

Form 604

Corporations Act 2001  
Section 671B

Notice of change of interests of substantial holder

To Company Name/Scheme Carawine Resources Ltd (CWX)

ACN/ARSN 611 352 348

1. Details of substantial holder (1)

Name Christopher Ian Wallin, Fiona Kay McLoughlin, Sylvia Fay Bhatia and Ann Catherine Wallin as trustees for the Chris Wallin Super Fund, Captain Nominees Pty Ltd as trustee of the Christopher Wallin Superannuation Fund No.2, Christopher Ian Wallin, Fiona Kay McLoughlin, Sylvia Fay Bhatia and Ann Catherine Wallin (together, the **Substantial Holders**)

ACN/ARSN (if applicable) ACN 160 817 792

There was a change in the interests of the substantial holder on 15/11/2021

The previous notice was given to the company on 6/10/2020

The previous notice was dated 5/10/2020

2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Ordinary Shares	8,626,406	8.96%	27,086,363	19.90%*

\*based on 136,112,123 CWX shares on issue

3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected		Person's votes affected
25/11/2020	Christopher Ian Wallin & Fiona Kay McLoughlin & Sylvia Fay Bhatia & Ann Catherine Wallin as trustees for the Chris Wallin Super Fund	Acquisition under placement by CWX	\$400,000.00	2,000,000	ordinary	2,000,000

10/11/2021	All Substantial Holders	On market acquisition	\$420,000.00	2,000,000	ordinary	2,000,000
15/11/2021	All Substantial Holders	Acquisition under placement by CWX	\$2,602,792.26	14,459,957	ordinary	14,459,957

4. **Present relevant interests**

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities		Person's votes
Christopher Ian Wallin & Fiona Kay McLoughlin & Sylvia Fay Bhatia & Ann Catherine Wallin as trustees for the Chris Wallin Super Fund (CWSF)	CWSF	CWSF	Relevant interest under section 608(1)(a) of the Corporations Act 2001 (Cth) (Act) as the registered holder of shares.	10,626,406	ordinary	10,626,406
Captain Nominees Pty Ltd as trustee of the Christopher Wallin Superannuation Fund No.2 (Captain Nominees)	Captain Nominees	Captain Nominees	Relevant interest under section 608(1)(a) of the Act as the registered holder of shares.	16,459,957	ordinary	16,459,957
Christopher Ian Wallin	Captain Nominees CWSF	Captain Nominees CWSF	Relevant interest under section 608(3)(b) of the Act as a result of holding 100% of the issued share capital of Captain Nominees AND a relevant interest under section 608(1)(b) and section 608(1)(c) of the Act as a result of being a member of the Chris Wallin Super Fund and Christopher Wallin Superannuation Fund No.2.	27,086,363	ordinary	27,086,363
Fiona Kay McLoughlin Sylvia Fay Bhatia Ann Catherine Wallin	Captain Nominees CWSF	Captain Nominees CWSF	Relevant interest under section 608(1)(b) and section 608(1)(c) of the Act as members of the Chris Wallin Super Fund and Christopher Wallin Superannuation Fund No.2	27,086,363	ordinary	27,086,363

## 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	

## 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Christopher Ian Wallin & Fiona Kay McLoughlin & Sylvia Fay Bhatia & Ann Catherine Wallin as trustees for the Chris Wallin Super Fund	Level 15, 40 Creek Street Brisbane QLD 4000
Captain Nominees Pty Ltd as trustee of the Christopher Wallin Superannuation Fund No.2	
Christopher Ian Wallin	4 Kintyre Street, Brookfield QLD 4069
Sylvia Fay Bhatia	22 Kay Siang Road, Singapore 248937
Fiona Kay McLoughlin	36 Enoggera Terrace Red Hill QLD 4059
Ann Catherine Wallin	66 Chaprowe Road, The Gap QLD 4061

## Signature

print name **Christopher Wallin** capacity Authorised signatory

sign here



date 18/11/2021