \$0.65 per share.

The Company has discussed the omission with Mr Chong and has determined Mr Chong held the genuine belief the acquisition had been notified at the time.

In accordance with Listing Rule 3.19B, the Company requires its Directors to notify the Company Secretary of any acquisition or disposal of shares within 5 business days to permit the Company meet its reporting obligations within the time limits required by Listing Rule 3.19A.2.

The Company has reviewed its notification procedures for a director trading in the Company's shares and believe the requirements for notification are both understood and transparent.

The acquisition was not during a restricted trading period.

An updated Appendix 3Y is attached reporting the total number of shares held indirectly by Mr Chong as 24,128,734.

Stuart Third
COMPANY SECRETARY

Member of Securities Registrars Association of Australia Inc.

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ASX Code: ASW

Capital Structure:

Shares: 42.979m

Options: nil

Share price: \$0.93

Market capitalisation: \$39.97m

Board of Directors:

Simon Cato – *Chairman*

Kim Chong – *Managing Director*

Stuart Third – *Non-executive Director/ Company Secretary*

About ASW:

Advanced Share Registry Limited provides registry services to listed and unlisted clients on a national basis. The business has built its registry operations through its reputation and delivery as an efficient, cost effective service provider.

Appendix 3Y

Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

| |
|---|
| Name of entity : Advanced Share Registry Limited |
| ABN: 14 127 175 946 |

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

| | |
|----------------------------|----------------|
| Name of Director | Kim Phin Chong |
| Date of last notice | 13 May 2021 |

Part 1 - Change of director's relevant interests in securities

In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

| | |
|--|---|
| Direct or indirect interest | Indirect |
| Nature of indirect interest (including registered holder) Note: Provide details of the circumstances giving rise to the relevant interest. | KMC Automation Pty Ltd |
| Date of change | 4 May 2020 |
| No. of securities held prior to change | 24,124,734 (as reported on 13 May 2021) |
| Class | Ordinary |
| Number acquired | 4,000 |
| Number disposed | Nil |
| Value/Consideration Note: If consideration is non-cash, provide details and estimated valuation | \$2,614.95 |
| No. of securities held after change | 24,128,734 |

+ See chapter 19 for defined terms.

Appendix 3Y
Change of Director's Interest Notice

| | |
|--|-------------------------------|
| <p>Nature of change Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</p> | <p>On-market trade- 4,000</p> |
|--|-------------------------------|

Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

| | |
|---|------------|
| <p>Detail of contract</p> | <p>N/A</p> |
| <p>Nature of interest</p> | <p>N/A</p> |
| <p>Name of registered holder (if issued securities)</p> | <p>N/A</p> |
| <p>Date of change</p> | <p>N/A</p> |
| <p>No. and class of securities to which interest related prior to change Note: Details are only required for a contract in relation to which the interest has changed</p> | <p>N/A</p> |
| <p>Interest acquired</p> | <p>N/A</p> |
| <p>Interest disposed</p> | <p>N/A</p> |
| <p>Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation</p> | <p>N/A</p> |
| <p>Interest after change</p> | <p>N/A</p> |

Part 3 – ⁺Closed period

| | |
|--|------------|
| <p>Were the interests in the securities or contracts detailed above traded during a ⁺closed period where prior written clearance was required?</p> | <p>No</p> |
| <p>If so, was prior written clearance provided to allow the trade to proceed during this period?</p> | <p>N/A</p> |
| <p>If prior written clearance was provided, on what date was this provided?</p> | <p>N/A</p> |

+ See chapter 19 for defined terms.